

# Vertex reconstruction at the CMS experiment

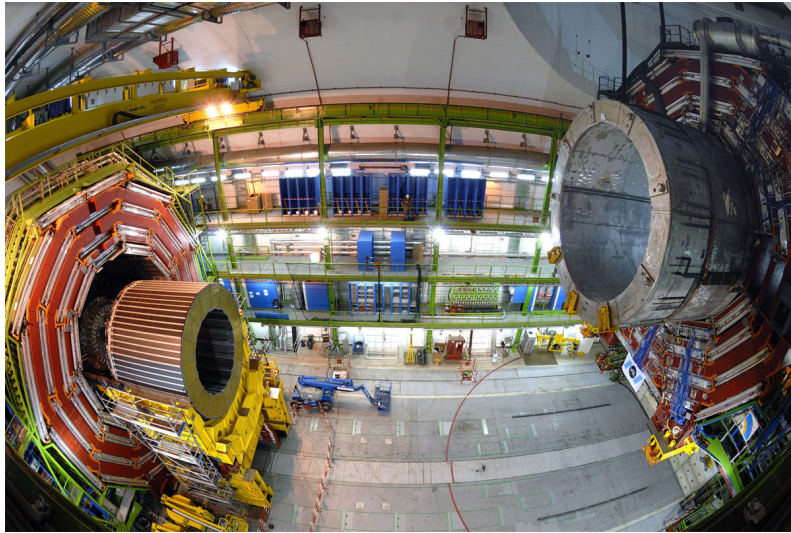
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for the CMS Collaboration  
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- Introduction
- (Robust) Algorithms
- Comparison of fitters
- Vertex finding
- Conclusions

# Introduction: The CMS Experiment



## Compact Muon Solenoid (CMS)

multi-purpose detector

full event reconstruction

LHC:  $pp$  collider,  $\sqrt{s} = 14\text{TeV}$

High luminosity  $10^{34}\text{cm}^{-2}\text{s}^{-1}$

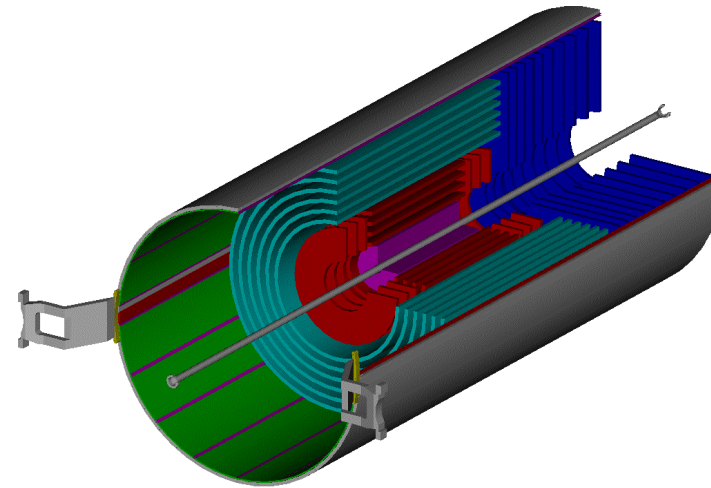
- 20 inelastic collisions
- 2000 tracks per bunch crossing

first collisions 2008

lower luminosity for the first years

W. Erdmann Vertex reconstruction at the CMS experiment

CMS tracker



$R=4\text{cm} \dots 110\text{cm}$  ,  $L=5.4\text{m}$

- 4 T solenoidal field
- 10 layers of silicon strips,  
6  $r\phi$  and 4  $z$  stereo
- 3 layers pixels (space points)
- disks in the forward region

*3d tracking, comparable  $r\phi$  and  $z$  resolution*

## Vertex Reconstruction: Finding + Fitting

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### Vertex Finding:

within a set of tracks (e.g. full event, jet ):

- detect possible vertices (fixed or variable number)
- assign tracks to vertices

→ examples: *primary vertex, b-tagging*

### Vertex Fitting:

for a given vertex hypothesis (set of tracks):

- best estimate of the vertex position

+ sometimes

- best estimate of track parameters at the vertex
- vertex quality, goodness of fit

→ examples: *exclusive decays,  $B \rightarrow J/\psi\Phi$ ,  $\tau \rightarrow 3$ -prong*

Most algorithms do a bit of both

## Vertex Fitting, Robust Algorithms

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standard fitter Kalman filter (equiv. least square)

very sensitive to outliers

- **resolution tails**

bad parameter track measurements/error estimates

(pattern recognition, interactions with detector material)

- **wrong track–vertex assignment**

often not a priori known

robust algorithms in CMS

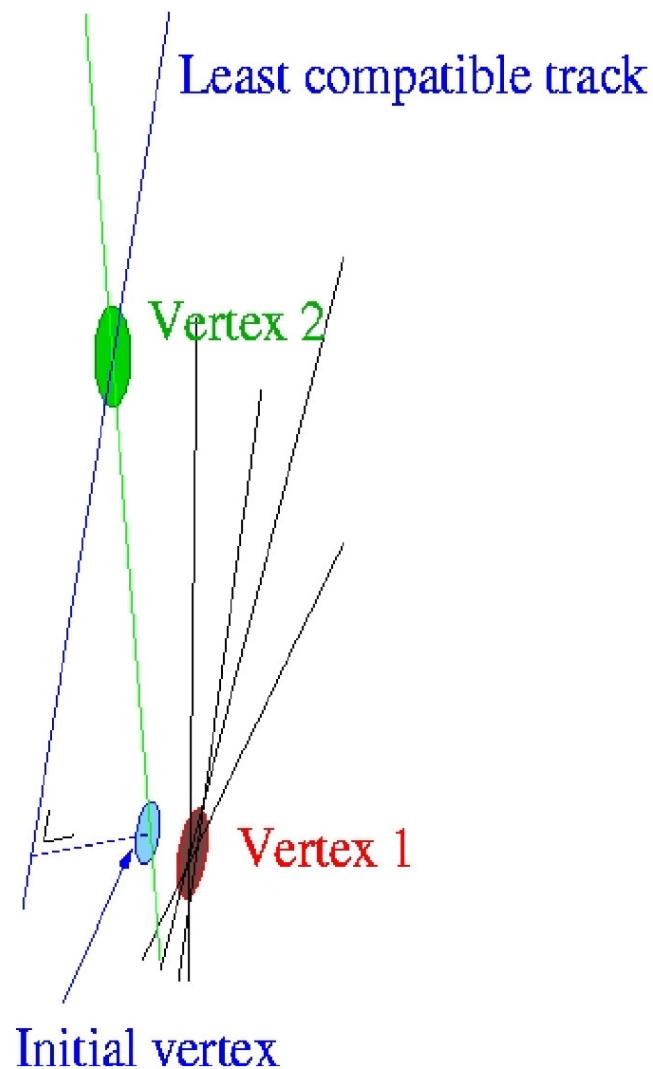
	resolution tails	mis-assigned tracks
Trimmed Kalman Vertex Fit	remove outliers one by one	
Adaptive Vertex Fit	downweight outliers	
Gaussian Sum Fit	model tails	

a robustified Gaussian Sum Fit is also possible

## Trimmed Kalman Vertex Fit

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- robustification of the Kalman Filter
  - remove the least compatible track
  - lowest  $\text{Prob}(\chi^2)$
  - iterate until all tracks above a given threshold (5%)
- hard assignment
- but final Vertex  $\chi^2$  biased
- used in Vertex Finders
- fails when too many tracks are rejected

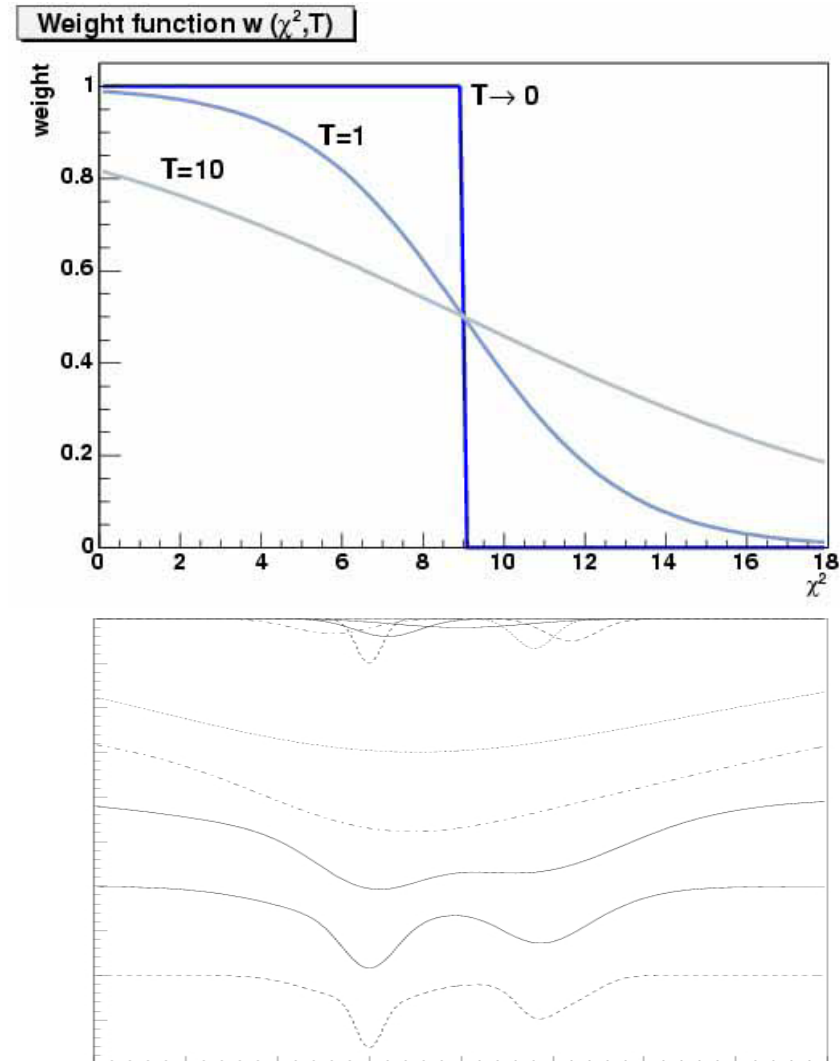


## Adaptive Vertex Fit

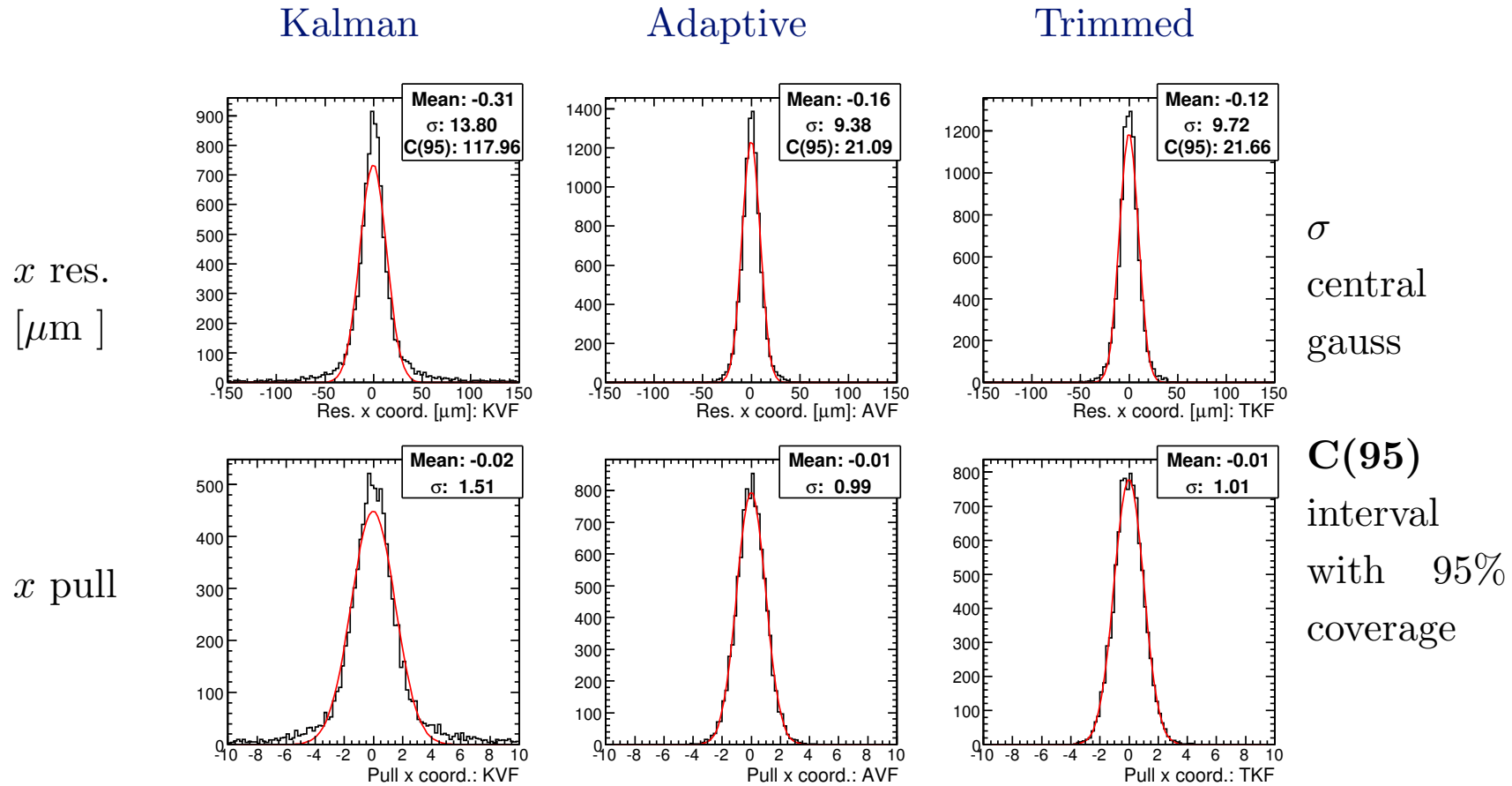
- weighted tracks, “soft assignment”
- track weight based on distance to vertex  
→ iterative procedure
- weight function

$$w_i = \frac{1}{1 + \exp \frac{\chi_i^2 - \chi_C^2}{2T}}$$

- cut-off  $\chi_C^2$ , e.g. 9  
 $\chi_i^2 > \chi_C^2 \Rightarrow w < 0.5$
- $T$  (“Temperature”), sharpness of the weight function
- starts at high “ $T$ ”, avoid local minima
- $T$  is decreased between iterations (annealing), stops at  $T = 1$
- very robust against outliers



# Comparison of Fitters, $t\bar{t}H(120\text{GeV})$ primary vertex



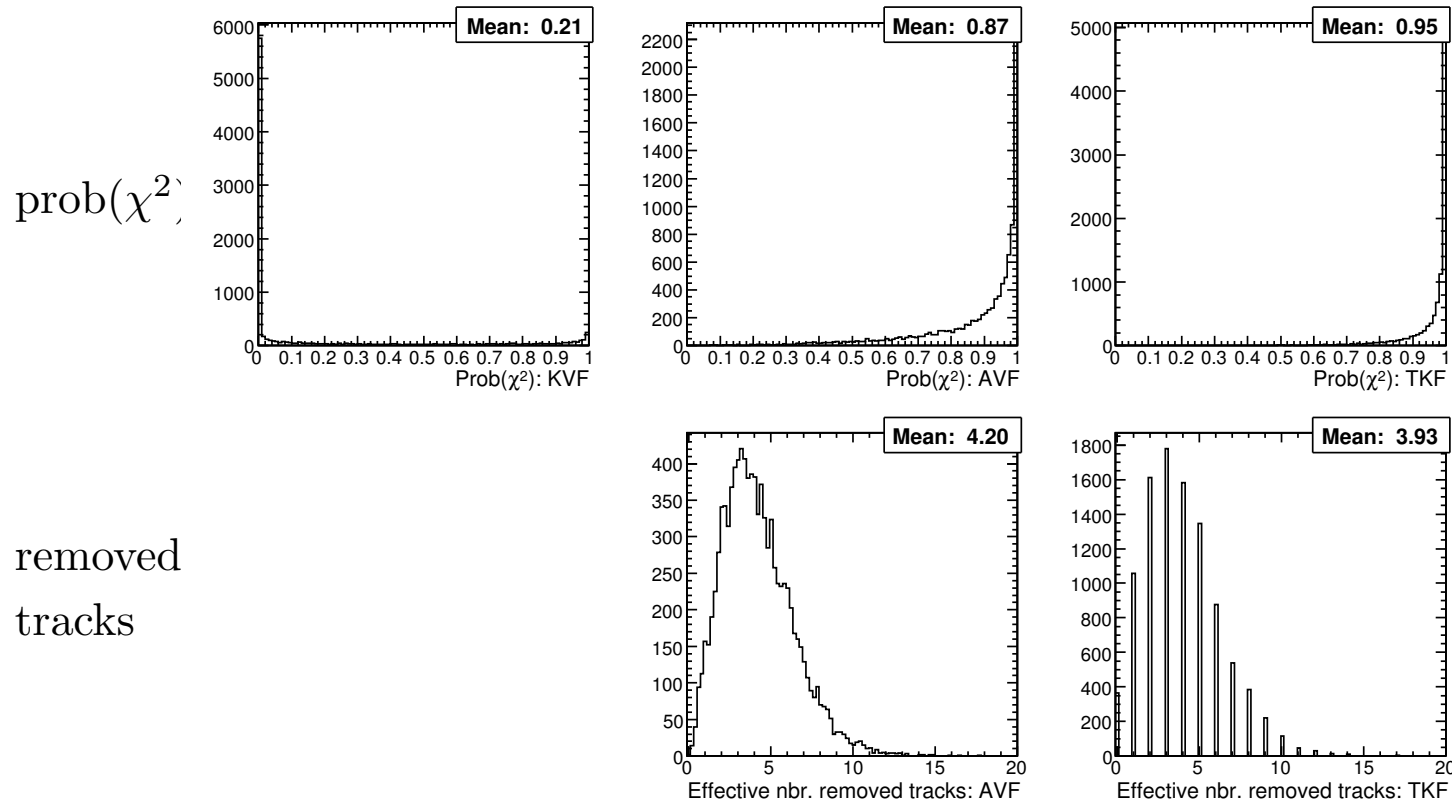
non-gaussian tails of track parameters affect (linear) Kalman Vertex Fitter  
 robust algorithms : 30% improved resolution, reduced tails, correct covariance  
 small failure rate ( $< 0.1\%$ ), CPU time  $\mathcal{O}(5\times)$

## Properties of Fitters, $t\bar{t}H(120\text{GeV})$ primary vertex

Kalman

Adaptive

Trimmed



removed  
tracks

Kalman Vertex Fitter: up to 80% of high multiplicity vertices have  $\text{Prob}(\chi^2) < 0.01$   
 robust algorithms : reject/downweight outliers  $\Rightarrow$  “pseudo  $\chi^2$ ”  
 $\mathcal{O}(10\%)$  tracks removed (Adaptive Vertex Fitter:  $\sum(1 - w_i)$ )



## Comparison of Fitters

CMS, full simulation, ORCA framework. Kalman, Adaptive, Trimmed

event sample	rec. tracks	coordinate	Std. Dev. [ $\mu\text{m}$ ]			pull		
$B_s \rightarrow J\psi\Phi$ , secondary	4	x	54.4	53.1	53.6	1.08	1.02	1.04
		z	72.9	72.3	74.2	1.08	1.02	1.05
$H \rightarrow \gamma\gamma(\text{GF})$ , primary	23.2	x	27.9	21.9	22.8	1.11	0.9	0.93
		z	54	48.3	49	1.07	0.94	0.95
$udsg$ -jets	33.3	x	19	13.2	13.5	1.45	0.97	0.99
		z	23.7	18.3	18.8	1.32	0.96	0.98
$Drell - Yan$	22.7	x	15.3	12.6	13.3	1.51	1.21	1.21
		z	26.4	22.3	22.8	1.48	1.18	1.18
$t\bar{t}H$	44.3	x	13.8	9.38	9.72	1.51	0.99	1.01
		z	17.7	12.9	13.2	1.46	1	1.02

no difference for low multiplicity

robust fitters significantly better for high multiplicities:

improved resolution and error estimates

# Gaussian Sum Fit

## Gaussian Sum:

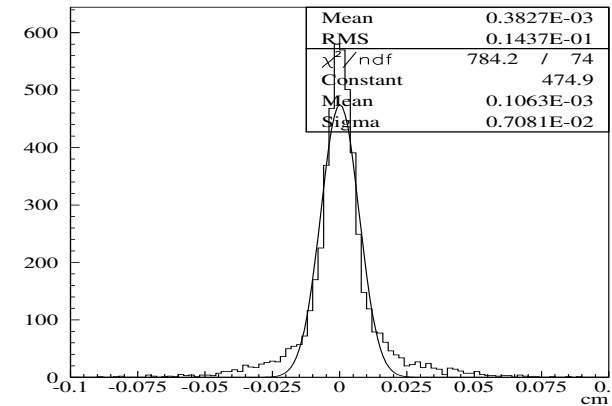
- sum of gaussians models non-gaussian pdf
- successfully used in CMS for electron track reconstruction

## Gaussian Sum Vertex Fit:

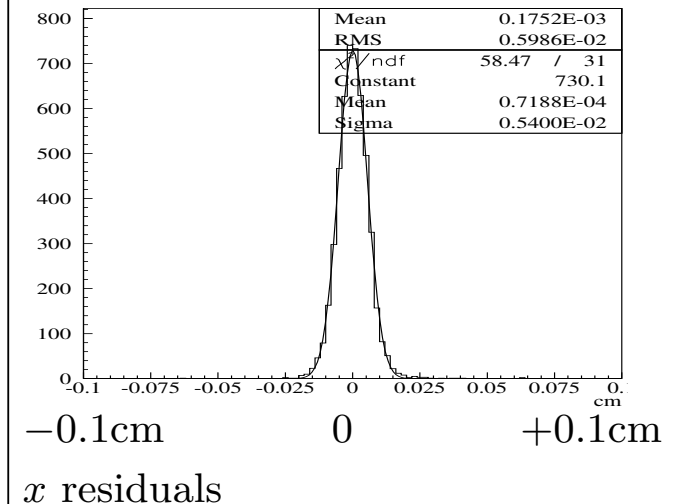
- implemented as parallel Kalman filters
- improves resolution  
reduces tails of vertex coordinates
- combinatorial growth of components while adding tracks  
can be reduced without much degradation
- vertex fit recently implemented in CMS

toy model: 4 tracks,  
90%  $100\mu\text{m}$   $\oplus 10\% 1000\mu\text{m}$

## Kalman Vertex fit:



## Gaussian Sum Vertex Fit:



# Vertex Finding in Jets

## Trimmed Kalman Vertex Finder

- like trimmed fitter, but re-use rejected tracks to form new vertices
- $b$  vertex finding efficiency 63.3%  
 $b$ -jets,  $p_T=20-70\text{GeV}$ ,  $\ell_T=100\mu\text{m}-2\text{cm}$   
vertex rate in  $uds$  events  $\mathcal{O}(1\%)$

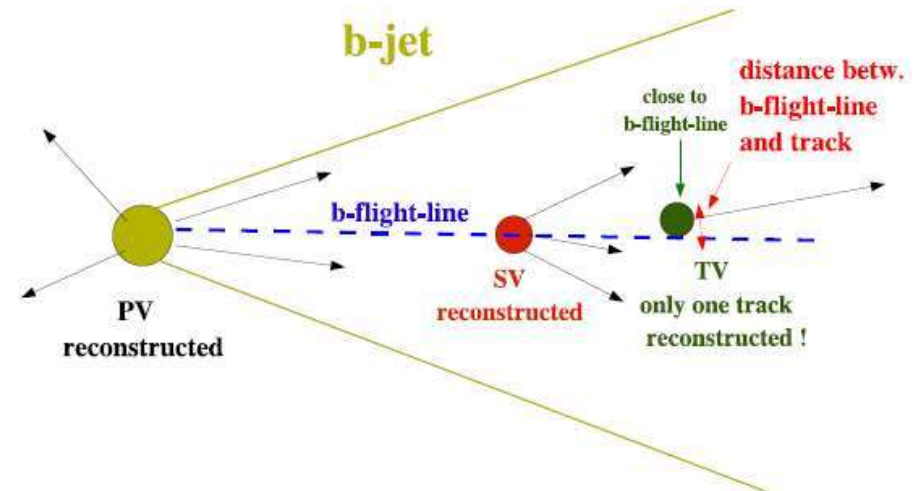
## Tertiary Vertex Finder

- find  $b \rightarrow c$  daughter tracks to improve  $b$ -tagging/  $c$  rejection

## Adaptive Multi-Vertex Fit in preparation

- primary and secondary vertex “compete” for for tracks

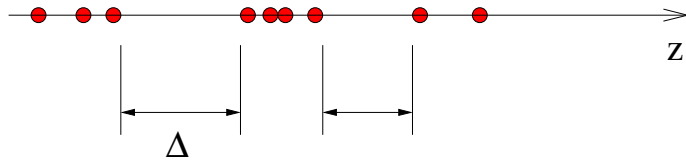
$b$ -tagging  $\rightarrow$  presentation of Ian Tomalin



## Primary Vertex Reconstruction

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- track selection  
select tracks compatible with the beam-line  
distance of closest approach  $< 3\sigma$  ( $5\sigma$ )
- cluster tracks according to their  $z$ -coordinate at the point of closest approach



split clusters where  $\Delta > 1\text{mm}$

- fit tracks of a cluster to a common vertex  
Kalman Filter ([Adaptive Vertex Fit](#))
- clean-up cuts
  - distance of vertex to beam-line  $< 200\mu\text{m}$
  - vertex fit  $\chi^2$  probability  $> 1\%$  (not needed for adaptive fit)
- sort vertices by  $\sum p_T^2$ , “signal “ vertices usually have much highest  $\sum p_T^2$

# Primary Vertex Reconstruction

efficiency =  $\epsilon_{tag}$  = prob. of true primary within  $500\mu\text{m}$  of tagged primary

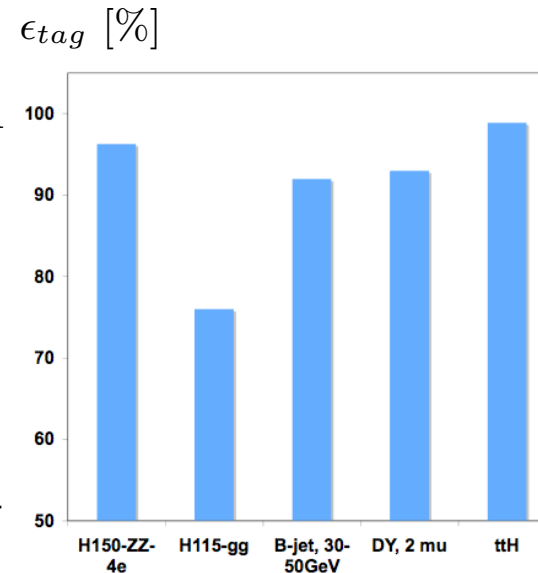
- full detector simulation
- low luminosity pile-up
- including possible track mis-assignment

efficiency and resolution improve when the  $p_T$  cut is lowered

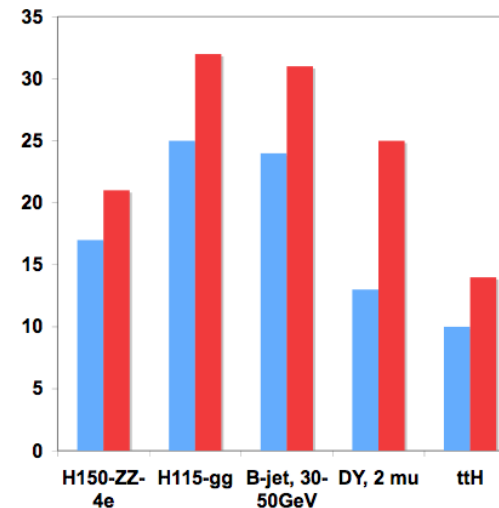
beam constraint under study

## Online Pixel Primary Vertex Reconstruction

- based on pixel tracks (triplets)
- provides z-coordinate of the Primary Vertex in High Level Triggers
- similar clustering, no fit
- high efficiency, resolution better than  $50\mu\text{m}$



primary vertex resolution [ $\mu\text{m}$ ]



## Conclusions/Outlook

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### Vertex Reconstruction in CMS

- conventional Kalman Vertex Fitter ok for low multiplicity vertices
- Adaptive vertex fit superior for high multiplicity vertices
- Gaussian Sum fitter promising for special cases (electrons)
- primary and secondary vertex finding ported to new CMS software framework
- several ongoing developments