

# The Standard model as an effective field theory...

A renormalisable, spontaneously broken, local gauge quantum field theory

$$L_{eff}(\phi_{light}, \psi_{heavy}, M_X, E) \xrightarrow{E \ll M_X} L_{eff}(\phi_{light}, E) + O\left(\frac{1}{M_X}\right)$$



Renormalisable



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- Renormalisable ✓
- Vectors gauge bosons ✓  $A_\mu \rightarrow A_\mu + \partial_\mu \theta$
- Fermions chiral ✓  $f_L \rightarrow e^{i\alpha_L \cdot \sigma} f_L, f_R \rightarrow f_R$
- Massless gauge bosons - vectorlike couplings ✓
- Massive gauge bosons - chiral couplings ✓

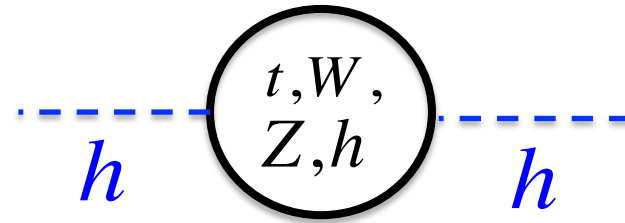
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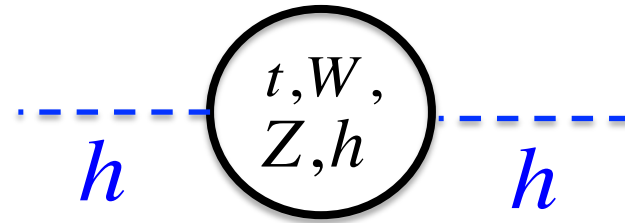
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- Light Higgs ✗ The hierarchy problem

# Hierarchy problem?



$$\delta m_h^2 = \frac{3G_F}{4\sqrt{2}\pi^2} (4m_t^2 - 2m_W^2 - m_Z^2 - m_h^2) \Lambda^2 = \left( \frac{\Lambda}{500 \text{ GeV}} \right)^2$$

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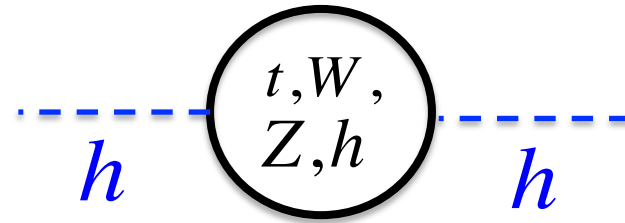
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$\delta m^2$  not measurable

...only  $m^2 = m_0^2 + \delta m^2$  "physical"

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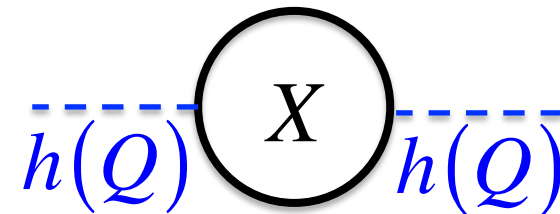
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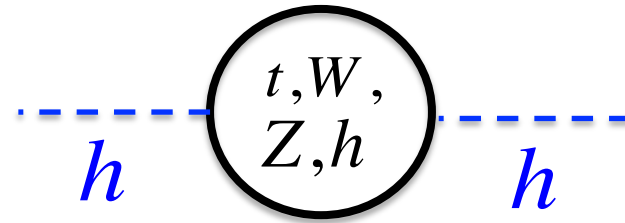
**GUTS:**

$$\delta m_h^2 \propto M_X^2 \ln \left( \frac{Q^2 + M_X^2}{\Lambda^2} \right)$$



- "real hierarchy problem"

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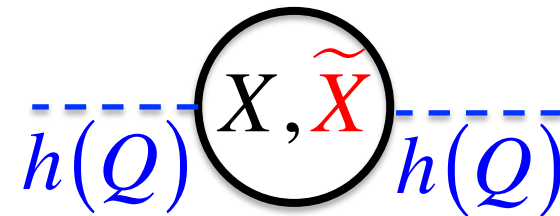
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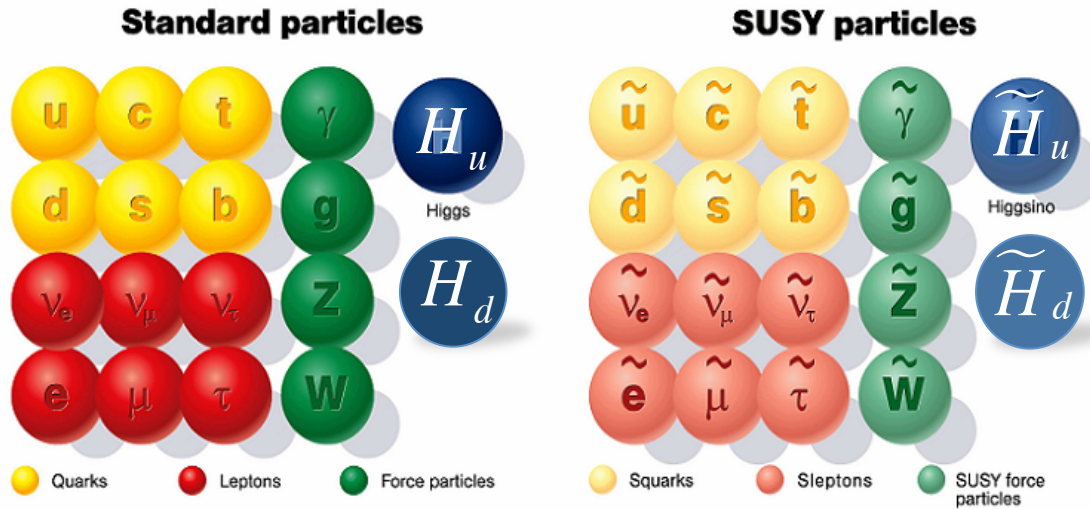


- "real hierarchy problem"

**GUTS**  $\Rightarrow$  **SUSYGUTS**

$$\delta m_h^2 \propto (M_{\tilde{X}}^2 - M_X^2)$$

# III. SUSY GUTS



$$G_{GUT} \times G_{Flavour} \times (N = 1 \text{ SUSY})$$

## Supermultiplets

$SO(10)$ :  $V_{45}$  Vector + 3  $\varphi_{16}$  chiral +  $H_{10}$  chiral + ...



# SUSY gauge coupling unification

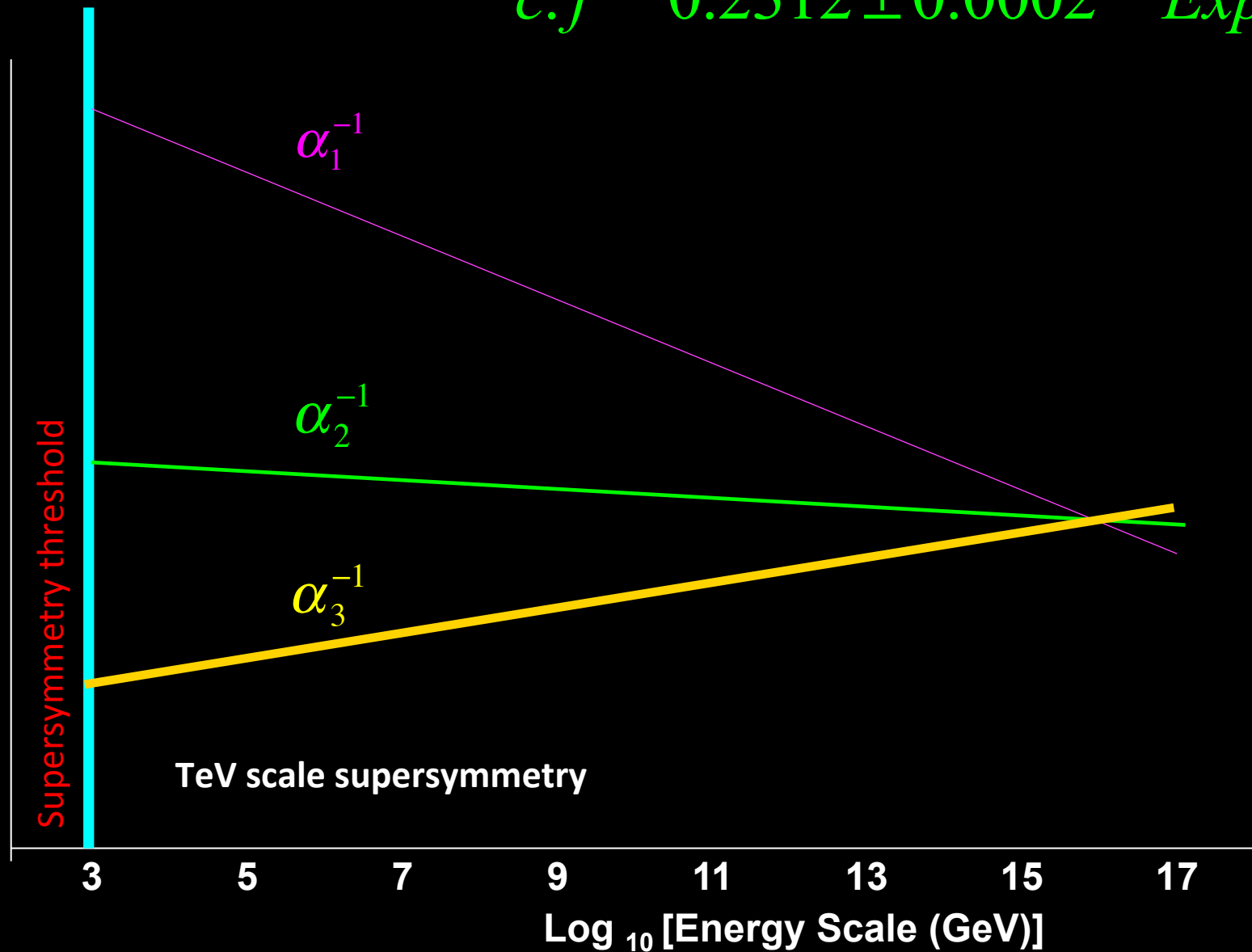
$$\alpha_i^{-1}(\mu) = \alpha^{-1}(M_X) + \frac{1}{2\pi} b_i \ln\left(\frac{M_X}{\mu}\right) + ..$$

$$b_i^{SM} = \begin{pmatrix} 0 \\ -\frac{22}{3} \\ -11 \end{pmatrix} + N_g \begin{pmatrix} \frac{4}{3} \\ \frac{4}{3} \\ \frac{4}{3} \end{pmatrix} + H \begin{pmatrix} \frac{1}{10} \\ \frac{1}{6} \\ 0 \end{pmatrix}$$

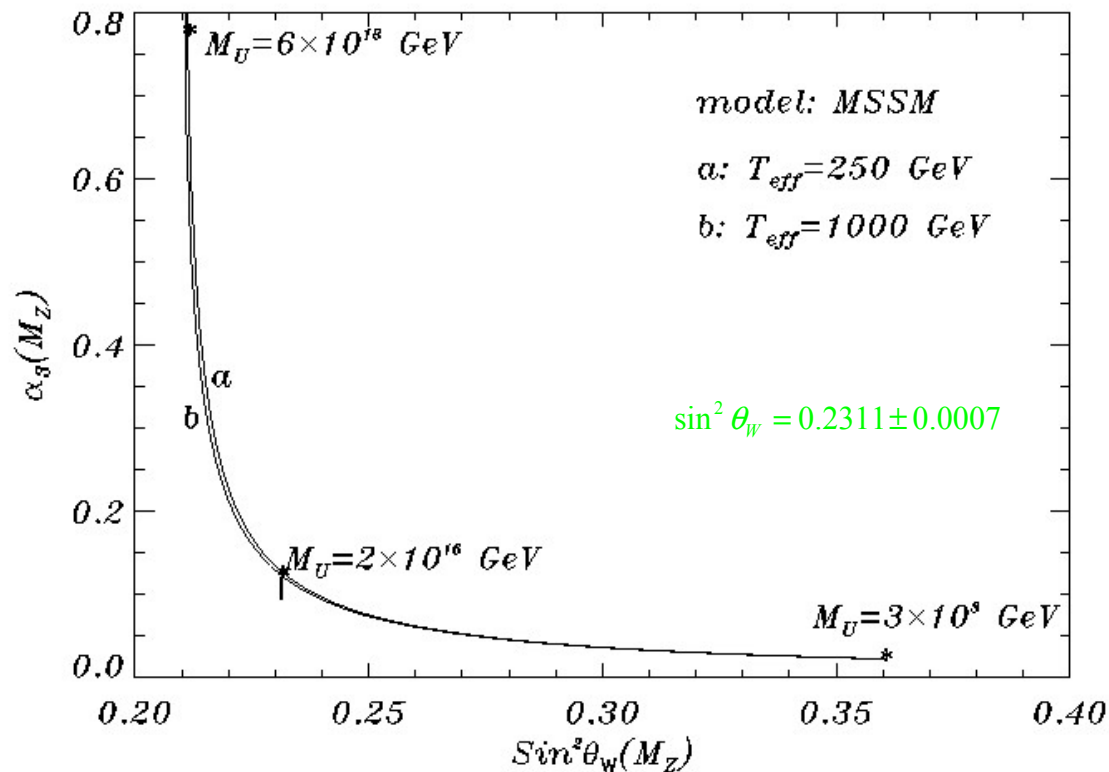
$$b_i^{MSSM} = \begin{pmatrix} 0 \\ -6 \\ -9 \end{pmatrix} + N_g \begin{pmatrix} 2 \\ 2 \\ 2 \end{pmatrix} + H \begin{pmatrix} \frac{3}{10} \\ \frac{1}{2} \\ 0 \end{pmatrix}$$

$$\sin^2 \theta_W = 0.2337 \pm 0.0015$$

$$c.f. \quad 0.2312 \pm 0.0002 \quad Expt$$



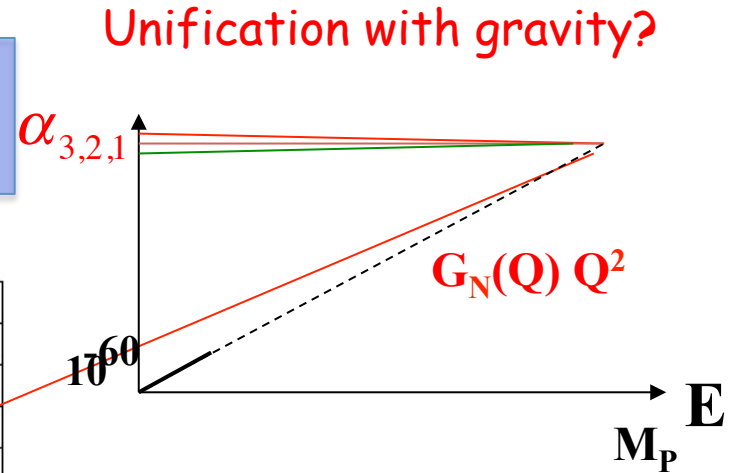
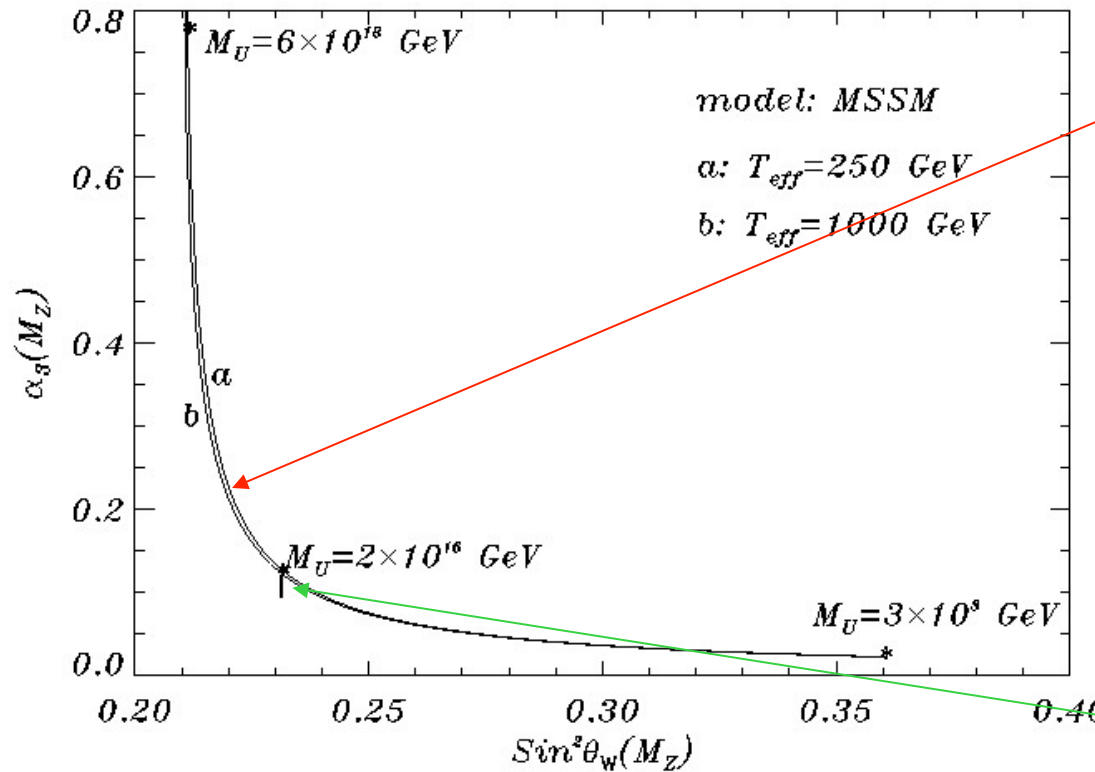
# SUSY gauge coupling unification



$$\sin^2 \theta_W = 0.2334 \pm 0.0025 - 0.25(\alpha_s - 0.119) = 0.2311 \pm 0.0007 \quad (Expt)$$

$$\alpha_s = 0.134 \pm 0.01 - 4(\sin^2 \theta_W - 0.2334) = 0.119 \pm 0.01 \quad (Expt)$$

# SUSY gauge coupling unification



$$M_U = (2.6 \pm 2) \cdot 10^{16} \text{ GeV}$$

$$\sin^2 \theta_W = 0.23116(12) \quad (\text{Expt})$$

$$\alpha_s = 0.134 \pm 0.01 - 4(\sin^2 \theta_W - 0.23116) \quad \text{c.f. } 0.1184(7) \quad (\text{Expt})$$

## Gauge unification - Heterotic String

$$L_{eff}^{HS} = \int d^{10}x \sqrt{g} e^{-\phi} \left( \frac{4}{\alpha'^4} R + \frac{k_i}{\alpha'^3} \text{Tr} F_i^2 + \dots \right)$$

$$\int d^4x V$$

$$\alpha_{10}^{-1}$$

$\alpha' = 1/M_{string}^2$  only scale

$$G_N = \frac{\alpha_{10} \alpha'^4}{64\pi V}, \quad \alpha_{String} = \frac{\alpha_{10} \alpha'^3}{16\pi V} \quad \longrightarrow \quad G_N = \frac{\alpha_{String} \alpha'}{4}$$

$$\frac{1}{g_i^2(M_Z)} = \frac{k_i}{g_{string}^2} + b_i \ln \left( \frac{M_{string}}{M_Z} \right) + \Delta_i$$

$$\underline{M_{string} = g_{string} \cdot M_{Planck} = 3.6 \times 10^{17} \text{ GeV}} \quad \text{c.f. } M_U^{\text{expt}} = (2.6 \pm 2) \cdot 10^{16} \text{ GeV}$$

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..string threshold corrections,  $\Delta_i$ ?

# Spontaneous symmetry breaking

$$SU(5) \xrightarrow[\Sigma_{24}]{M_X} SU(3) \times SU(2) \times U(1) \xrightarrow[H_{\bar{5}}]{M_W} SU(3) \times U(1)$$

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$$V(\Sigma) = \sum_a \left| \frac{\partial P}{\partial \Sigma^a} \right|^2 = \text{Tr} \left| \beta_3 \Sigma^2 + \beta_2 M \Sigma - I \frac{\beta_3}{5} \text{Tr}(\Sigma^2) \right|^2$$

$$\left( \frac{\partial P}{\partial \Sigma^a} \rightarrow \frac{\partial P}{\partial \Sigma_j^i} - \frac{1}{N} \delta_j^i \text{Tr} \left( \frac{\partial P}{\partial \Sigma} \right), \quad i, j = 1..5, \quad a = 1..24 \right)$$

$$\langle \Sigma \rangle = 0$$

$$\langle \Sigma \rangle = v_4 \text{Diagonal}(1, 1, 1, 1, -4)$$

$$\langle \Sigma \rangle = v_3 \text{Diagonal}(2, 2, 2, -3, -3)$$

}

Degenerate

SUGRA

Radiative  
corrections

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$$P_{5_M} = -\frac{1}{\sqrt{2}} M_{ij}^d \psi_{i\alpha} \chi_j^{\alpha\beta} H_{d\beta} - \frac{1}{4} M_{ij}^u \epsilon_{\alpha\beta\gamma\delta\rho} \chi_i^{\alpha\beta} \chi_j^{\gamma\delta} H_u^\rho$$

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$$P_{Higgs} = \mu H_u H_d + \lambda H_u \Sigma H_d$$

$$V = \left( |\mu H_u + \lambda H_u \Sigma|^2 + |\mu H_d + \lambda \Sigma H_d|^2 \right) + \left| H_u H_d - \frac{1}{5} (H_u H_d) \right|^2$$

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Must forbid these terms by symmetry

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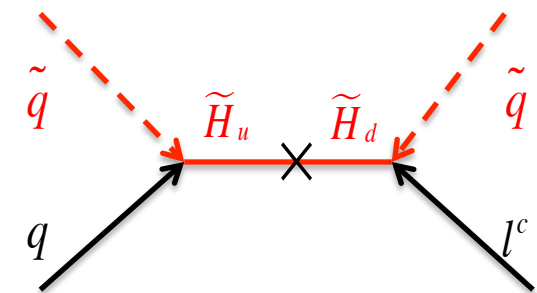
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Must forbid these terms by symmetry  
+ doublet- triplet splitting



D=5 proton decay amplitude

## Doublet -triplet splitting

### Missing doublet mechanism

$$\Theta_{50} = (8,2) + (6,3) + (\bar{6},1) + (3,2) + (\bar{3},1) + (1,1)$$

No (1,2) component



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$$P_{MD} = b \Theta \Sigma_{75} H_u + b' \bar{\Theta} \Sigma_{75} H_d + \widetilde{M} \bar{\Theta} \Theta$$

$$\langle \Sigma_{75} \rangle \propto M \text{ breaks } SU(5) \text{ to } SM$$



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$$P_{MD} \supset b M \Theta_3 H_{uT} + b' M \bar{\Theta}_3 H_{dT} + \widetilde{M} \bar{\Theta}_3 \Theta_3$$

Triplets get mass  $\frac{M^2}{\widetilde{M}}$  (Still need to drive SSB - later)

# Doublet -triplet splitting

## Higher dimensions (String unification)

Compactification:

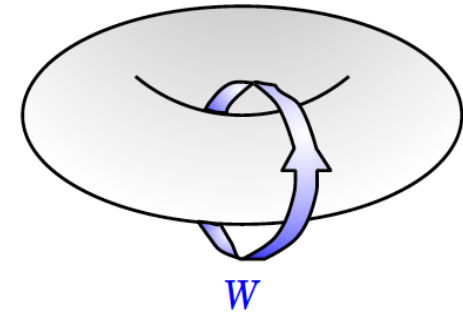
$$K = K_0 / H$$

freely acting discrete group

Wilson line breaking:  $W : \bar{H} \subset G$

embedding of  $H$  into gauge group  $G$

Massless states:  $H \otimes \bar{H}$  singlets



$$W = P \exp \left( -i \int_{\gamma} T^a A_m^a dx^m \right)$$

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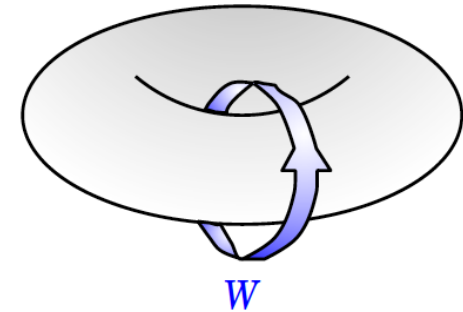
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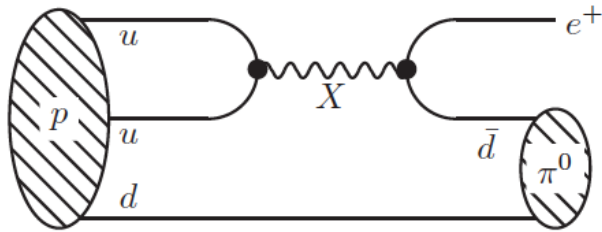
Breit, Ovrut, Segre

e.g.  $SU(5)$ :  $H = Z_3$ ,  $\bar{H} = \text{Diag}(\alpha, \alpha, \alpha, 1, 1)$ ,  $\alpha = e^{2i\pi/3}$

$$(R \otimes \bar{R}) : (1 \otimes \bar{5}) \rightarrow \begin{pmatrix} H^- \\ \bar{H}^0 \end{pmatrix}_1, (3, \bar{5}) \rightarrow \begin{pmatrix} e \\ \nu_e \end{pmatrix}_1 \oplus \begin{pmatrix} d^c \\ d^c \\ d^c \end{pmatrix}_{\alpha^2}, \text{ Matter} \rightarrow (3, \bar{5} + 10)$$

# SUSY GUTS - Nucleon decay

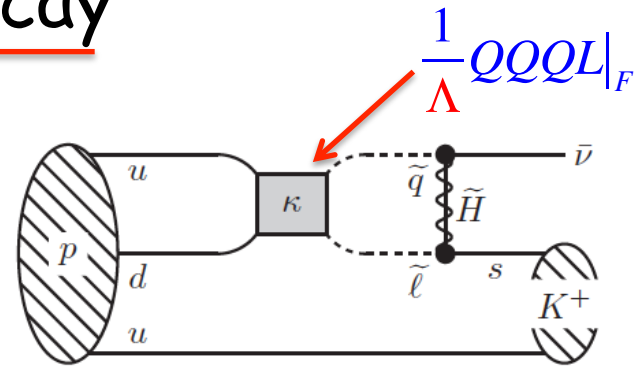
# SUSY GUTS - Nucleon decay



(a) Dimension 6.

$$p \rightarrow \pi^0 + e^+$$

$$\tau_{p \rightarrow e^+ \pi^0} > 1 \times 10^{34} \text{ yrs, } M_X > 10^{16} \text{ GeV}$$

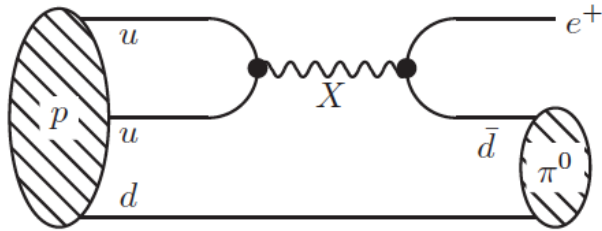


(b) Dimension 5.

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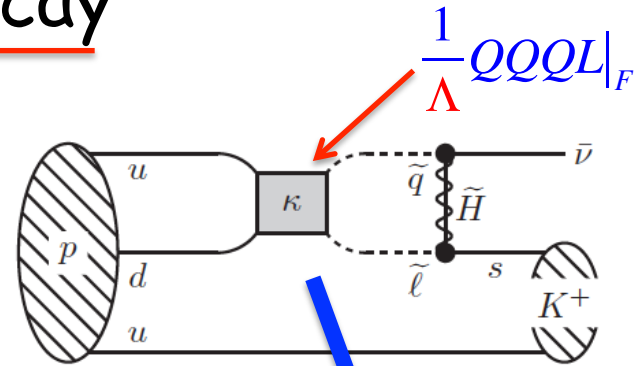
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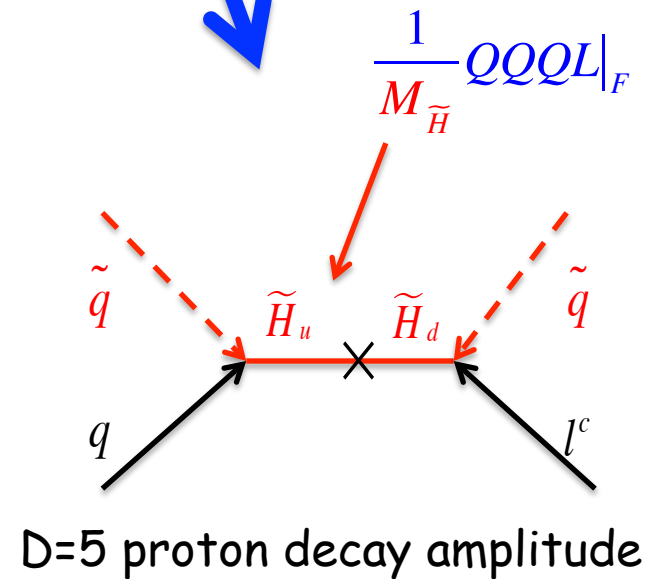
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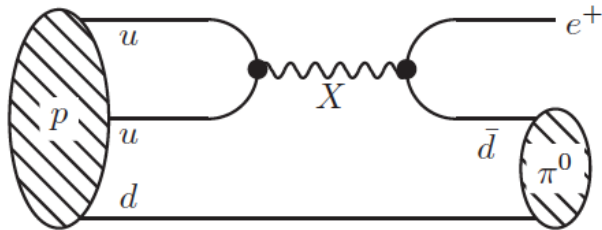
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D=5 proton decay amplitude

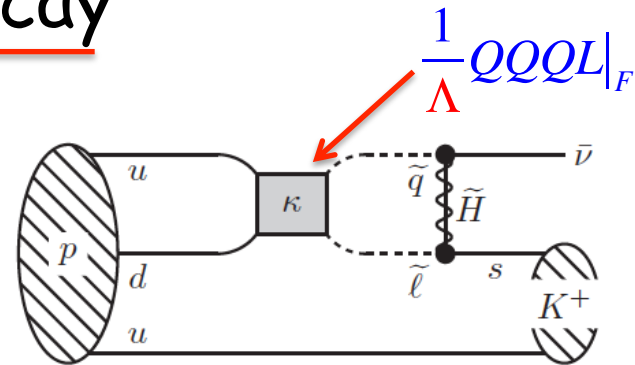
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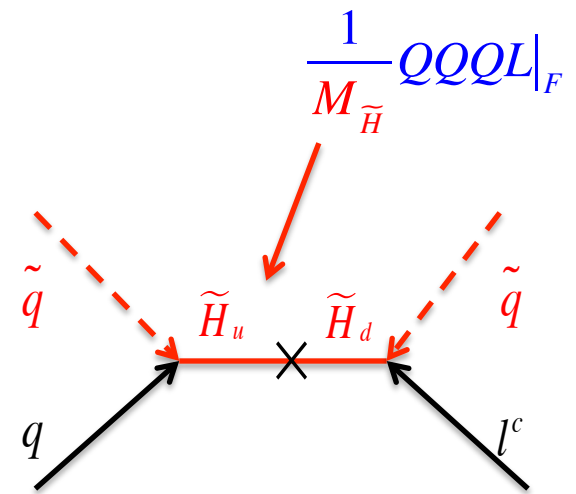


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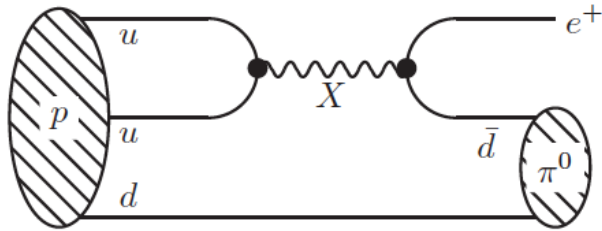
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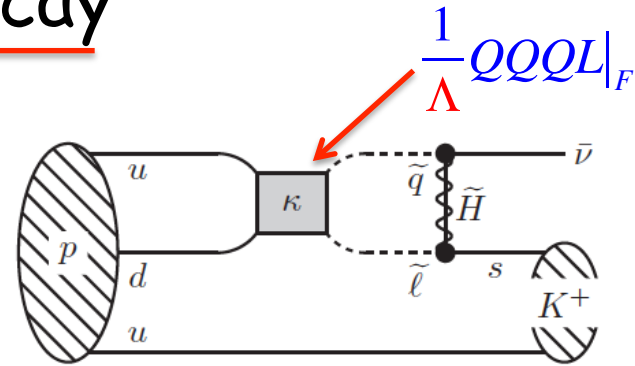
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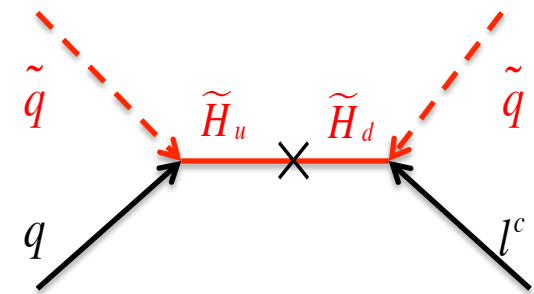
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$$\Lambda > 10^{27} \text{ GeV}, 10^9 M_{\text{Planck}} \text{ ???}$$

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D=5 proton decay amplitude




# SUSY extensions of the Standard Model

$$\begin{aligned} W = & h^E LH_d \bar{E} + h^D QH_d \bar{D} + h^U QH_u \bar{U} + \mu H_d H_u \\ & + \lambda LLE \bar{E} + \lambda' LQD \bar{D} + \kappa LH_u + \lambda'' \bar{U} \bar{D} \bar{D} \\ & + \frac{1}{M} (QQQL + QQQH_d + Q\bar{U}\bar{E}H_d + \dots(\mathcal{L})) \end{aligned}$$

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*e.g. (LH<sub>u</sub>)<sup>2</sup>* 

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 & + \frac{1}{M} (QQQL + QQQH_d + Q\bar{U}\bar{E}H_d + \dots(\mathcal{L}))
 \end{aligned}$$

R-parity:

$Z_2$

$H_u, H_d +1$

$L, \bar{E}, Q, \bar{D}, \bar{U}, \theta -1$

SUSY states odd

Weinberg, Sakai

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 W = & h^E LH_d \bar{E} + h^D QH_d \bar{D} + h^U QH_u \bar{U} + \mu H_d H_u \\
 & + \lambda LLE + \lambda' LQ\bar{D} + \kappa LH_u + \lambda'' \bar{U}\bar{D}\bar{D} \\
 & + \frac{1}{M} (QQQL + QQQH_d + Q\bar{U}\bar{E}H_d + \dots(\mathcal{L}))
 \end{aligned}$$

R-parity:  $Z_2$

SUSY states odd

Weinberg, Sakai

Baryon "parity":  $Z_3$

$$\begin{aligned}
 Q & 1 \\
 \bar{D}, H_u & \alpha \\
 L, \bar{E}, \bar{U}, H_d & \alpha^2
 \end{aligned}$$

LSP unstable

Discrete gauge symmetry  
-anomaly free

Ibanez, GGR

# SUSY extensions of the Standard Model

$$\begin{aligned}
 W = & h^E LH_d \bar{E} + h^D QH_d \bar{D} + h^U QH_u \bar{U} + \mu H_d H_u \\
 & + \lambda LL\bar{E} + \lambda' LQ\bar{D} + \kappa LH_u + \lambda'' \bar{U}\bar{D}\bar{D} \\
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Proton hexality:  $Z_6 = Z_2^R \times Z_3^B$

LSP stable

$$\frac{1}{M} LLH_u H_u$$

Dreiner, Luhn, Thormeier

	$R_2$	$R_3 L_3$	$R_3$	$L_3$	$R_3^2 L_3$	$R_6^5 L_6^2$	$R_6$	$R_6^3 L_6^2$	$R_6 L_6^2$	all $Z_9$ & $Z_{18}$
$H_d H_u$	✓	✓	✓	✓	✓	✓	✓	✓	✓	
$L H_u$		✓								
$LL\bar{E}$		✓								
$LQ\bar{D}$		✓								
$\bar{U}\bar{D}\bar{D}$				✓						
$QQQL$	✓		✓				✓			
$\bar{U}\bar{U}\bar{D}\bar{E}$	✓		✓				✓			
$QQQH_d$				✓						
$Q\bar{U}\bar{E}H_d$		✓								
$LH_u LH_u$	✓	✓				✓				
$LH_u H_d H_u$		✓								
$\bar{U}\bar{D}^* \bar{E}$		✓								
$H_u^* H_d \bar{E}$		✓								
$Q\bar{U}L^*$		✓								
$QQ\bar{D}^*$				✓						

# SUSY extensions of the Standard Model

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 \end{aligned}$$

$\mu$  term,  
GUTs?

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SUSY states odd

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LSP stable

$Z_N^R$  R-symmetry

$$N=4,6,8,12,24$$

LSP stable

$$\frac{1}{M} LLH_u H_u$$



A unique solution :  $Z_4^R$  discrete **R** symmetry

MSSM spectrum

No perturbative  $\mu$  term

Commutates with  $SO(10)$

Anomaly cancellation

$N$	$q_{10}$	$q_{\bar{5}}$	$q_{H_u}$	$q_{H_d}$	$q_N$
4	1	1	0	0	2

# A unique solution : $Z_4^R$ discrete **R** symmetry

MSSM spectrum

No perturbative  $\mu$  term

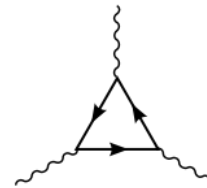
Commutates with  $SO(10)$

Anomaly cancellation

$N$	$q_{10}$	$q_{\bar{5}}$	$q_{H_u}$	$q_{H_d}$	$q_N$
4	1	1	0	0	2

Green Schwarz term

$$A_{G-G-Z_N} = \rho \text{ mod } \eta \quad \left\{ \begin{array}{l} N \\ N/2 \end{array} \right.$$



$$A_{SU(3)-SU(3)-Z_N} = \frac{1}{2} \sum_i [3 \cdot q_{10_i} + q_{\bar{5}_i} - 4R] + 3R$$

$$A_{SU(2)-SU(2)-Z_N} = \frac{1}{2} \sum_i [3 \cdot q_{10_i} + q_{\bar{5}_i} - 4R] + 2R + \frac{1}{2} (q_H + q_{\bar{H}} - 2R)$$

$$A_{U(1)_Y-U(1)_Y-Z_N^R} = \frac{1}{2} \sum_{g=1}^3 (3q_{10}^g + q_{\bar{5}}^g) + \frac{3}{5} \left[ \frac{1}{2} (q_{H_u} + q_{H_d}) - 11 \right] \quad (R=1)$$

$$\Rightarrow N = 3, 4, 6, 8, 12, 24$$

# A unique solution : $Z_4^R$ discrete **R** symmetry

MSSM spectrum

No perturbative  $\mu$  term

Commutates with  $SO(10)$

Anomaly cancellation

$N$	$q_{10}$	$q_{\bar{5}}$	$q_{H_u}$	$q_{H_d}$	$q_N$
4	1	1	0	0	2

D=5 operators

$$\frac{1}{M} Q \cancel{Q} L \quad \frac{1}{M} LLH_u H_u$$

Weinberg operator

SUSY breaking

$\langle W \rangle, \langle \lambda\lambda \rangle$  R=2 non=perturbative breaking

Domain walls safe

$$Z_{4R} \rightarrow Z_2^R \quad R\text{-parity}$$

$$\mu \sim m_{3/2}, \quad O\left(\frac{m_{3/2}}{M^2} QQQ L\right)$$

$$M_{\text{higgs}} \approx M_{\text{SUSY}}$$

$$\mu, \mathcal{B}, \mathcal{L}$$

# Nucleon decay outlook

- Nucleon decay D=6 operators

$$\tau(p \rightarrow \pi^0 e^+) = \left( \frac{M_{\text{GUT}}}{10^{16} \text{ GeV}} \right)^4 \left( \frac{1/35}{\alpha_{\text{GUT}}} \right)^2 \left( \frac{0.015 \text{ GeV}^3}{\alpha_N} \right)^2 \left( \frac{5}{A_L} \right)^2 4.4 \times 10^{34} \text{ yr.}$$

Operator renormalisation

Hadronic matrix element

$$\tau_{p \rightarrow e^+ \pi^0}^{\text{SuperK}} > 1 \times 10^{34} \text{ yrs}$$

Giudice, Romanino

$$M_{\text{GUT}} > \left( \frac{\alpha_{\text{GUT}}}{1/35} \right)^{1/2} \left( \frac{\alpha_N}{0.015 \text{ GeV}^3} \right)^{1/2} \left( \frac{A_L}{5} \right)^{1/2} 6 \times 10^{15} \text{ GeV}$$

$$c.f. M_U = (2.5 \pm 2) \cdot 10^{16} \text{ GeV}$$