

## IV. SUGRA (Supergravity)

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Must go to local version of SUSY - SUGRA

$$\delta_1 B \sim \bar{\varepsilon}_1(x) F,$$

$$\delta_2 F \sim \varepsilon_2(x) \partial B$$

$$e.g. L = -(\partial^\mu \phi^*)(\partial_\mu \phi) - \frac{1}{2} \bar{\psi} \gamma^\mu \partial_\mu \psi$$

Under global SUSY transformation

$$\left. \begin{aligned} \delta\phi &= \varepsilon\psi \\ \delta\psi &= -i\sigma^\mu \bar{\varepsilon} \partial_\mu \phi \end{aligned} \right\} \begin{aligned} \delta L &\equiv 0 \\ &(\text{Total divergence}) \end{aligned}$$

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but, under local SUSY

$$\delta L = \partial_\mu \varepsilon^\alpha K_\alpha^\mu + h.c. \quad \left( K_\mu^\alpha \equiv -\partial_\mu \phi^* \psi^\alpha - \frac{i}{2} \psi^\beta \left( \sigma_\mu \bar{\sigma}^\nu \right)_\beta^\alpha \partial_\nu \phi^* \right)$$

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Introduce spin 3/2 gravitino,  $\Psi$ , new gauge field

$$\Psi_\alpha^\mu \rightarrow \Psi_\alpha^\mu + \frac{1}{k} \partial^\mu \varepsilon_\alpha$$

$$L_N \equiv k K_\mu^\alpha \Psi_\alpha^\mu$$

$$\delta L_N \text{ cancels } \delta L$$

$$L + L_N = -(\partial^\mu \phi^*)(\partial_\mu \phi) - \frac{1}{2} \bar{\psi} \gamma^\mu \partial_\mu \psi + k K_\mu^\alpha \Psi_\alpha^\mu$$

$$K_\mu^\alpha \equiv -\partial_\mu \phi^* \psi^\alpha - \frac{i}{2} \psi^\beta (\sigma_\mu \bar{\sigma}^\nu)_\beta^\alpha \partial_\nu \phi^*$$

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$$\text{But } \delta(L + L_N) = k (\delta K_\mu^\alpha) \Psi_\alpha^\mu = k \bar{\psi}_\mu \gamma_\nu \varepsilon T^{\mu\nu}$$

$$\text{cancelled by } \delta L_g \equiv \delta(-g_{\mu\nu} T^{\mu\nu})$$

$$\text{provided } \delta g_{\mu\nu} = k \bar{\psi}_\mu \gamma_\nu \varepsilon$$

i.e. necessary to include gravity

Gravity supermultiplet  $(g_{\mu\nu}, \Psi_\mu^\alpha)$

## D=4, N=1 supergravity

$$L = \left\{ \int d^4\theta \mathbf{E} \left( -3M_P^2 \exp(-K(Q^\dagger, e^{-V}Q) / 3M_P^2) \right) \right. \\ \left. + \int d^2\theta \mathbf{\Xi} \left( W(Q) + f(Q)W_\alpha W^\alpha + h.c. + \frac{1}{2}M_P^2 e^{-K/3M_P^2} R + \dots \right) \right\}$$

$\mathbf{E}, \mathbf{\Xi}$  Vielbein superfields

## D=4, N=1 supergravity

$$L = \sqrt{-g} \left\{ \int d^4\theta \left( -3M_P^2 \exp\left(-K(Q^\dagger, e^{-V}Q) / 3M_P^2\right) \right) \right. \\ \left. + \int d^2\theta \left( W(Q) + f(Q)W_\alpha W^\alpha \right) + h.c. + \frac{1}{2} M_P^2 e^{-K/3M_P^2} R + \dots \right\}$$

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Performing a Weyl transformation  $g_{\mu\nu} \rightarrow e^{K/3M_P^2} g_{\mu\nu}$  and integrating out auxiliary fields one gets canonical form

$$L = \sqrt{-g} \left\{ \frac{M_P^2}{2} R + K_{ij}(\tilde{q}^\dagger, \tilde{q}) D_\mu \tilde{q}^{i\dagger} D^\mu \tilde{q}^j - V(\tilde{q}^\dagger, \tilde{q}) \right. \\ \left. - f(\tilde{q}) \left( F_{\mu\nu} F^{\mu\nu} + i F_{\mu\nu} \tilde{F}^{\mu\nu} \right) + h.c. + \text{fermion terms} \right\}$$

## D=4, N=1 supergravity

i.e. defined by choice of the Kahler potential and superpotential


$$G = -K / M_P^2 - \ln(W / M_P^3) - \ln(W^* / M_P^3)$$

$$M_P = 2.4 \times 10^{18} \text{ GeV}$$

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**Bosonic Lagrangian** ( $M_P=1$ )

$K = \phi^* \phi$  - canonical kinetic term  $G_j^i = -\delta_j^i$

$$e^{-1} L_B = -\frac{1}{2} R - G_j^i D_\mu \phi_i D^\mu \phi^{*i} - e^{-G} \left[ 3 + G_k (G^{-1})^k_l G^l \right]$$

$$e = \sqrt{-\det g_{\mu\nu}}, \quad G_j^i = \frac{\partial^2 G}{\partial \phi_i \partial \phi^{*j}}$$

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$$D^\alpha = g G^i T_i^{\alpha j} \phi_j$$

† and gauge kinetic term  $f(\phi)$

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i.e. defined by choice of the Kahler potential and superpotential

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$\mathbf{F}$   $\mathbf{D}$

$$D^\alpha = g G^i T_i^{\alpha j} \phi_j$$

SUSY breaking,  $\mathbf{F}$  or  $\mathbf{D}$  non-zero, i.e.  $\langle G^i \rangle \neq 0$

For the case of canonical kinetic terms  $G_j^i = -\delta_j^i$ ,  $f_{\alpha\beta} = \delta_{\alpha\beta}$

$$V_{local} = e^{\left(\frac{\phi_k^* \phi_k}{M_P^2}\right)} \left( \left[ \left| \frac{\partial W}{\partial \phi_i} + \frac{\phi_i^* W}{M_P^2} \right|^2 \right] - \frac{3|W|^2}{M_P^2} \right) + \frac{1}{2} g \left( \phi^{i*} T_i^{\alpha j} \phi_j \right)^2$$

*c.f.* 
$$V_{global} = \left| \frac{\partial W}{\partial \phi_i} \right|^2 + \frac{1}{2} g \left( \phi^{i*} T_i^{\alpha j} \phi_j \right)^2$$

# SUSY breaking:

$$V_{local} = e^{\left(\frac{\phi_k^* \phi_k}{M_P^2}\right)} \left( \left[ \left| \frac{\partial W}{\partial \phi_i} + \frac{\phi_i^* W}{M_P^2} \right|^2 \right] - \frac{3|W|^2}{M_P^2} \right) + \frac{1}{2} g \left( \phi^{i*} T_i^{\alpha j} \phi_j \right)^2$$

$\neq 0 \dots F$  breaking

$\neq 0 \dots D$  breaking

*c.f.*  $V_{global} = \left| \frac{\partial W}{\partial \phi_i} \right|^2 + \frac{1}{2} g \left( \phi^{i*} T_i^{\alpha j} \phi_j \right)^2 \geq 0$

$F \neq 0, V = 0$  possible in local case!

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Fermion partner of non-vanishing auxiliary field massless - goldstino

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$$e^{(-G/2)} \bar{\psi}_\mu \sigma^{\mu\nu} \psi_\nu - e^{(-G/2)} G^i \bar{\psi}_\mu \gamma^\mu \chi_i + e^{(-G/2)} \left[ G^{ij} - G^i G^j - G^l (G^{-1})^k_l G^i_k \right] \chi_i \chi_j$$

"Super Higgs" effect

$\langle G^i \rangle \neq 0$ , spin  $\frac{1}{2}$  Goldstone fermion mixes with gravitino, making massive state

$$m_{3/2} = M_p e^{-G/2}$$

# SUSY breaking: Goldstino/Gravitino

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## Local SUSY:

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$$\langle G^i \rangle \neq 0, \quad m_{3/2} = M_P e^{-G/2}$$

If  $D=0$  + canonical kinetic terms  $\Rightarrow G_j^i = -\delta_j^i, f_{\alpha\beta} = \delta_{\alpha\beta}$

$$V = 0 \Rightarrow G_i G^i = 3$$

$$M_S^2 = \sqrt{3} M_P^2 e^{-G/2}, \quad m_{3/2} = M_S^2 / \sqrt{3} M_P$$

suppressed

## (Soft) SUGRA breaking terms:

Hidden sector breaking:

$$W = W_{\text{vis}}(\phi_i) + W_{\text{hid}}(X), \quad K = \phi^{*i} \phi_i + X^* X$$

$$V_{\text{local}} = e^{\left(\frac{\phi^{*i} \phi_i + X^* X}{M_P^2}\right)} \left( \left[ \left| \frac{\partial W}{\partial \phi_i} + \frac{\phi_i^* W}{M_P^2} \right|^2 + \left| \frac{\partial W}{\partial X} + \frac{X^* W}{M_P^2} \right|^2 \right] - \frac{3|W|^2}{M_P^2} \right)$$

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$$\langle X \rangle = x M_P, \quad \langle W_{\text{hid}} \rangle = w M_P^2, \quad \langle \delta W_{\text{hid}} / \delta X \rangle = w' M_P$$

$$\underline{m_{3/2} = |F_X| / \sqrt{3} M_P = e^{x^2/2} |w|}$$

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$$V = (W_{\text{vis}}^*)_i (W_{\text{vis}})^i + m_{3/2}^2 \varphi^{*i} \varphi_i$$

$$+ e^{|x|^2/2} \left[ w^* \varphi_i (W_{\text{vis}})^i + (x^* w'^* + |x|^2 w^* - 3w^*) W_{\text{vis}} + \text{c.c.} \right].$$

$e^{-|x|^2/2} W_{\text{vis}}$

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↑  $e^{-|x|^2/2} W_{\text{vis}}$

$$m_{\phi_i}^2 = m_0^2 = m_{3/2}^2$$

$$A_0 = -x^* \langle F_X \rangle / M_P = -x^* \sqrt{3} m_{3/2}$$

$$B_0 = \left( \frac{1}{x + w'^*/w^*} - x^* \right) \sqrt{3} m_{3/2}$$

## Gaugino masses

$$f_{ab} = \delta_{ab} \left[ \frac{1}{g_a^2} + \frac{f_X X}{M_P} + \dots \right]$$

$$\int d^2\theta f_{ab} \text{Tr} W^{a\alpha} W_\alpha^b + h.c.$$

$$W^\alpha W_\alpha = \lambda\lambda + \theta(\lambda\sigma_{\mu\nu}F^{\mu\nu} + \dots) + \theta^2(F_{\mu\nu}F^{\mu\nu} + \dots)$$

$$\Rightarrow \frac{f_X F_X}{2M_P} \lambda\lambda$$

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$$\Rightarrow \frac{f_X F_X}{2M_P} \lambda\lambda$$

$$m_{1/2} = \frac{\sqrt{3}}{2} \text{Re}(f_X) m_{3/2}$$

Universal gaugino masses if  $f_X$   
the same for the 3 gauge groups  
(X gauge singlet)

# Dynamical origin of SUSY breaking (c.f. Technicolour)

Gaugino condensate

Global SUSY  $\times$

Only supermultiplet containing  $\lambda\lambda$

$$W^\alpha W_\alpha = \lambda\lambda + \theta(\lambda\sigma_{\mu\nu}F^{\mu\nu} + \dots) + \theta^2(F_{\mu\nu}F^{\mu\nu} + \dots)$$



Not F-term

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Gaugino condensate

SUGRA ✓

Fermions also appear in F (and D) terms:

$$F_i = \exp(-G/2)(G^{-1})^j_i G_j + \frac{1}{4}f_{\alpha\beta k}(G^{-1})^k_i \lambda^\alpha \lambda^\beta - (G^{-1})^k_i G^{jl}_k \chi_j \chi_l - \frac{1}{2}\chi_i(G_j \chi^j).$$

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$$M_S^2 = \frac{\mu^3}{M_P} = \frac{\langle \lambda\lambda \rangle}{M_P}, \quad \mu \approx M_P e^{-\frac{1}{b_0 g^2(M_P)}} \quad \text{Natural hierarchy generation}$$

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$$m_{3/2} = \frac{M_S^2}{M_P} = \frac{\mu^3}{M_P^2}$$

## Anomaly mediation

Classical (tree level) SUSY is superconformal (scale) invariant

... broken by RG logarithmic terms - superconformal anomaly

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$\Phi$  chiral superfield, part of supergravity supermultiplet

$$\mathbf{E} = e \Phi^3, \quad \mathbf{E} \propto \Phi^\dagger \Phi$$

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Consider "sequestered" sector

$$K = -3M_P^2 \ln \left( 1 - \frac{k_{vis}}{3M_P^2} - \frac{k_{hid}}{3M_P^2} \right), \quad W = W_{vis} + W_{hid}, \quad f W^2 = f_{vis} W_{vis}^2 + f_{hid} W_{hid}^2$$

$$L = e \left\{ \int d^4\theta (k_{vis} + k_{hid}) \Phi^\dagger \Phi + \int d^2\theta \left( \Phi^3 [W_{vis} + W_{hid}] + .. \right) \right\} +$$

SUSY breaking in hidden sector communicated only by common gravitational supermultiplet

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Hidden sector SUSY breaking induces F-term  $\Phi = 1 + F_\Phi \theta\theta$

$$m_{3/2} = F_\Phi$$

At tree level, visible sector supersymmetric

$\Phi^3 Q_{vis}^3 \rightarrow \tilde{Q}_{vis}^3$ , etc (tree level scale invariance - super Weyl transformation)

but scale invariance broken at quantum level through cut-off

## Anomaly mediation

$$e.g. \int d^2\theta \frac{1}{4g^2 (\mu / \Lambda_{UV} \Phi)} W_\alpha W^\alpha = \int d^2\theta \left( \frac{1}{4g^2 (\mu / \Lambda_{UV})} - \frac{b_i}{32\pi^2} \ln \Phi \right) W_\alpha W^\alpha$$

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Expanding the log and performing the superpace integral gives

$$m_\lambda = \frac{\beta(g^2)}{2g^2} m_{3/2}$$

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Expanding the log and performing the superpace integral gives

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Note  $\frac{\beta(g^2)}{2g^2 m_\lambda}$  is RG invariant, so result is true at any scale!

## Anomaly mediation

$$W(\Phi) = \frac{1}{6} Y^{ijk} \Phi_i \Phi_j \Phi_k + \frac{1}{2} \mu^{ij} \Phi_i \Phi_j$$

$$L_{\text{SB}} = (m^2)_i^j \phi^i \phi_j + \left( \frac{1}{6} h^{ijk} \phi_i \phi_j \phi_k + \frac{1}{2} b^{ij} \phi_i \phi_j + \frac{1}{2} M \lambda \lambda + \text{h.c.} \right)$$

$$M = M_0 \frac{\beta_g}{g},$$

$$M_0 \equiv m_{3/2}$$

$$h^{ijk} = -M g \frac{dY^{ijk}}{dg},$$

$$b^{ij} = -M g \frac{d\mu^{ij}}{dg},$$

$$(m^2)_j^i = \frac{g^2}{2\beta_g} |M|^2 \frac{d\gamma_j^i}{dg}.$$

Relations apply at any scale

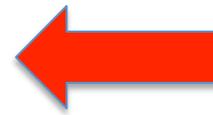
## Anomaly mediation

**BUT**, dominant contribution to masses is

$$m_{\tilde{q}}^2 = \frac{|F_\phi|^2}{(16\pi^2)^2} (8g_3^4 + \dots),$$

$$m_{\tilde{e}_L}^2 = -\frac{|F_\phi|^2}{(16\pi^2)^2} \left( \frac{3}{2}g_2^4 + \frac{99}{50}g_1^4 \right)$$

$$m_{\tilde{e}_R}^2 = -\frac{|F_\phi|^2}{(16\pi^2)^2} \frac{198}{25}g_1^4$$



Need additional contribution e.g. "mirage mediation"

# Gravitinos

If gravitinos once in thermal equilibrium then  $m_{3/2} < 1keV$

Otherwise require inflation and bound on reheat temperature ...

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Goldstino

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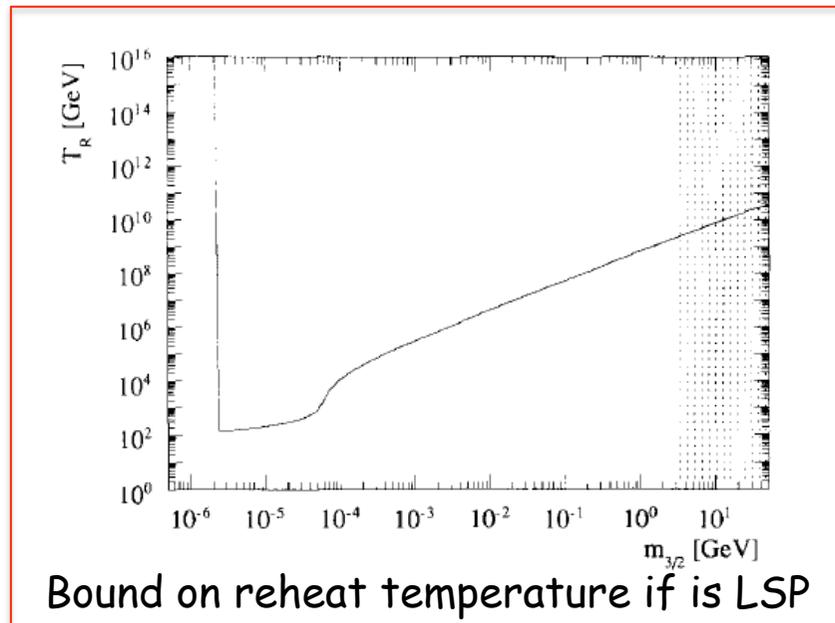
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$$\sigma_{A^a + A^b \rightarrow \psi + \lambda^c, \dots} = \left( g^2 m_G^2 / 24\pi M_P^2 m_{3/2}^2 \right) \times (\text{group theory factor})$$

Boltzmann equation:

$$\frac{dn_{3/2}}{dt} + 3Hn_{3/2} = \langle \sigma_{tot} v_{rel} \rangle n_{rad}^2 + \sum_i n_i \langle \Gamma_i \rangle$$

$\propto T^3$



More generally:

$$\Omega_{3/2} h^2 \simeq 0.2 \left( \frac{T_{rh}}{10^{10} \text{ GeV}} \right) \left( \frac{100 \text{ GeV}}{m_{3/2}} \right) \left( \frac{m_{\tilde{g}}(\mu)}{1 \text{ TeV}} \right)^2$$

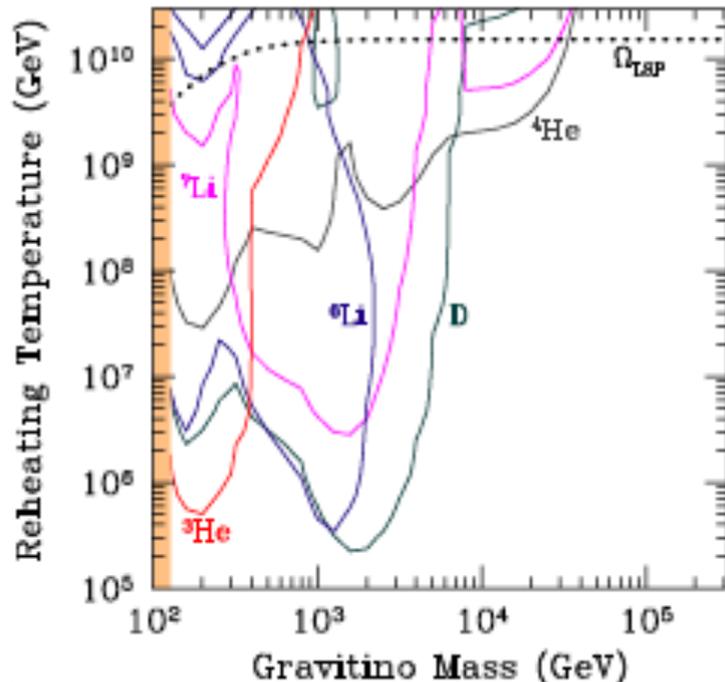


Figure 2: BBN constraints for the Case 1 at 95 % C.L. Each solid line shows upper bound on the reheating temperature from D,  $^3\text{He}$ ,  $^4\text{He}$ ,  $^6\text{Li}$ , or  $^7\text{Li}$ . The dotted line is the upper bound on the reheating temperature from the overclosure of the universe.

$m_{3/2}$	Case 1	Case 2	Case 3	Case 4
300 GeV	$1 \times 10^6$ ( $^3\text{He}$ )	$4 \times 10^5$ ( $^3\text{He}$ )	$1 \times 10^6$ ( $^3\text{He}$ )	–
1 TeV	$5 \times 10^5$ ( $^6\text{Li}$ )	$9 \times 10^5$ ( $^6\text{Li}$ )	$3 \times 10^5$ ( $^6\text{Li}$ )	$3 \times 10^6$ ( $^6\text{Li}$ )
3 TeV	$5 \times 10^5$ (D)	$4 \times 10^5$ (D)	$2 \times 10^5$ (D)	$5 \times 10^5$ (D)
10 TeV	$2 \times 10^9$ ( $^4\text{He}$ )			
30 TeV	$9 \times 10^9$ ( $^4\text{He}$ )	$8 \times 10^9$ ( $^4\text{He}$ )	$7 \times 10^9$ ( $^4\text{He}$ )	$8 \times 10^9$ ( $^4\text{He}$ )

Table 2: Upper bound on the reheating temperature (in units of GeV) from BBN for Cases 1 – 4. The light element which gives the most stringent bound is indicated in the parenthesis.

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$$\Omega_{3/2} h^2 = 0.2 \frac{16.6}{\sqrt{N}} \left( \frac{10^{10} GeV}{\lambda \Lambda_*} \right) \left( \frac{m_{\tilde{g}}(\mu)}{1TeV} \right)^2 \quad \text{independent of reheat temperature!}$$

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- Resonant leptogenesis
- Sneutrino oscillation
- R-symmetry restoration