

Recent results in 4-dimensional non-perturbative string theory

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D. Robles-Llana, F. S. , U. Theis, S. Vandoren, arXiv:0707:0838 [hep-th]

F. S., S. Vandoren, JHEP 07 (2007) 018

D. Robles-Llana, M. Roček, F. S., U. Theis, S. Vandoren, PRL 98 (2007) 211602

2007 Europhysics Conference on high energy physics

Manchester, July 20th, 2007

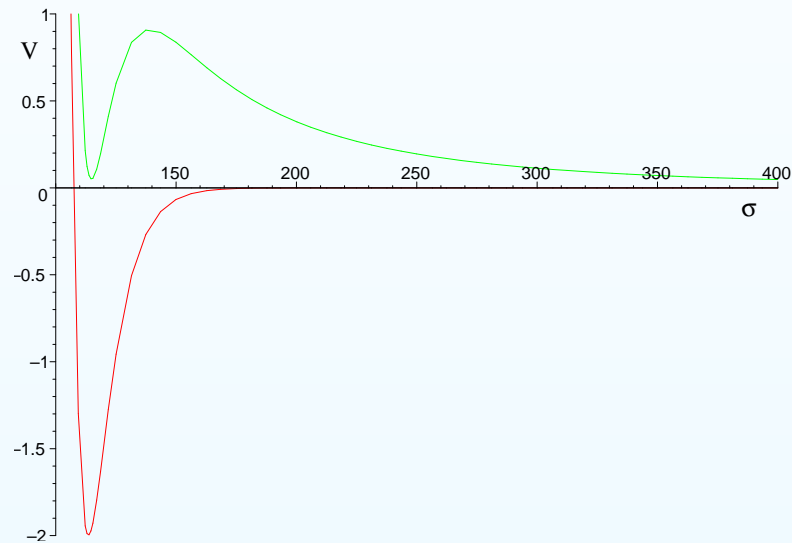
Non-perturbative effects in string theory

- source of mathematical insights for long time (e.g., mirror symmetry)
- recently: increasing role in string phenomenology
 - particle physics: generate “forbidden” couplings (neutrino masses)
 - moduli stabilization and flux vacua in type IIB strings

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A positive cosmological constant a la KKLT

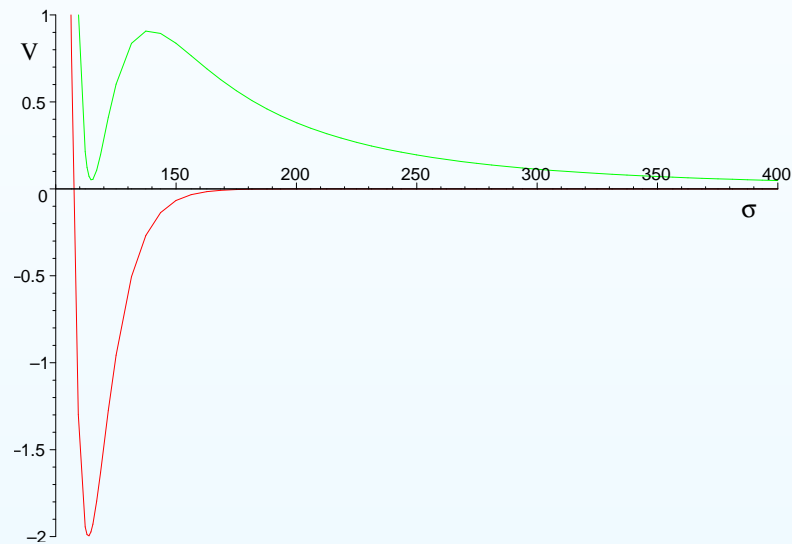


“class. fluxes + non-perturbative corrections + uplift = semirealistic physics”

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“class. fluxes + non-perturbative corrections + uplift = semirealistic physics”

Non-perturbative corrections are far from understood!

Study in a “controlled” setup

Setup: Type II strings on Calabi-Yau threefolds

Consider type IIA/IIB string theory on $M_4 \times CY_3$

- Classical effective action: Compactify $d = 10$ type II supergravity
 - \implies low energy physics: $d = 4, N = 2$ supergravity
 - \implies special properties: symmetries (e.g. $SL(2, \mathbb{Z})$ in IIB)

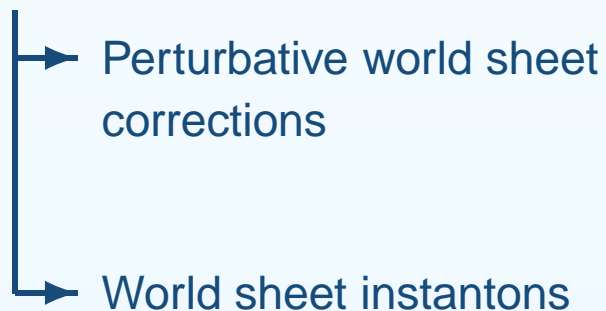
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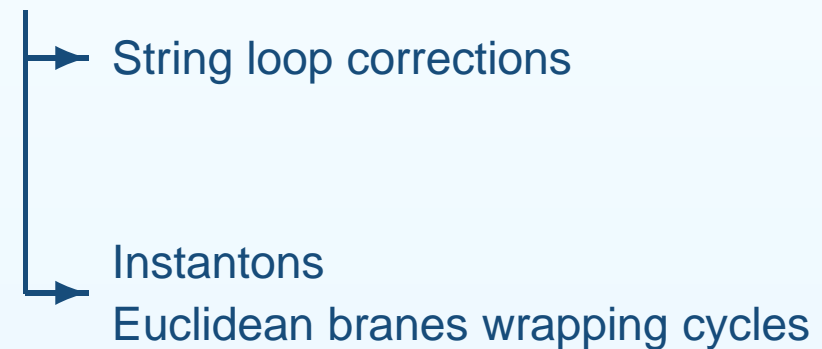
Worldsheet conformal field theory

α'



String coupling constant

g_s



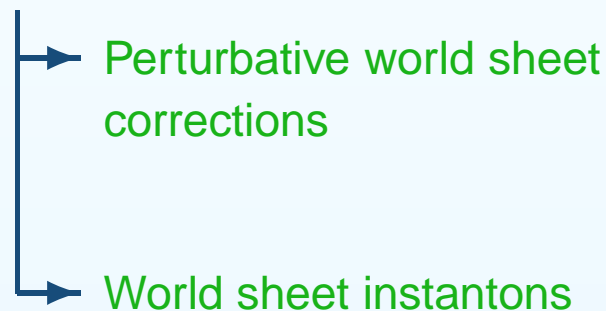
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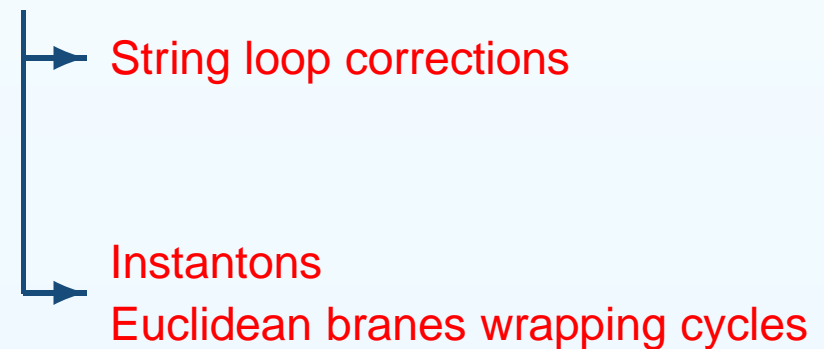
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Exploiting supersymmetry: Basic facts about $N = 2$ supergravity

Basic building blocks are supermultiplets

- supergravity multiplet: e_μ^a, A_μ
- vector multiplets (VM): X, \bar{X}, A_μ
- hypermultiplets (HM): q^1, q^2, q^3, q^4

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$N = 2$ supersymmetry \implies factorization of scalar manifolds

$$\mathcal{M} = \mathcal{M}_{\text{VM}} \otimes \mathcal{M}_{\text{HM}}$$

- profound consequences for quantum corrections
 - α' corrections: factor containing volume of CY_3
 - g_s corrections: factor containing dilaton $\tau_2 = g_s^{-1}$

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Vector multiplet couplings:

- completely determined by holomorphic prepotential $F(X)$
- kinetic terms of scalars given by Kähler potential $K = i(\bar{X}^\Lambda F_\Lambda - X^\Lambda \bar{F}_\Lambda)$

Off-shell/superspace formulation for hypermultiplets

Generically: hypermultiplet sector is very complicated

- simplification: q^i with suitable shift symmetries
 - dualize scalar $q^i \longrightarrow$ second rank tensor $E_{\mu\nu}^i$
 - multiplets: hypermultiplet \longrightarrow tensor multiplet (TM)
 $q^1, q^2, q^3, q^4 \longrightarrow v, \bar{v}, x, E_{\mu\nu}$
- brings in new tools:
 - off-shell projective superspace description
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- brings in new tools:
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 - superconformal calculus linking this to Poincaré supergravity
- HM couplings determined by single function \mathcal{L} or χ

\mathcal{L}, χ for HM have similar role as F, K for VM

Off-shell/superspace formulation for hypermultiplets

- Basic building block: $N = 2$ tensor superfield

$$\eta^I = \frac{v^I}{\zeta} + x^I - \bar{v}^I \zeta$$

- construct superspace density \mathcal{L} (of conformal tensor multiplets)

$$\mathcal{L} = \text{Im} \oint_{\mathcal{C}} \frac{d\zeta}{2\pi i \zeta} H(\eta^I)$$

- restricted to scalars \longrightarrow function $\mathcal{L}(v, \bar{v}, x)$ encoding HM sector

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- Defines a tensor potential χ :

$$\chi = -\mathcal{L} + x^I \mathcal{L}_{x^I}$$

- χ also specifies hypermultiplet sector completely
- Symmetries of the Poincaré theory \iff invariances of χ

CY₃ compactifications of type II supergravity

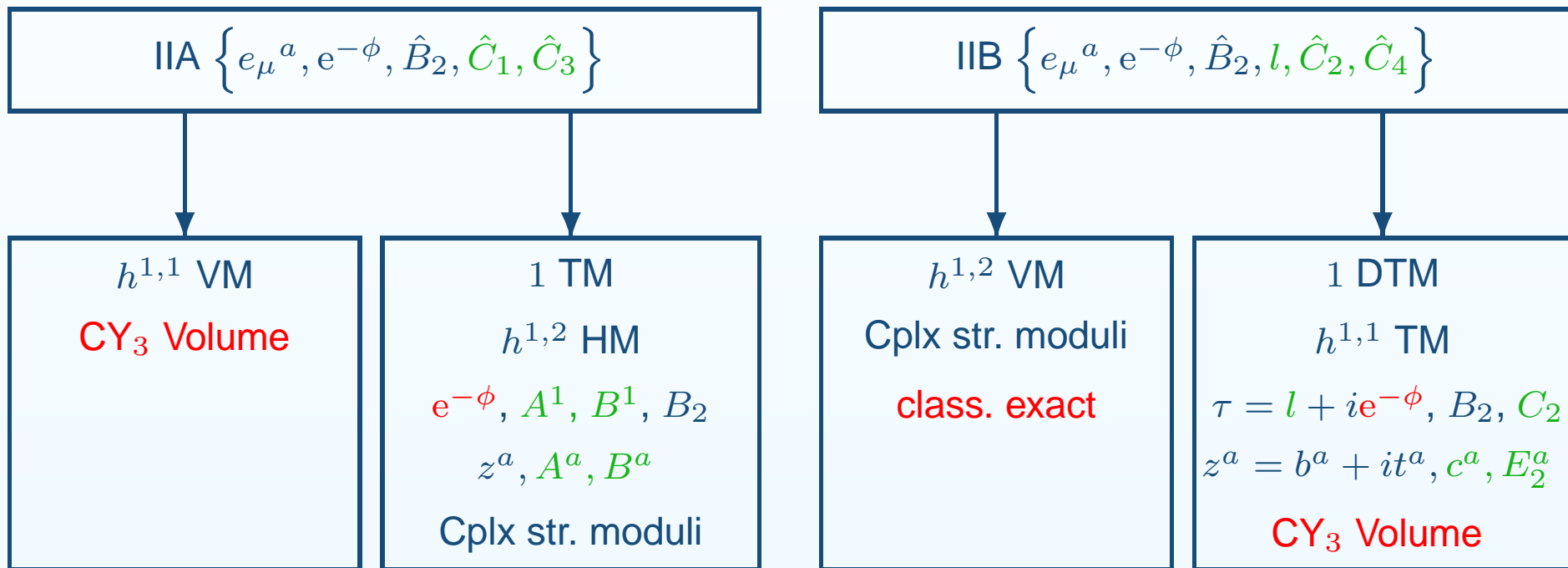
fields in effective action come from two sources

- metric: Kähler and complex structure moduli = shape, size of internal space
- reduction of other p -form fields

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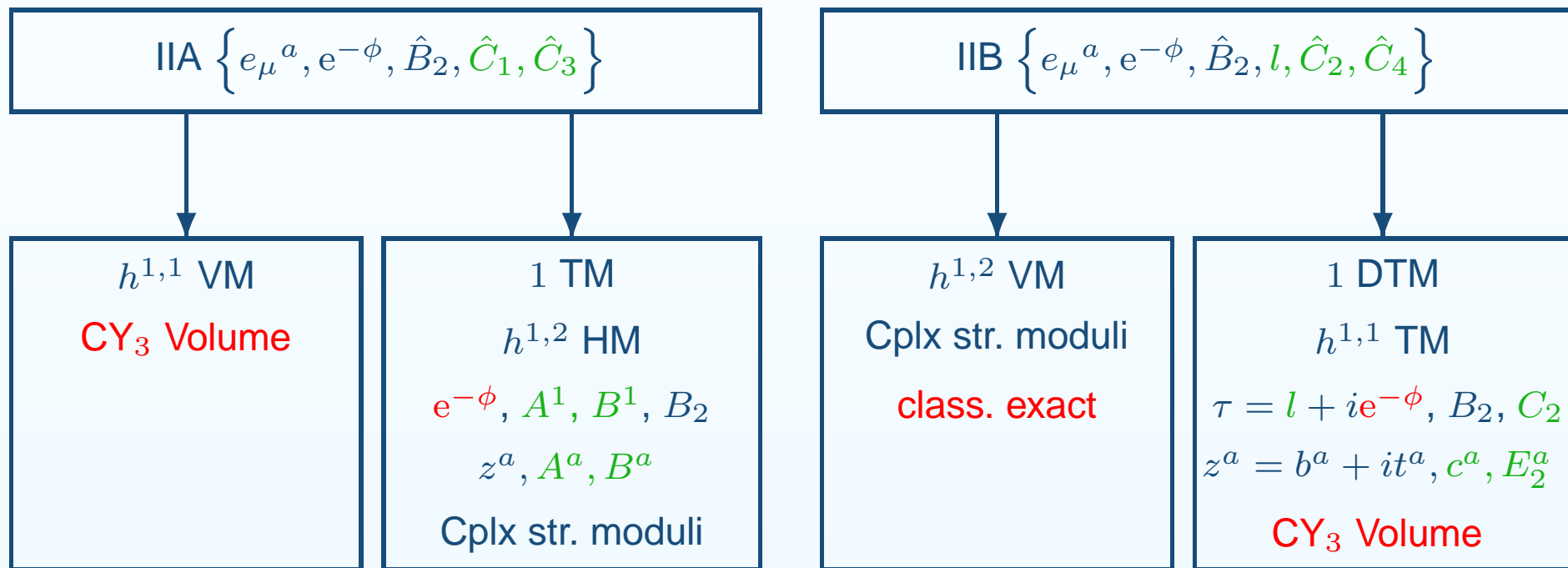
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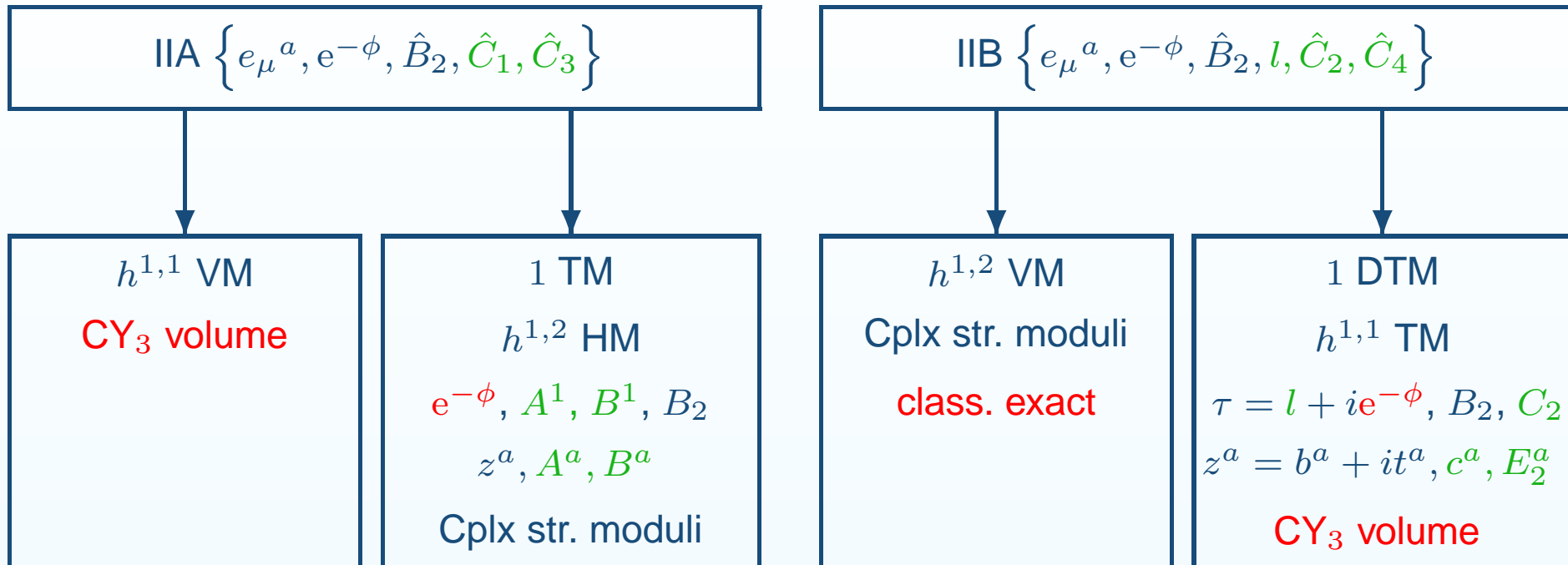
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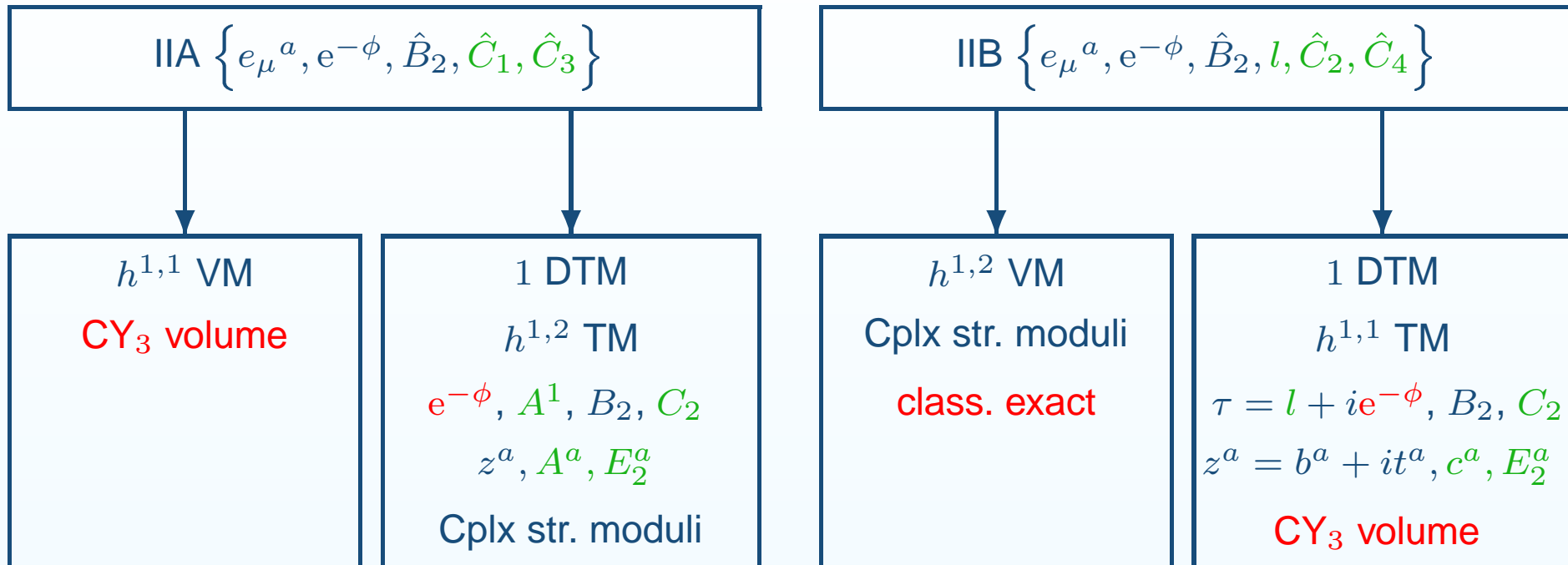
IIA/IIB dilaton is in the hypermultiplet sector
determine g_s corrections \iff study \mathcal{M}_{HM}

CY₃ compactifications of type II supergravity



- type IIB: tensor multiplets appear naturally
- type IIA: reduction of p -form gauge-fields gives shift symmetries in B^Λ
 - $\implies B^\Lambda$ can be dualized to tensors E_2^Λ
 - \implies gain: 1DTM + $h^{1,2}$ TM

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1DTM + $h^{1,2}$ TM = field content for off-shell description (\mathcal{L}, χ)

Non-perturbative corrections from D-branes

D p -branes as higher dimensional objects with $p + 1$ dimensions

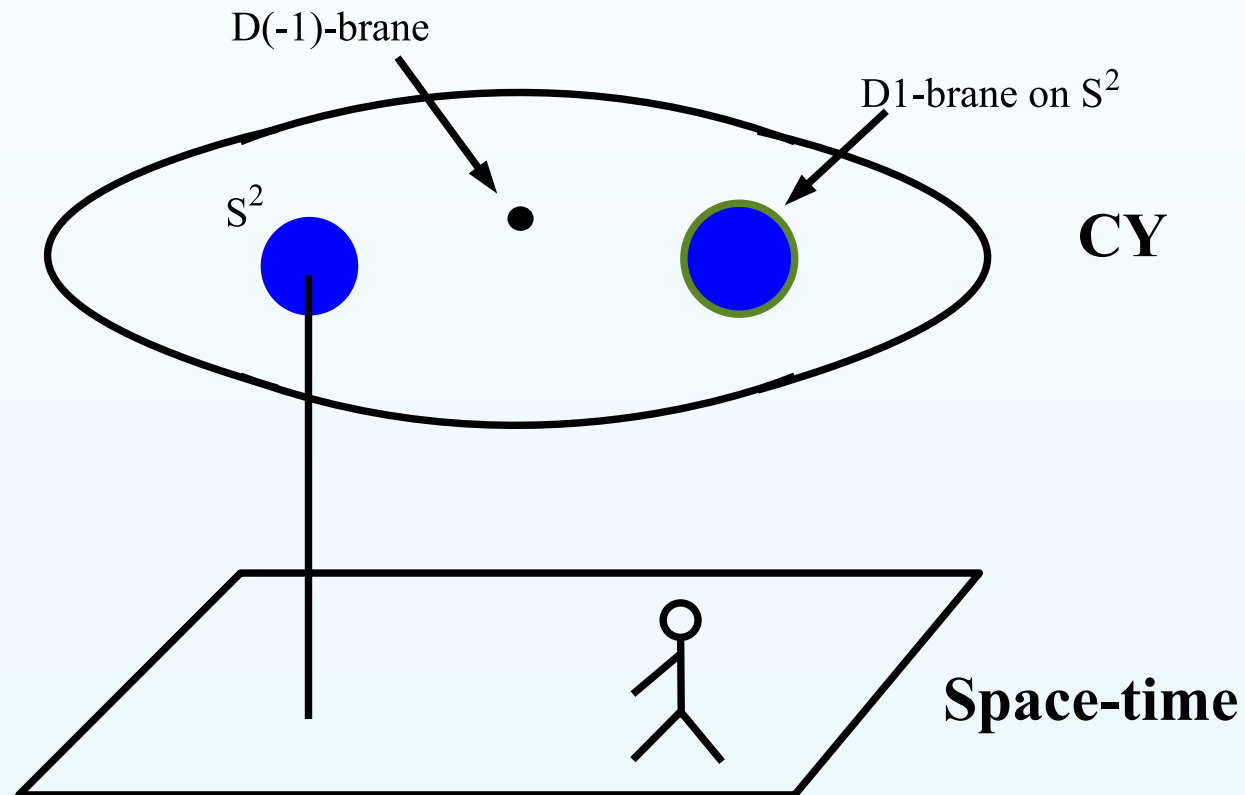
- IIA: p even: D0, D2 (membranes), D4, ...
- IIB: p odd: D(-1) (point), D1 (D-string), D3, D5, ...

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Instanton configurations in type IIB

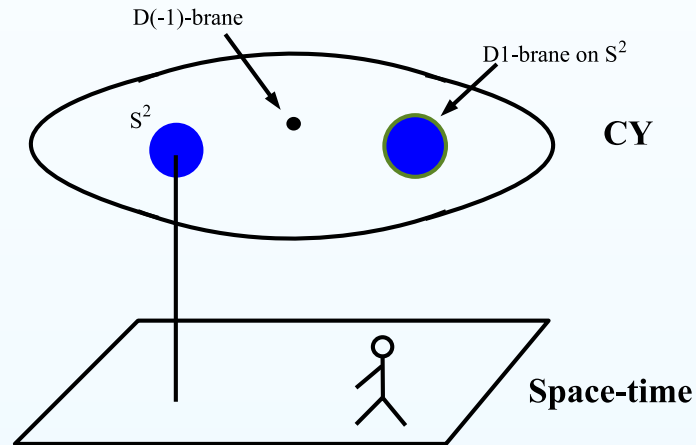


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Instanton configurations in type IIB



Instantons yield exponentially suppressed corrections to the effective action:

Example: D(-1)-instanton contributions $\propto e^{-S_{D(-1)}}$

$$S_{D(-1)} = 2\pi\tau_2 + 2\pi i\tau_1$$

Computing instanton corrections

- Direct computation rather hopeless:
 - already very complicated in quantum field theory (e.g., YM-theories)
 - no non-perturbative formulation for string theory

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- Direct computation rather hopeless:
 - already very complicated in quantum field theory (e.g., YM-theories)
 - no non-perturbative formulation for string theory
- Key idea: indirect computation using dualities of string theory:
 - duality: two string theories (or sectors) encode the same physics
 - find a sector which is classically exact
 - use duality maps to learn about quantum corrections

Dualities of type II string theory

- mirror symmetry (non-perturbative)
 - for X and Y mirror pair of Calabi-Yau manifolds
LEEA for type IIA/ X \simeq LEEA for type IIB/ Y

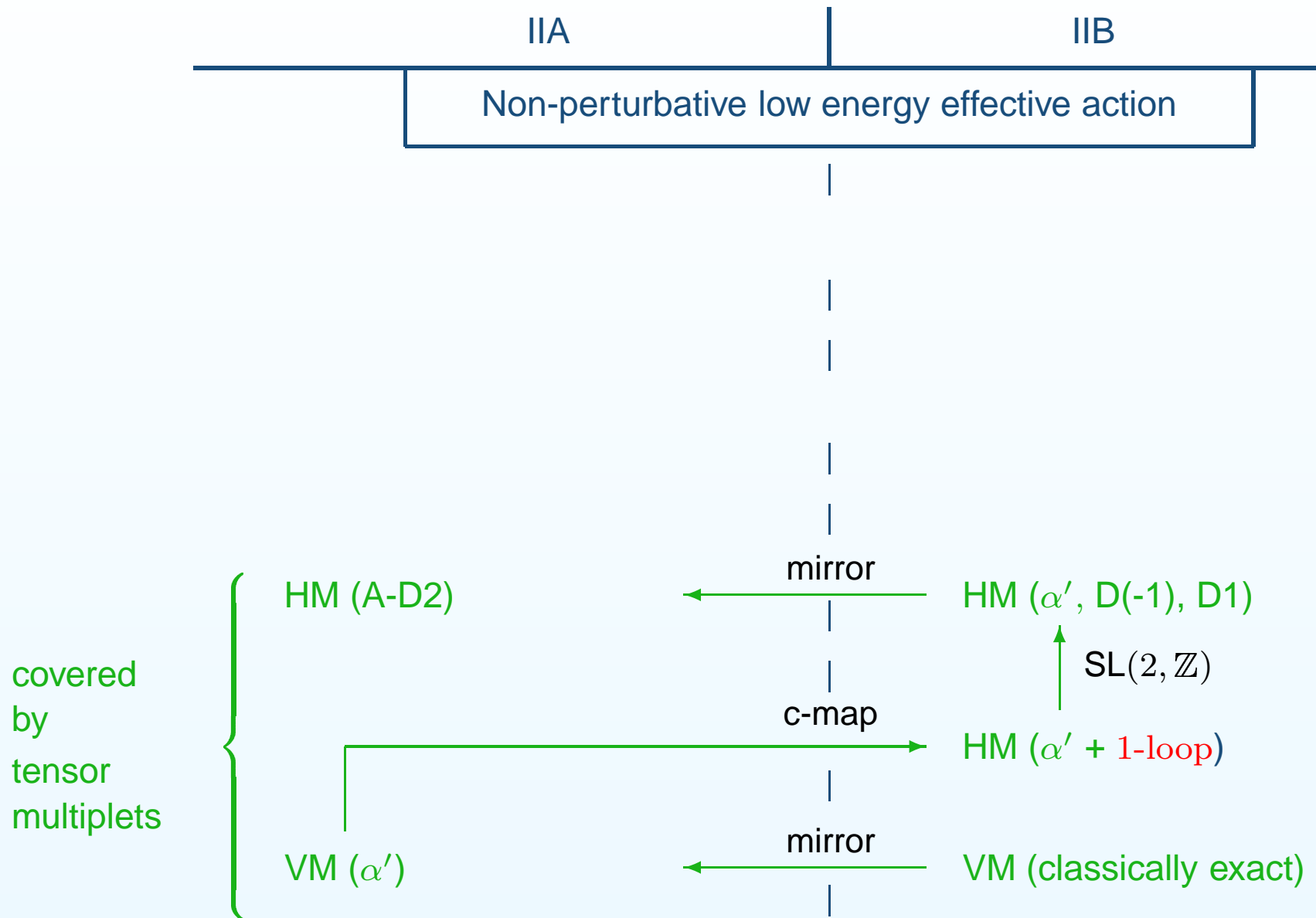
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- **T-duality (c-map)** (string tree-level)
 - IIA/IIB string theory on S^1 (swap winding \Leftrightarrow momentum modes):
type IIA/ S^1_R \simeq type IIB/ $S^1_{1/R}$
 - LEEA:
type IIA/ X vector multiplets \Leftrightarrow type IIB/ X hypermultiples

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type IIA/ X vector multiplets \Leftrightarrow type IIB/ X hypermultiples
- **$SL(2, \mathbb{Z})$ -invariance** (non-perturbative)
 - type IIB string has invariance:
$$\tau_1 \rightarrow 1/\tau_1 \text{ and } \tau_2 \rightarrow \tau_2 + 1 \text{ (+ transformation of tensors)}$$
relates e.g. fundamental strings and D-strings $\rightarrow (p, q)$ -strings
 - LEEA:
invariant under transformations inherited by 4-dimensional fields

Building a duality chain



Duality cascade I: type IIB VM sector

Starting point: IIB vector multiplets (classically exact):

- fixed by prepotential $F_{\text{VM}}^{\text{IIB}}(X)$
- X related to the complex structure moduli z^a
- $F_{\text{VM}}^{\text{IIB}}(X)$ determined by period integrals of hol. 3-form Ω

Duality cascade II: IIA VM sector via mirror symmetry

(P. Candelas, et. al., Nucl. Phys. B359 (1991) 21)

The mirror map:

- complex structure moduli X^{cs} of $\text{CY}_3 \simeq$ Kähler moduli $X^{\text{Kähler}}$ of mirror CY_3
- Exist canonical coordinates in which $F_{\text{VM}}^{\text{IIB}}(X) = F_{\text{VM}}^{\text{IIA}}(X)$

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Interpretation of $F_{\text{VM}}^{\text{IIA}}(X) = F_{\text{cl}}(X) + F_{\text{pt}}(X) + F_{\text{ws}}(X)$:

$$F_{\text{cl}}(X) = \frac{1}{3!} \kappa_{abc} \frac{X^a X^b X^c}{X^1}$$

$$F_{\text{pt}}(X) = \frac{i \zeta(3)}{2(2\pi)^3} \chi_E (X^1)^2$$

$$F_{\text{ws}}(X) = - \frac{i}{(2\pi)^3} (X^1)^2 \sum_{k_a} n_{k_a} \text{Li}_3 \left(e^{2\pi i k_a X^a / X^1} \right)$$

- F_{cl} classical part (triple intersection numbers κ_{abc})
- F_{pt} and F_{ws} are perturbative and non-perturbative α' corrections (Euler number χ_E , Gopakumar-Vafa invariants n_{k_a})

Duality cascade III: IIB HM sector in string perturbation theory

(M. Roček, C. Vafa, S. Vandoren, hep-th/0512206)

(D. Robles-Llana, F.S., S. Vandoren, hep-th/0602164)

perturbative IIB HM sector (classical c-map + 1-loop correction):

$$\mathcal{L}(v, \bar{v}, x) = \text{Im} \oint_{\mathcal{C}} \frac{d\zeta}{2\pi i \zeta} \left[\frac{F(\eta^\Lambda)}{\eta^0} + \frac{i \chi_E}{(2\pi)^3} 2\zeta(2) \eta^0 \ln(\eta^0) \right]$$

- $F(\cdot)$ prepotential of dual VM sector
- η^0 conformal compensator (additional TM)
- contour \mathcal{C} taken “zero” of $\zeta \eta^0$

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Determine χ using physical fields ($z^a = b^a + it^a$, $t^a =$ Kähler modulus)

$$\chi_{\text{cl}} = 4 r^0 \tau_2^2 \frac{1}{3!} \kappa_{abc} t^a t^b t^c$$

$$\chi_{\text{pt}} = \frac{1}{(2\pi)^3} r^0 \chi_E [\zeta(3) \tau_2^2 + 2\zeta(2)]$$

$$\chi_{\text{ws}} = - \frac{r^0 \tau_2^2}{(2\pi)^3} \sum_{k_a} n_{k_a} \left[\text{Li}_3(e^{2\pi i k_a z^a}) + 2\pi k_a t^a \text{Li}_2(e^{2\pi i k_a z^a}) + c.c. \right]$$

Duality cascade IV: $SL(2, \mathbb{Z})$ invariance of IIB LEEA

4-dimensional fields transform under $SL(2, \mathbb{Z})$ of type IIB string

$$\tau \mapsto \frac{a\tau + b}{c\tau + d}, \quad t^a \mapsto t^a |c\tau + d|, \quad b^a \mapsto d b^a + c c^a, \quad c^a \mapsto b b^a + a c^a; \quad (r^0 \mapsto r^0 |c\tau + d|)$$

Basic idea: IIB string has non-perturbative $SL(2, \mathbb{Z})$ -invariance

- observation: α', g_s -corrections break $SL(2, \mathbb{Z})$ -invariance of classical LEEA
- \implies restore $SL(2, \mathbb{Z})$ -invariance of LEEA
- \iff complete χ to a modular invariant function

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Classical contribution:

$$\chi_{\text{cl}} = \frac{4}{3!} (r^0 \sqrt{\tau_2}) \kappa_{abc} (\sqrt{\tau_2} t^a) (\sqrt{\tau_2} t^b) (\sqrt{\tau_2} t^b)$$

- each bracket is an $SL(2, \mathbb{Z})$ invariant
- $\implies \chi_{\text{cl}}$ is modular invariant!
- reflects $SL(2, \mathbb{Z})$ invariance of the classical LEEA

Duality cascade IV: Restoring $SL(2, \mathbb{Z})$ invariance of χ_{pt}

- Perturbative contributions are NOT modular invariant!

$$\chi_{\text{pt}} = \frac{1}{2(2\pi)^3} r^0 \sqrt{\tau_2} \chi_E \left[\underbrace{2\zeta(3) \tau_2^{3/2}}_{\text{pert.}\alpha'} + \underbrace{4\zeta(2) \tau_2^{-1/2}}_{\text{pert.}g_s} \right]$$

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- compare to non-holomorphic Eisenstein series

$$Z_{3/2} = 2\zeta(3) \tau_2^{3/2} + 4\zeta(2) \tau_2^{-1/2} + 8\pi \sqrt{\tau_2} \sum_{m \neq 0, n > 0} \left| \frac{m}{n} \right| e^{2\pi i m n \tau_1} K_1(2\pi |m n| \tau_2)$$

- Sum over images provides modular completion:

$$\chi_{(-1)} = \frac{1}{2(2\pi)^3} r^0 \sqrt{\tau_2} \chi_E Z_{3/2}(\tau, \bar{\tau})$$

- First two terms: perturbative α' and g_s corrections
- Sum: $D(-1)$ instanton contributions

Duality cascade IV: Restoring $SL(2, \mathbb{Z})$ invariance of χ_{ws}

- Worksheet-instanton contribution also NOT modular invariant

$$\chi_{ws} = -\frac{r^0 \tau_2^2}{(2\pi)^3} \sum_{k_a} n_{k_a} \operatorname{Re} \left[\operatorname{Li}_3 \left(e^{2\pi i k_a z^a} \right) + 2\pi k_a t^a \operatorname{Li}_2 \left(e^{2\pi i k_a z^a} \right) \right]$$

- $SL(2, \mathbb{Z})$ multiplet: fundamental string + D(1)-string + their bound states

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- $SL(2, \mathbb{Z})$ multiplet: fundamental string + D(1)-string + their bound states
- Modular completion using sum over images:

$$\chi_{(1)} = -\frac{|r^0| \sqrt{\tau_2}}{(2\pi)^3} \sum_{k_a} n_{k_a} \sum'_{m,n} \frac{\tau_2^{3/2}}{|m\tau + n|^3} (1 + 2\pi |m\tau + n| k_a t^a) e^{-S_{m,n}} .$$

$S_{m,n}$ is the instanton action for (p, q) -string

$$S_{m,n} = 2\pi \left(|m\tau + n| k_a t^a - i k_a (n b^a + m c^a) \right) .$$

Duality cascade V: A-type D2-instantons from mirror symmetry

Mirror map in the hypermultiplet sector:

$$\phi_{\text{IIA}} = \phi_{\text{IIB}}, \quad A^1 = \tau_1, \quad A^a = -(c^a - \tau_1 b^a), \quad z_{\text{IIA}}^a = z_{\text{IIB}}^a$$

- map: IIB D(-1) + D1-instantons \simeq (A-type) D2-instantons in IIA

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IIB HM	SL(2, \mathbb{Z})-invariant	IIA HM	composed from IIB terms
χ_{cl}	$= \chi_{\text{cl}}$	χ_{tree}	$= \chi_{\text{cl}} + \chi_{\text{ws-pert}} + \chi_{\text{ws-inst}}$
$\chi_{(-1)}$	$= \chi_{\text{ws-pert}} + \chi_{\text{loop}} + \chi_{\text{D}(-1)}$	χ_{loop}	$= \chi_{\text{loop}}$
$\chi_{(1)}$	$= \chi_{\text{ws-inst}} + \chi_{\text{D1}}$	$\chi_{\text{A-D2}}$	$= \chi_{\text{D}(-1)} + \chi_{\text{D1}}$

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$\chi_{(-1)}$	$= \chi_{\text{ws-pert}} + \chi_{\text{loop}} + \chi_{\text{D}(-1)}$	χ_{loop}	$= \chi_{\text{loop}}$
$\chi_{(1)}$	$= \chi_{\text{ws-inst}} + \chi_{\text{D1}}$	$\chi_{\text{A-D2}}$	$= \chi_{\text{D}(-1)} + \chi_{\text{D1}}$

- A-type D2-instanton contribution

$$\chi_{\text{A-D2}} = -\frac{r^0 \tau_2}{2\pi^2} \sum_{k_\Lambda} n_{k_\Lambda} \sum_{m \neq 0} \frac{1}{|m|} |k_\Lambda z^\Lambda| K_1(2\pi\tau_2 |m k_\Lambda z^\Lambda|) e^{-2\pi i m k_\Lambda A^\Lambda}$$

$$k_\Lambda = \{n, k_a\}, \quad n_{k_\Lambda} = \left\{-\frac{\chi_E}{2}, n_{k_a}\right\}, \quad z^\Lambda = \{1, z^a\}, \quad A^\Lambda = \{A^1, A^a\}$$

Summary . . .

Type II strings compactified on Calabi-Yau threefolds:

- hypermultiplet sector receives corrections from Euclidean D-branes
 - corrections encoded in functions \mathcal{L}, χ
- determined and summed up classes of instanton corrections to all others in g_s
 - D(-1), D1-instanton corrections in IIB
 - A-type D2-instanton corrections in IIA

Summary and Outlook

Type II strings compactified Calabi-Yau threefolds:

- LEEA receives instanton corrections in the hypermultiplet sector
 - $\mathcal{N} = 2$ hypermultiplet sector determined by functions \mathcal{L}, χ
- determined classes of instanton contributions to all others in g_s
 - D(-1), D1-instanton corrections in IIB
 - A-type D2-instanton corrections in IIA

Outlook:

- Consequences for moduli stabilization and flux compactifications?
- Include other instantons classes (B-D2, NS5-branes)?

Duality chain for the LEEA of type II strings on CY_3

