

# More room for sterile neutrino dark matter

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## Three Generations of Matter (Fermions) spin $\frac{1}{2}$

	I	II	III
mass →	2.4 MeV	1.27 GeV	171.2 GeV
charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$
name →	Left <b>u</b> Right up	Left <b>c</b> Right charm	Left <b>t</b> Right top
Quarks	4.8 MeV	104 MeV	4.2 GeV
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$
	Left <b>d</b> Right down	Left <b>s</b> Right strange	Left <b>b</b> Right bottom
Leptons	$<0.0001$ eV $\sim 10$ keV	$\sim 0.01$ eV $\sim$ GeV	$\sim 0.04$ eV $\sim$ GeV
	0	0	0
	Left <b><math>\nu_e</math></b> Right electron neutrino	Left <b><math>\nu_\mu</math></b> Right muon neutrino	Left <b><math>\nu_\tau</math></b> Right tau neutrino
	sterile neutrino <b><math>N_1</math></b>	sterile neutrino <b><math>N_2</math></b>	sterile neutrino <b><math>N_3</math></b>
	0.511 MeV	105.7 MeV	1.777 GeV
	-1	-1	-1
Left <b>e</b> Right electron	Left <b><math>\mu</math></b> Right muon	Left <b><math>\tau</math></b> Right tau	

Bosons (Forces) spin 1	0	spin 0
	0	
	0	
	0	
	91.2 GeV	
	0	
0	spin 0	
0		
80.4 GeV	spin 1	
$\pm 1$		

# Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With  $m_{\text{active}} \lesssim 1 \text{ eV}$  we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i \not{\partial} N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains  $\langle H \rangle = v/\sqrt{2}$  and then

$$\mathcal{Y}_N = \frac{1}{2} (\bar{\nu}_e, \bar{N}^c) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} \nu_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy  $M_N \gg M^D \equiv v \frac{f}{\sqrt{2}}$  we have

flavor state  $\nu_e = U \nu_1 + \theta N$  with  $U \approx 1$  and

active-sterile mixing:  $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{\text{active}} = \theta^2 M_N \lll M_N$$

# Sterile neutrino: a vast region of mass

Within the seesaw paradigm, as far as

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

Any set

(mass scale  $M_N$ , Yukawa coupling  $f$ )

is viable

And with special tuning or symmetry larger (but not smaller) mixing

is viable

$$\hat{m}_a \sim \hat{f}^T \frac{1}{\hat{M}_N} \hat{f} v^2$$

# Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable,  $N \rightarrow \nu \nu \nu$  is always open  
but exceeding the age of the Universe if

(applicable for  $M_N < M_W$ )

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left( G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \implies \theta^2 < 1.5 \times 10^{-7} \left( \frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint  $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left( G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV} / M_N)^4$$

# Sterile neutrino: indirect searches

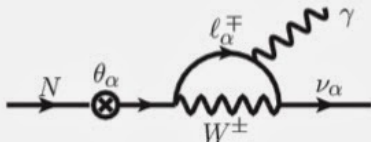
$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- **unstable**, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left( \frac{10 \text{ keV}}{M_N} \right)^5$$

- **DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay** give limits in absence of the feature

a narrow line ( $\delta E_\gamma / E_\gamma \sim \nu \sim 10^{-3}$ )  
at photon frequency  $E_\gamma = M_N/2$



$$\frac{\theta^2}{10^{-11}} \lesssim \left( \frac{10 \text{ keV}}{M_N} \right)^4$$

$$F_\gamma \propto \Gamma_N \rho_N / M_N \dots$$

# Can seesaw neutrino serve as DM ?

$$\frac{\theta^2}{10^{-11}} \lesssim \left( \frac{10 \text{ keV}}{M_N} \right)^4$$

one order down

$$\frac{\theta^2}{10^{-7}} \lesssim \left( \frac{1 \text{ keV}}{M_N} \right)^4$$

...

$$\frac{\theta^2}{10^{-5}} \sim \left( \frac{m_a}{0.1 \text{ eV}} \right) \left( \frac{10 \text{ keV}}{M_N} \right)$$

$$\frac{\theta^2}{10^{-4}} \sim \left( \frac{m_a}{0.1 \text{ eV}} \right) \left( \frac{1 \text{ keV}}{M_N} \right)$$

How light can be this dark matter ?

# Dark Matter Particle Properties

$$p = 0$$

- 1 **stable** on cosmological time-scale
- 2 **nonrelativistic** long before RD/MD-transition (either **Cold** or **Warm**,  $v_{RD/MD} \lesssim 10^{-3}$ ,  $\rightarrow M_X \gtrsim 1\text{keV}$  for thermal production)
- 3 (almost) **collisionless**
- 4 (almost) electrically **neutral**

Pauli blocking for fermions in a galaxy:

$$M_X \gtrsim 750 \text{ eV}$$

$$f(\mathbf{p}, \mathbf{x}) = \frac{\rho_X(\mathbf{x})}{M_X} \cdot \frac{1}{(\sqrt{2\pi} M_X v_X)^3} \cdot e^{-\frac{p^2}{2M_X^2 v_X^2}} \Bigg|_{\mathbf{p}=0} \leq \frac{g_X}{(2\pi)^3}$$



# Refined constraint for DM: phase space density

after decoupling  $f_i = f_i(\kappa) = \text{const}$  and defines **psd**, which remains intact due to the Liouville theorem even in galaxies with inhomogeneous distribution in space

**coarse grained phase space density:**

$$f(\kappa, \mathbf{x}, t) \leq \max_{\kappa} f_i(\kappa)$$

observation:

$$Q = \frac{\rho}{\langle v_{\parallel}^2 \rangle^{3/2}} \equiv \mathcal{Q} \cdot 1 \frac{M_{\odot}/\text{pc}^3}{(\text{km/s})^3} = \left( 5 \cdot 10^{-3} - 2 \cdot 10^{-2} \right) \frac{M_{\odot}/\text{pc}^3}{(\text{km/s})^3}.$$

$$Q \simeq 3^{3/2} \frac{\rho_{DM}}{\langle v_{DM}^2 \rangle^{3/2}} = 3^{3/2} m^4 \frac{n}{\langle P^2 \rangle^{3/2}} = 3^{3/2} m^4 f(\mathbf{P}, \mathbf{x}).$$

$$m^4 \gtrsim \frac{Q}{3^{3/2} \max f_i}$$

# Sterile neutrino production in the early Universe

- before the EW transition,  $T > T_{EW}$

$$H \rightarrow L + N, \quad \frac{\Gamma_{H \rightarrow \nu_a N}}{H} \simeq \frac{f_\nu^2}{16\pi} \frac{T}{H} \ll 1,$$

- after the EW transition,  $T < T_{EW}$

- 1 r.h. neutrino production in scatterings

$$\nu_L + X \rightarrow N_R + Y, \quad \Gamma \propto \frac{M_D^2}{T^2}$$

- 2 sterile neutrino production in oscillations

# Production in oscillations

$$\frac{\partial}{\partial t} f_s(t, \mathbf{p}) - H\mathbf{p} \frac{\partial}{\partial \mathbf{p}} f_s(t, \mathbf{p}) = \Gamma_\alpha P(\nu_\alpha \rightarrow \nu_s) f_\alpha(t, \mathbf{p}).$$

$\Gamma_\alpha \propto G_F^2 T^4 E$  is the **weak interaction** rate in plasma

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left( \frac{t}{2t_\alpha^{\text{mat}}} \right),$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}},$$

$$\sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

sign of the **effective plasma potential** matters:

$$V_{\alpha\alpha} < 0 \implies \text{mixing gets suppressed}$$

$$V_{\alpha\alpha} > 0 \implies \text{amplification via resonance}$$

## DM from oscillations:

(DW &amp; ShF)

$$(\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2$$

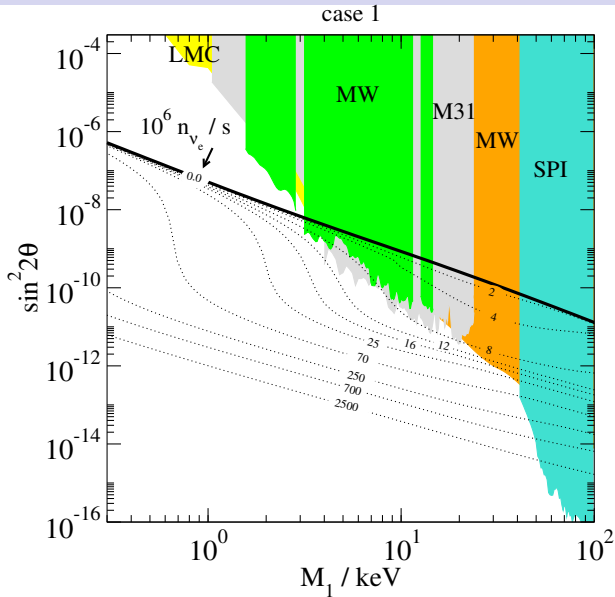
non-resonant:

$$V_{\alpha\alpha} \sim -\# G_F^2 T^4 E$$

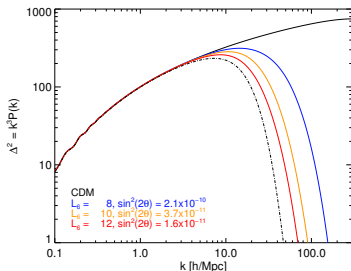
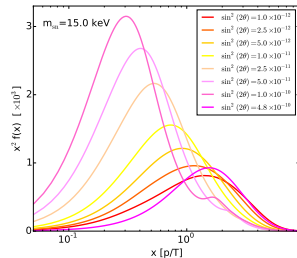
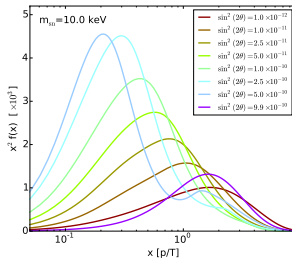
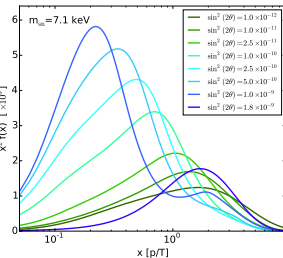
resonant production in  
the lepton asymmetric  
plasma

$$V_{\alpha\alpha} \sim +\# G_F T^2 \mu_{L_\alpha}$$

1601.07553



## Sterile neutrino spectra from oscillations



1601.07553

non-resonant production:

thermal-shape spectrum

both models imply Warm DM,  $v_{EQ} \sim 10^{-3}$ ,  
Free Streaming at scales  $L \lesssim v_{EQ}/H_{EQ} \rightarrow$

no such structures

1611.00005

$$v = \frac{\langle p \rangle}{m} = 3.15 \frac{T}{m} \left( \frac{g_*, 0}{g_*} \right)^{1/3}$$

# Refined constraint for DM: phase space density

for non-resonance production

D.G., A.Khmelnitsky, V.Rubakov (2008)

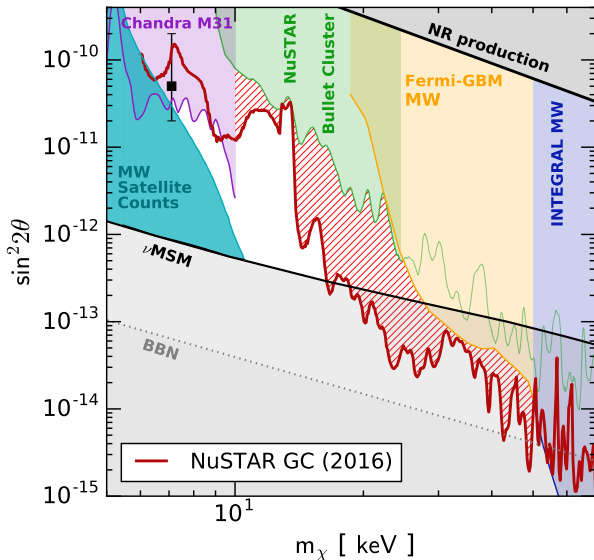
$$m \gtrsim 6 \text{ keV} \cdot \left( \frac{0.2}{\Omega_{DM}} \right)^{1/3} \left( \frac{2}{5 \cdot 10^{-3}} \right)^{1/3} \left( \frac{g_*(T_d)}{43/4} \right)^{1/3},$$

and about 3-6 keV for resonant one

F.Bezrukov, D.G. (work in progress)

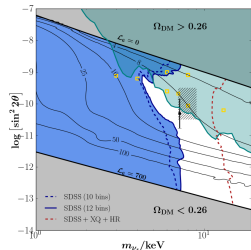
## ... present searches

1609.00667, 1706.03118



- (not a seesaw  $\theta^2 \sim 10^{-5} (10 \text{ keV} / M_N)$ )
- upper limits on mixing: from X-ray searches
- lower limits on mass: from structure formation

$$\lambda_{FS} \sim 1 \text{ Mpc} \times \frac{\text{keV}}{M_N} \frac{\langle \rho_N \rangle}{\langle \rho_V \rangle}$$



## Closing sterile neutrino DM? ... in a minimal variant

situation changes with just 1 new d.o.f.

$\phi \bar{N}^c N$

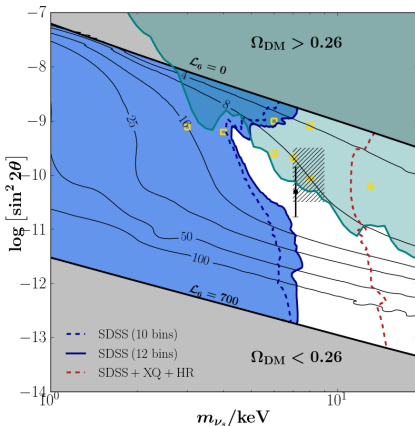
- reopen large mixings with  $\Omega_N < \Omega_{DM}$  (part of DM)

to avoid X-ray bounds:

$$\theta_{X-ray}^2 = \theta_{\alpha 1}^2 \frac{\Omega_N}{\Omega_{DM}}$$

direct searches: Troitsk, KATRIN  
can be seesaw neutrino

- small mixing: dominant DM testing with future telescopes
- reopen small masses with  $\nu_N \ll \nu_{WDM}$ ,  
e.g. cold sterile neutrino





# Larger mixing: Suppression of production

Form only a fraction of DM !!

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2 \left( \frac{t}{2t_\alpha^{\text{mat}}} \right), \quad \sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha,$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}}, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

Most efficient production occurs at

(DW)

$$T_{\text{max}} \approx 133 \text{ MeV} \left( \frac{1 \text{ keV}}{M_N} \right)^{1/3}$$

It is suppressed if  $T_{\text{reh}} \ll T_{\text{max}}$

# Suppression of cosmological production

Add more ingredients e.g.

$$\bar{L}\tilde{H}N + M_N\bar{N}^c N \rightarrow \bar{L}\tilde{H}N + \phi\bar{N}^c N$$

Scalar? Majoron?

(lepton symmetry)

$$P(\nu_\alpha \rightarrow \nu_s) = \sin^2 2\theta_\alpha^{\text{mat}} \cdot \sin^2\left(\frac{t}{2t_\alpha^{\text{mat}}}\right), \quad \sin 2\theta_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{mat}}}{t_\alpha^{\text{vac}}} \cdot \sin 2\theta_\alpha,$$

$$t_\alpha^{\text{mat}} = \frac{t_\alpha^{\text{vac}}}{\sqrt{\sin^2 2\theta_\alpha + (\cos 2\theta_\alpha - V_{\alpha\alpha} \cdot t_\alpha^{\text{vac}})^2}}, \quad t_\alpha^{\text{vac}} = \frac{2E}{M_N^2}$$

Coupling to scalar can change  
the effective neutrino Hamiltonian in the primordial plasma

$$\begin{pmatrix} V_{\alpha\alpha} & M_D \\ M_D & V_{NN} + M_N \end{pmatrix}$$

# Suppression of production with $\phi \bar{N}^c N$

- strong coupling to scalar or Majoron, which decreases the active-sterile mixing in primordial plasma

e.g. L.Bento, Z.Berezhiani (2001)

$$\phi NN \rightarrow G \bar{N} N \bar{N} N \rightarrow V_{NN}$$

- homogeneous  $\phi = \phi(t)$  makes sterile neutrino mass changing in cosmology, which suppresses the early-time oscillations

F.Bezrukov, A.Chudaykin, D.G. (2017)

$$\phi(t) NN \rightarrow M_N = M_N(t) = M_N(T)$$

- ▶ sterile neutrinos are massless in the early Universe
- ▶ sterile neutrinos are superheavy in the early Universe

# Massless in the early Universe

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) + \frac{f}{2} \phi \bar{N}^c N + \text{h.c.}$$

with a hidden sector... to make the phase transition:

$$T > T_c \implies \langle \phi \rangle = 0, \quad M_N = 0$$

$$T < T_c \implies \langle \phi \rangle = v_\phi, \quad M_N = f v_\phi$$

So the neutrino is pure Dirac fermion at the beginning...

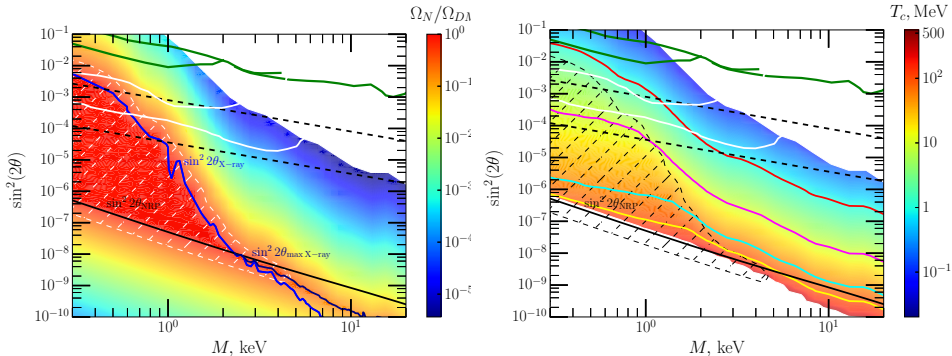
The production in oscillations will be suppressed, if

$$T_c < T_{max} \approx 133 \text{ MeV} \left( \frac{1 \text{ keV}}{M_N} \right)^{1/3}$$

there is always a chirality flip contribution  $\propto M_D^2/E^2$

similar for  $\langle \phi \rangle \neq 0$  disappearing later...

## Results: large mixing is allowed

for details see [1705.02184](#)

Important:

$$m_a \sim \theta^2 M_N$$

- 1 seesaw light sterile neutrino (dashed lines:  $m_a \sim 0.008 - 0.2$  eV)
- 2 can be directly tested !! (between green and white lines)
- 3 Warm, so most probably only a part of DM

# The oscillating scalar field

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_\phi^2 \phi^2 + \frac{f}{2} \phi \bar{N}^c N + \text{h.c.}$$

homogeneous scalar field in FLRW expanding Universe

$$\ddot{\phi} + 3H\dot{\phi} + m_\phi^2 \phi = 0$$

two-stage evolution:

$$m_\phi < H(t) \implies \phi = \phi_i = \text{const}$$

$$m_\phi > H(t) \implies \rho = \langle E_k \rangle - \langle E_p \rangle = 0, \quad \rho \sim m_\phi^2 \phi^2 \propto 1/a^3$$

- At  $m_\phi < H(t)$  sterile neutrino mass is  $M = M_N + f\phi_i \gg M_N$
- At present sterile neutrino mass is  $M_N \sim 1 \text{ keV}$
- If at  $m_\phi > H(t)$  sterile neutrinos are nonrelativistic most time,  $m_\phi = H_{osc} = \frac{T_{osc}^2}{M_{Pl}}$

$$M(t) = M_N + f\phi_i \frac{T^3}{T_{osc}^3} > T$$

# Cool and Cold sterile neutrinos

F.Bezrukov, A.Chudaykin, D.G. (2018)

sterile neutrino mass

$$M(t) = M_N + f\phi(t) = M_N + f\phi_i \frac{T^3}{T_{OSC}^3} \cos(m_\phi t)$$

1) sometimes crosses zero, which allows for sterile neutrino production

by a 'slow' oscillator  $m_\phi \ll M_N$  with large amplitude

the produced sterile neutrinos are almost at rest

Cold Dark Matter

avoiding limits from structure formation

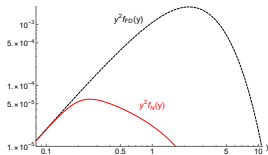
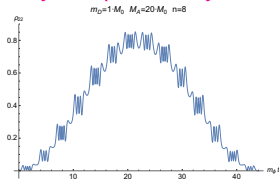
avoiding X-ray limits with tiny mixing angle

2) Both  $L_{OSC}$  and  $\theta_{eff}$  change with  $M(t)$ , which oscillates !!

resonance

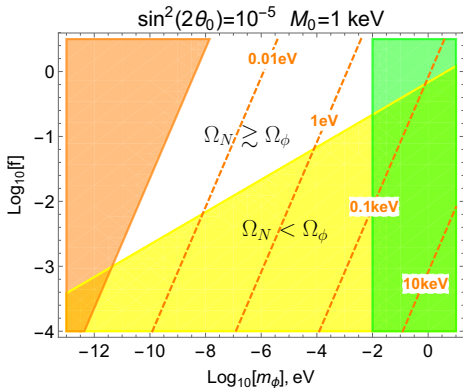
very complicated system: three oscillators with time-dependent couplings

cool



## Allowed regions for each mechanism

F.Bezrukov, A.Chudaykin, D.G. (2018)

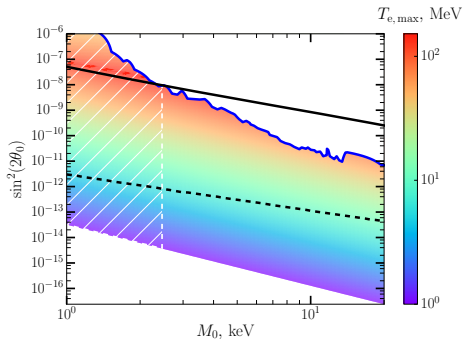


$$- m_\phi < 2M_N$$

$$- \Gamma_{\phi \rightarrow \nu\nu} < \dots$$

$$- \rho_\phi + \rho_N \leq \rho_{DM}$$

-





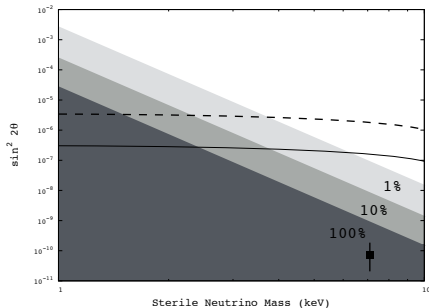
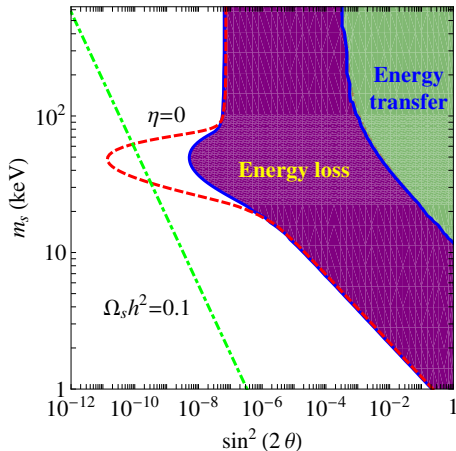
# Summary and Outlook

- Still there is a room for minimal model of sterile neutrino dark matter  
but it is not a seesaw sterile neutrino, in fact
- With a simple modification by a scalar field one obtains
  - subdominant DM with large mixing angle, including the seesaw neutrino
  - DM with small mixing angle
  - 1 keV sterile neutrino forming CDM
- Within a physically motivated model (e.g. majoron) one checks for
  - sterile neutrino back reaction
  - production of light scalars
  - ...
  - quantum corrections to the scalar potential
  - ...



# Backup slides

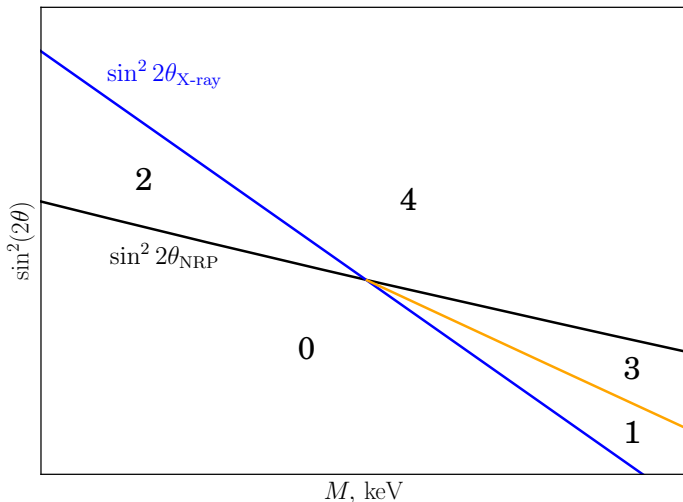
# Limits form SN



1102.5124

1603.05503

# A sketch of model parameter space

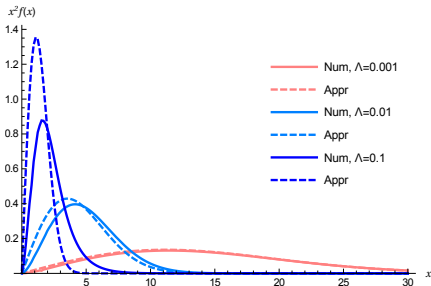


0,1: allowed even  
w/o scalar field

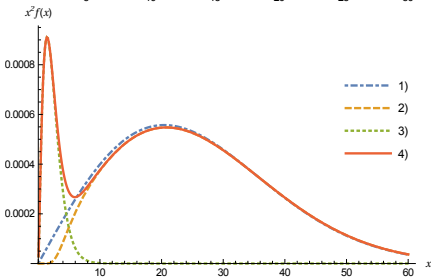
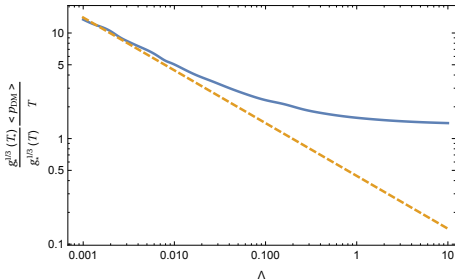
2: scalar helps to  
avoid X-ray bound  
and make  
 $\Omega_N = \Omega_{DM}$ , but  
free-streaming...

3,4:  $\Omega_N$  is  
determined by  
X-ray bound

# DM from Heavy scalar (Majoron?) decay



F.Bezrukov, D.G., 2014



$$\tau H(T = M/3) \equiv \frac{1}{18} \frac{1}{\Lambda}$$

$$x = \frac{p}{T} \left( \frac{g_*(T_*)}{g_*(T)} \right)^{1/3}$$

# Decoupling of relativistic Dark Matter

## Assumptions

- DM particles are in equilibrium in plasma
- DM decouple from plasma at temperature  $T_d \gtrsim M_X$ ,  
so they are **relativistic** (e.g. neutrino)

Later on

$$n_X(T_d) = g_X \cdot \left(\frac{1}{4}\right) \cdot \frac{\zeta(3)}{\pi^2} T_d^3$$

$$n_X a^3 = \text{const}, \quad s a^3 = \text{const} \quad \Rightarrow \quad \frac{n_X}{s} = \text{const} = \# \frac{g_X}{g_*(T_d)}$$

useful

DM particle mass  $M_X$  fixes  $\Omega_X$ :

$$\Omega_X = \frac{M_X \cdot n_{X,0}}{\rho_c} = \frac{M_X \cdot s_0}{\rho_c} \frac{n}{s} \approx 0.2 \times \frac{M_X}{100 \text{ eV}} \left(\frac{g_X}{2}\right) \cdot \left(\frac{100}{g_*(T_d)}\right)$$

– NO heavy stable feebly coupled to SM particles !

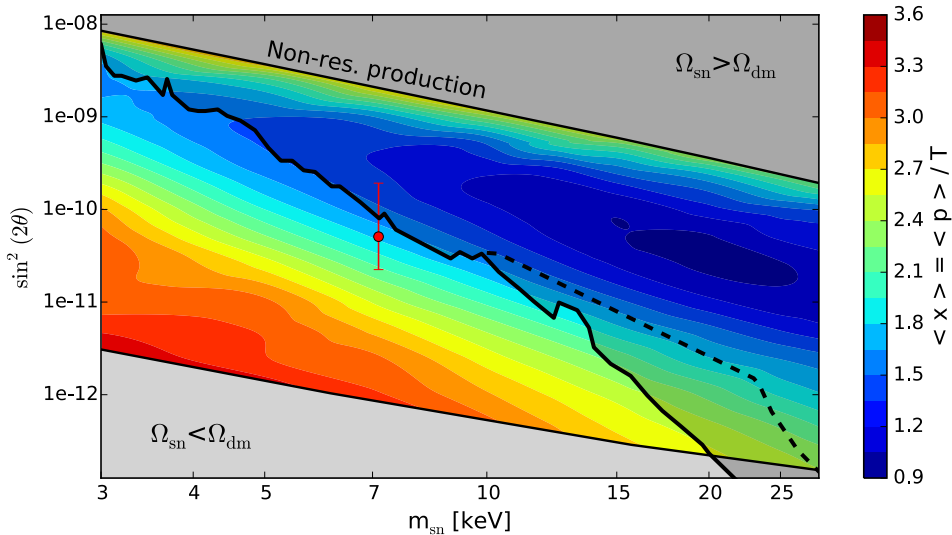
– NO realistic DM models:

Pauli blocking prevents fermionic DM

$$\frac{p_X}{M_X} \propto \frac{a_d}{a} \sim \frac{3T}{M_X} \left(\frac{g_*(T)}{g_*(T_d)}\right)^{1/3}$$

too energetic for the proper structure formation

# Sterile neutrino Dark Matter

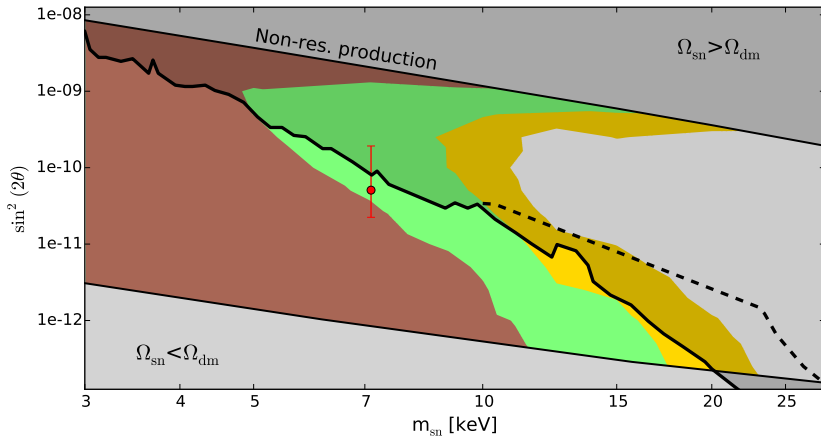


A.Schneider (2016)



# Sterile neutrino Dark Matter: ... gone?

A.Schneider (2016)

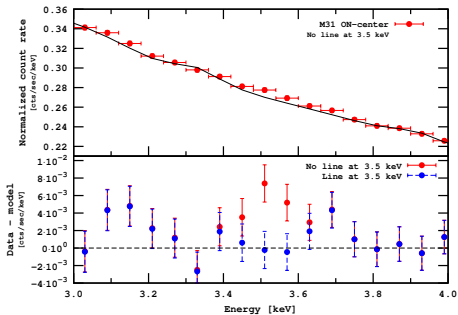


brown: MW satellite counts

green and yellow: Lyman- $\alpha$

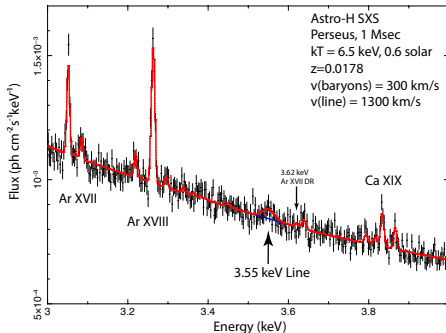
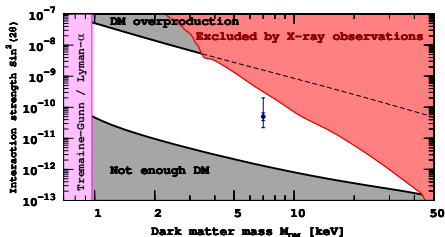
production by inflaton

# ... 4 years ago: **Dark Matter** decay observed in X-ray?

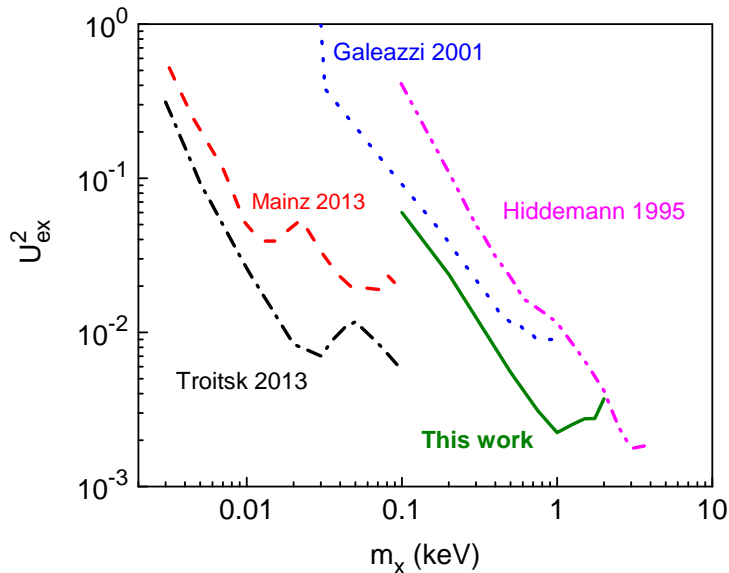


Stacking signals from many galaxies, especially Perseus cluster, then Andromeda

1402.2301, 1402.4119



# Searches for DM are deep inside the forbidden region



1703.10779