



# Search for LFV in $\tau \rightarrow l\gamma$ and $\tau \rightarrow ll$ at BaBar



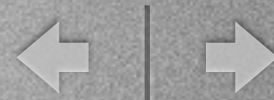
Alberto Cervelli  
Universita' Di Pisa





# Outline

- Theory Overview
- The BaBar Detector
- $\tau \rightarrow l\gamma$
- $\tau \rightarrow lll$
- Conclusion

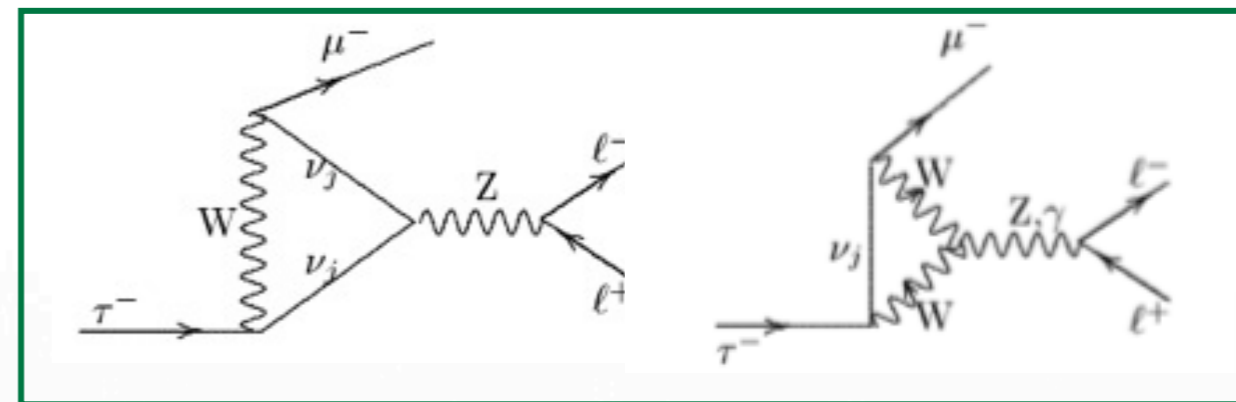
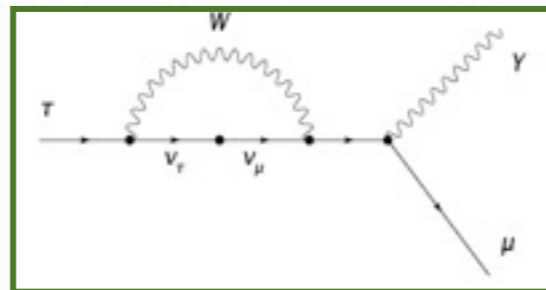


# LFV in $\tau$ decays theory

SM allows LFV: observed in neutral sector.

In charged sector may happen via loops with small expected BR (e.g.  $BR_{SM}(\tau \rightarrow \mu \gamma) < 10^{-54}$ ).

Even less in  $\tau \rightarrow 3l$

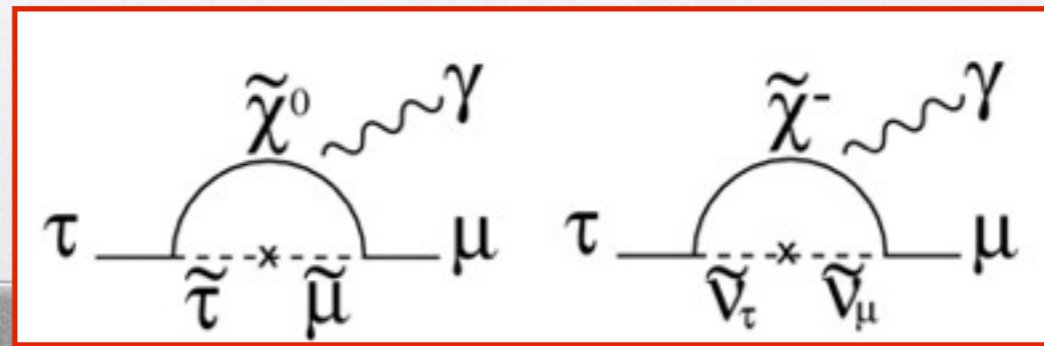
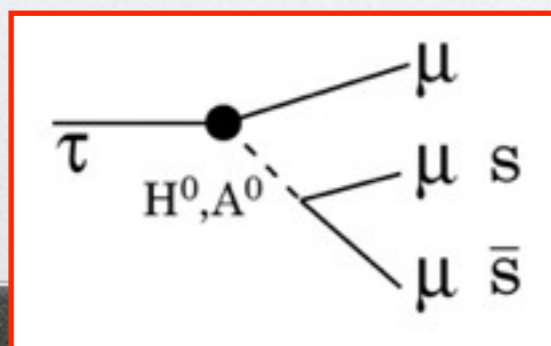


If detected, LFV would imply New Physics with present (and near future) luminosities.

Many New Physics models predict  $\tau$  LFV BR up to  $[O(10^{-8})]$ .

If detected in more than one channel it provides

Useful information on NP flavor structure, by looking at LFV BF Ratios. [arxiv:hep-ph0610344v3]





# Some Predictions

		$\tau \rightarrow \mu \gamma$	$\tau \rightarrow \mu \mu \mu$
SM + $\nu$ mixing	Lee, Shrock, PRD 16 (1977) 1444 Cheng, Li, PRD 45 (1980) 1908	Undetectable	
SUSY Higgs	Dedes, Ellis, Raidal, PLB 549 (2002) 159 Brignole, Rossi, PLB 566 (2003) 517	$10^{-10}$	$10^{-7}$
SM + heavy Maj $\nu_R$	Cvetič, Dib, Kim, Kim, PRD 66 (2002) 034008	$10^{-9}$	$10^{-10}$
Non-universal $Z'$	Yue, Zhang, Liu, PLB 547 (2002) 252	$10^{-9}$	$10^{-8}$
SUSY SO(10)	Masiero, Vempati, Vives, NPB 649 (2003) 189 Fukuyama, Kikuchi, Okada, PRD 68 (2003) 033012	$10^{-8}$	$10^{-10}$
mSUGRA + Seesaw	Ellis, Gomez, Leontaris, Lola, Nanopoulos, EPJ C14 (2002) 319 Ellis, Hisano, Raidal, Shimizu, PRD 66 (2002) 115013	$10^{-7}$	$10^{-9}$



# BaBar



Čerenkov Detector  
(DIRC)

1.5 T solenoid

ElectroMagnetic  
Calorimeter

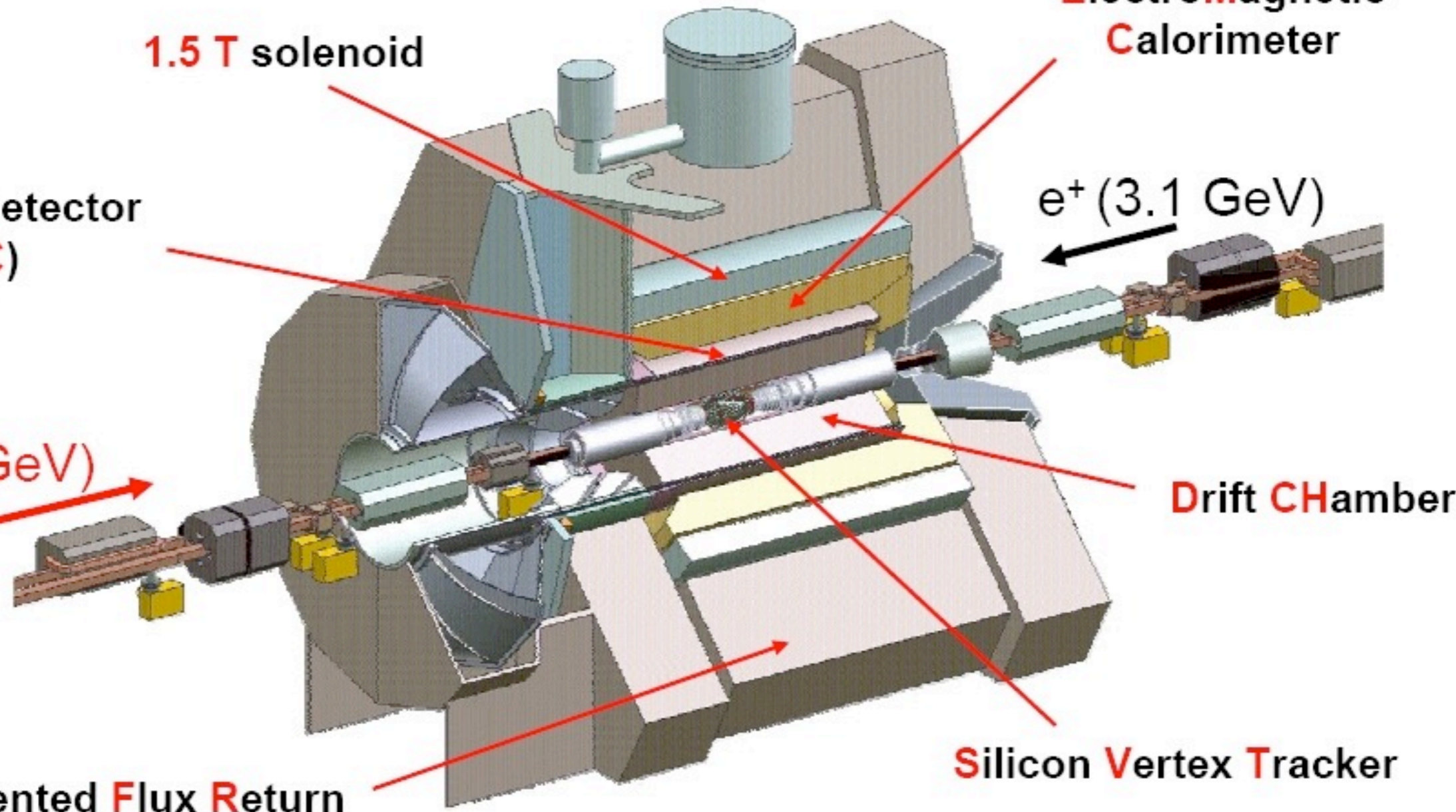
$e^+$  (3.1 GeV)

$e^-$  (9 GeV)

Drift CHamber

Silicon Vertex Tracker

Instrumented Flux Return

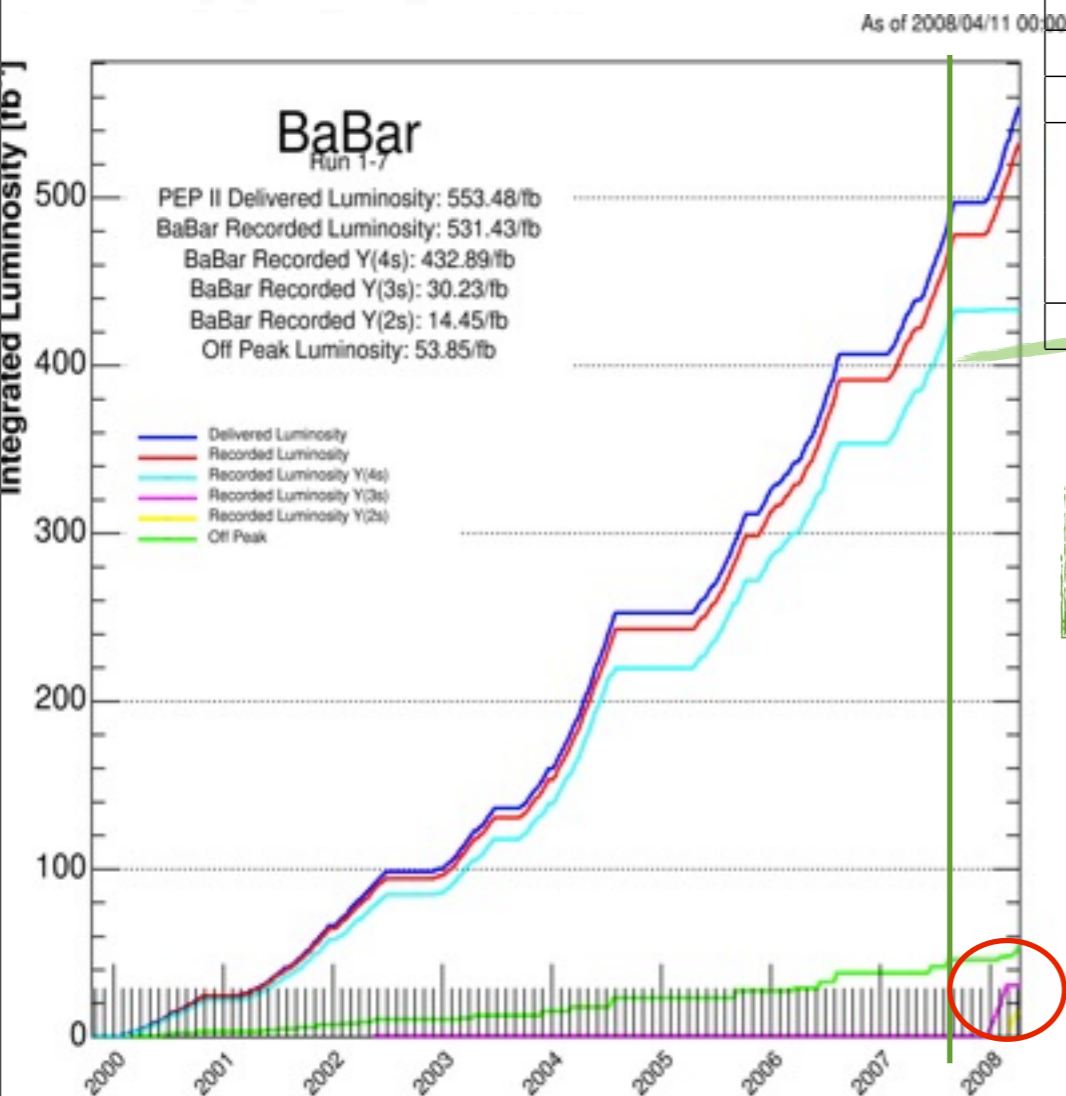




# Data Sample

Results from 8 different channels,  
different data sets used:

$\tau \rightarrow lll$				$\tau \rightarrow l\gamma$			
$\tau^+ \rightarrow e^+e^-e^+$		$\tau^+ \rightarrow \mu^+\mu^-\mu^+$		$\tau^+ \rightarrow e^+\gamma$		$\tau^+ \rightarrow \mu^+\gamma$	
$\tau^+ \rightarrow e^+\mu^-e^+$		$\tau^+ \rightarrow \mu^+e^-\mu^+$					
$\tau^+ \rightarrow e^+e^-\mu^+$		$\tau^+ \rightarrow \mu^+\mu^-e^+$					
Data Sets							
Sample	$\sigma$ (nb)	N events ( $10^6$ )	$\mathcal{L}_{MC}/\mathcal{L}_{Data}$	Sample	$\sigma$ (nb)	N events ( $10^6$ )	$\mathcal{L}_{MC}/\mathcal{L}_{Data}$
bb	1.1	1470	3.3	bb	1.1	1470	3.3
c $\bar{c}$	1.3	1132	1.8	cc $\bar{c}$	1.3	1301	1.9
uds	2.09	938	1.1	uds	2.09	1773	1.6
$\tau^+\tau^-$	0.92	184	1.5	$\tau^+\tau^-$	0.92	811	1.7
Data		$\mathcal{L} = 468 fb^{-1}$		Data		$\mathcal{L} = 515 fb^{-1}$	

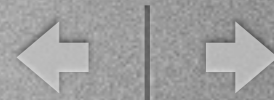


Used only data taken during  $\Upsilon(4S)$   
data taking period: 861M  $\tau$  pairs

Used Both data from  $\Upsilon(4S)$  and  $\Upsilon(nS)$  data,  
where  $\tau$ -pair production cross section is  
larger.  
 $N_\tau = 963 \pm 7$  M ( $515 fb^{-1}$ )  
 (Belle:  $N_\tau = 983 \pm 7$  M ( $535 fb^{-1}$ ))



# Search for $\tau \rightarrow \mu/e \gamma$



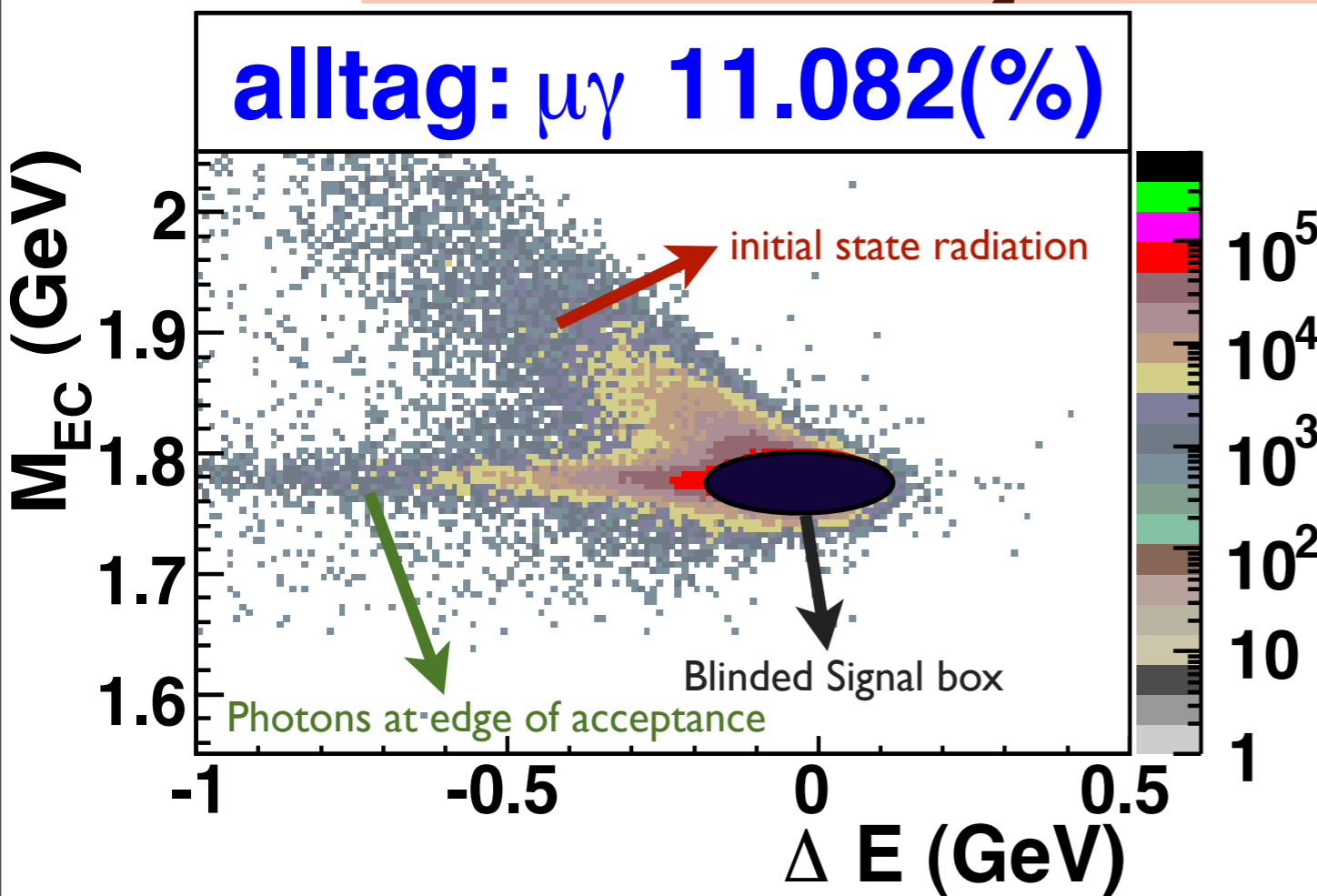
# Signal Characteristics

$$M_{ec} = M_{INV}^*(l\gamma)$$

$$\Delta E = E^{CM}(l\gamma) - \frac{\sqrt{s}}{2}$$

$$\sigma(M_{ec}) = 8 \text{ MeV}$$

$$\sigma(\Delta E) = 42 \text{ MeV}$$



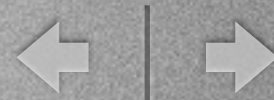
$\Delta E$  resolution dominated by ISR effects and neutral energy reconstruction

$M_{ec}$  dominated by tracking resolution and EMC performances

$$\sigma(\text{Inv. Mass}) = 18 \text{ MeV}$$

Blinded region  $\pm 3\sigma$  ellipsis around  $(0, m_\tau)$





# Signal selection

Event selection requires:

- events to be in  $\Delta E$ ,  $M_{ec}$  signal box
- signal track identified as an e ( $e\gamma$ ) or  $\mu$  ( $\mu\gamma$ )
- Signal candidates are divided in 5 categories, depending on decay in tag side and different selection is applied for each category

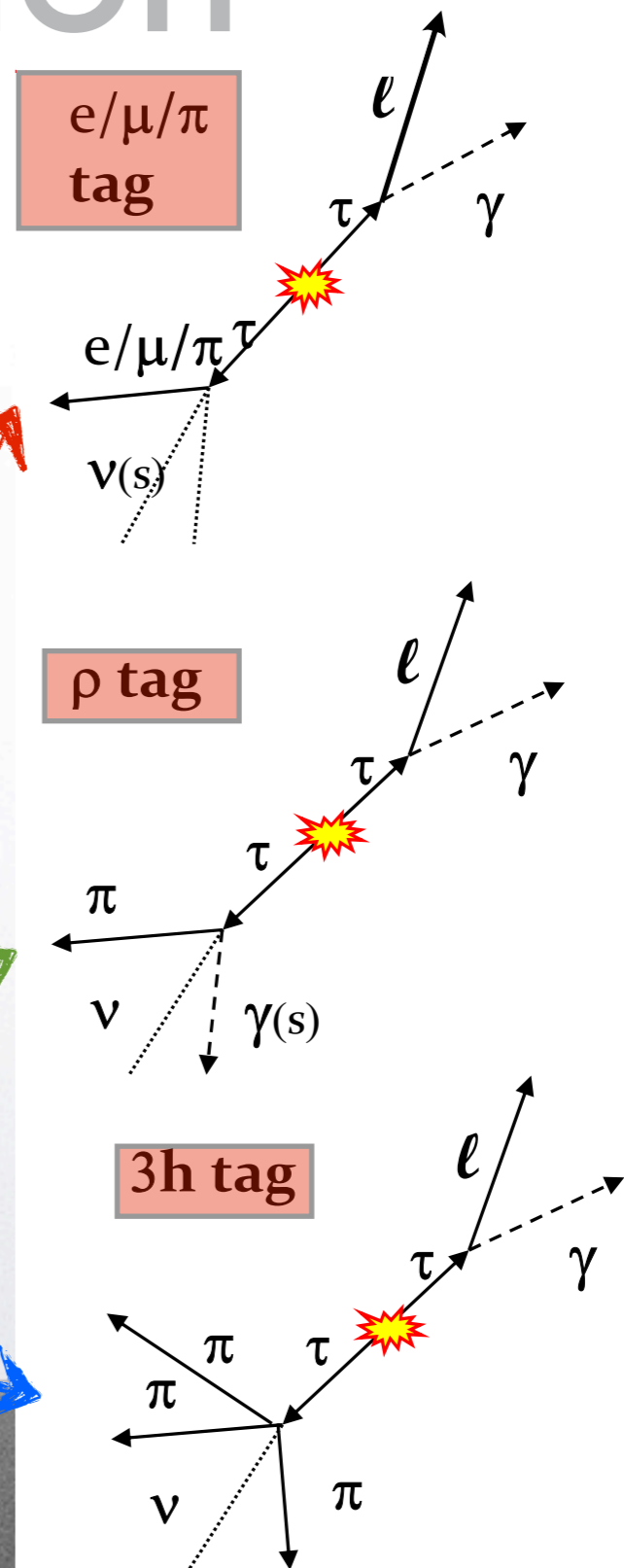
e-tag: tag track ID as electron, neutral energy in tag side  $< 200$  MeV ( $e/\mu$ )

$\mu$ -tag: tag track ID as  $\mu$ , neutral energy in tag side  $< 200$  MeV ( $e/\mu$ )

$\pi$ -tag: tag track ID neither e nor  $\mu$ , neutral energy in tag side  $< 200$  MeV ( $e/\mu$ )

$\rho$ -tag: tag track ID neither e nor  $\mu$ , one  $\pi^0$  candidate in tag side  $m_{\pi} \in [90, 165]$  MeV

3h-tag: 3 tracks in tag side, not identified as e or  $\mu$



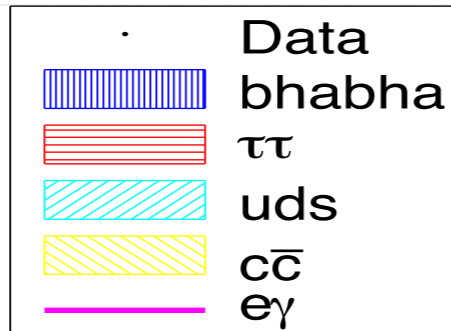
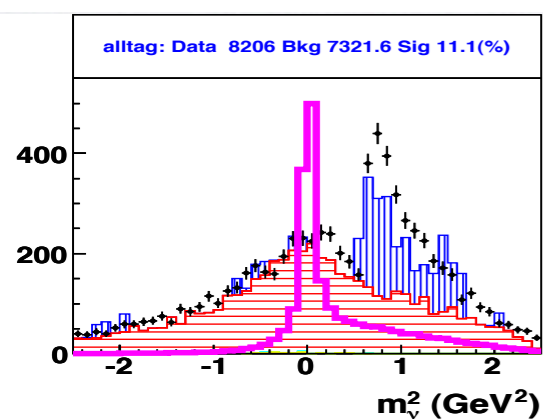


# Signal Selection II

Further selection is applied using three groups of selectors, and a Neural Network

## Tag side selection:

- $m_V$  in the hypothesis that the signal side is fully reconstructed.
- $2\Sigma P^{CM}/\sqrt{s}$
- Pseudomass: reconstructed mass in the hypothesis  $V$  is colinear with signal  $\tau$  and has a cutoff at  $m_\tau$



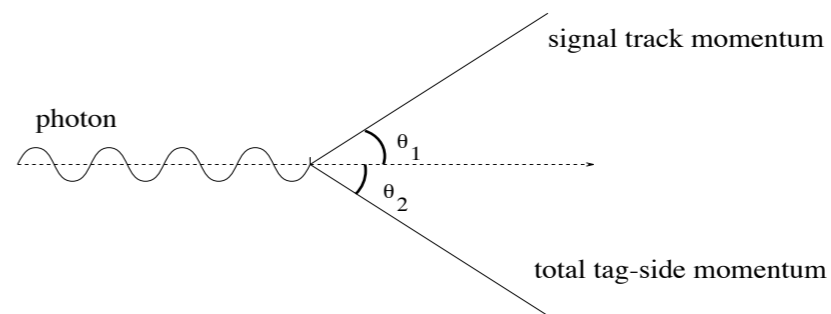
## Signal Side selection

- $\cos\theta_{\text{opening}}$ : opening angle between lepton and track
- $\pi^0$  reconstruction consistency
- $E_\gamma > 1 \text{ GeV}$  & no further  $\gamma$  over 100 MeV
- $2\Sigma P^{CM}/\sqrt{s} < 0.77$

## Global Selection

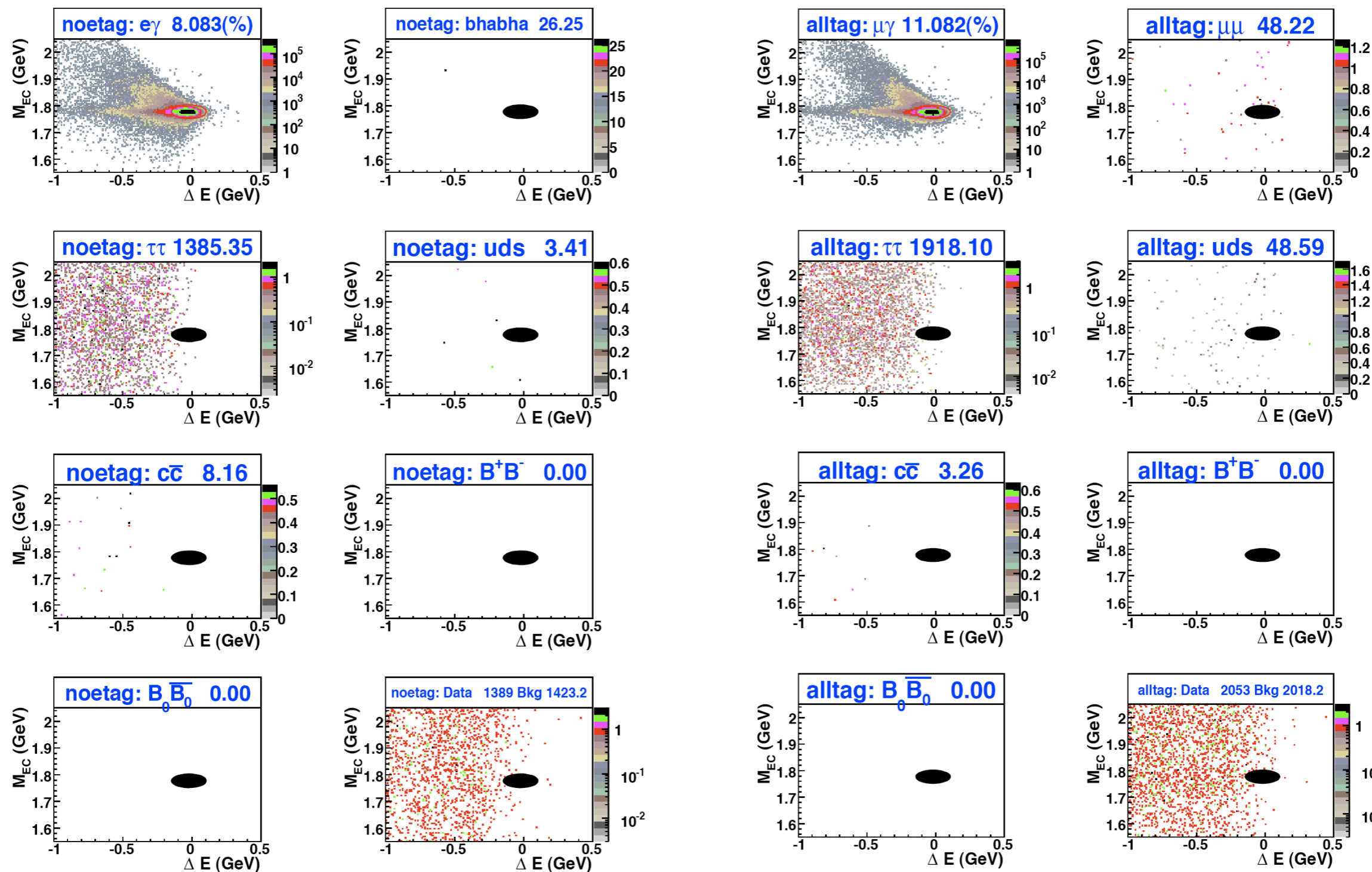
- $\cos\theta_{\text{miss}}$  &  $p_{\text{miss}}^T$  are used to reduce QED bkg
- $\cos\theta_{\text{recoil}}$ : angle between reconstructed tau directions.
- $\Delta E_\gamma$ : neglecting track masses

$$\Delta E_\gamma = \frac{E_\gamma^{CM}}{\sqrt{s}} - \frac{|\sin(\theta_1 + \theta_2)|}{\sin(\theta_1) + \sin(\theta_2) + \sin(\theta_1 + \theta_2)}$$



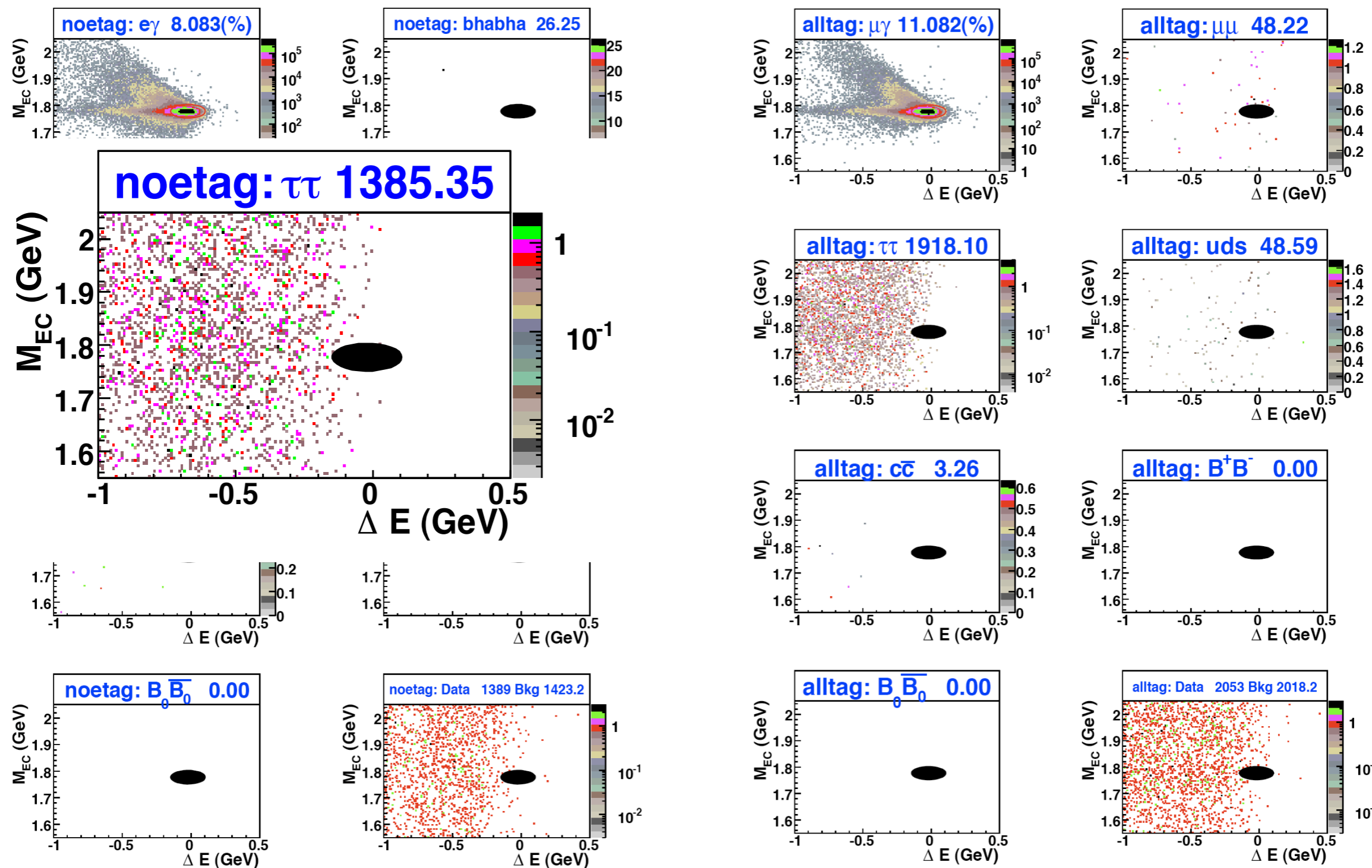


# After Selection



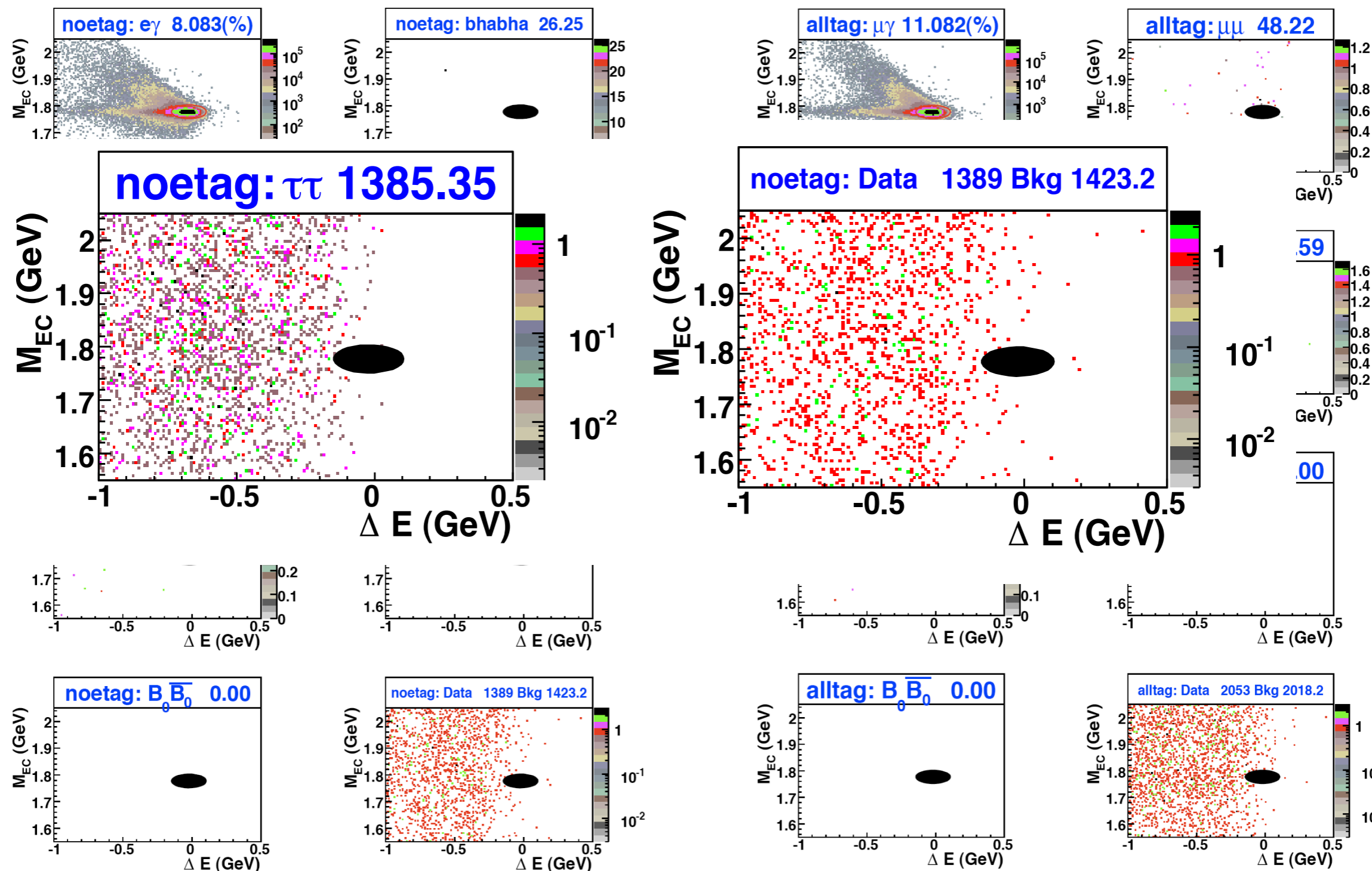


# After Selection





# After Selection





# Background Estimation

Number of background events in the  $2\sigma$  ellipse is estimated as:

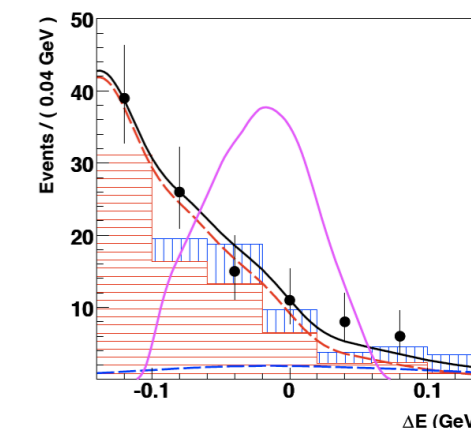
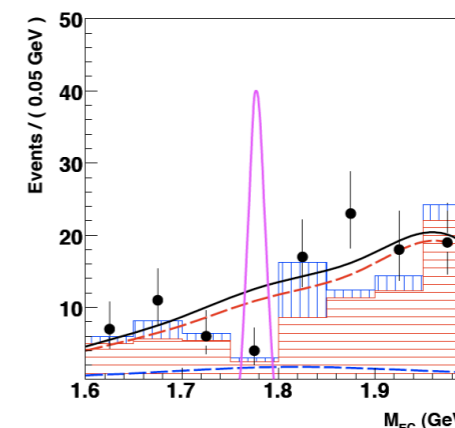
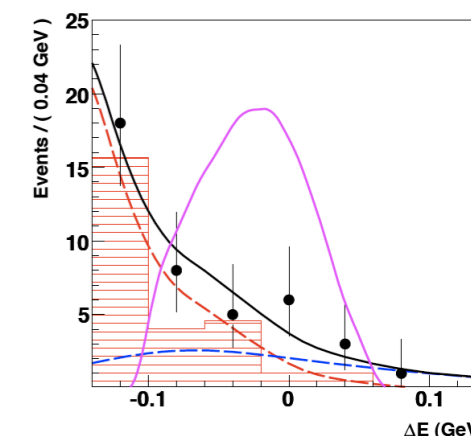
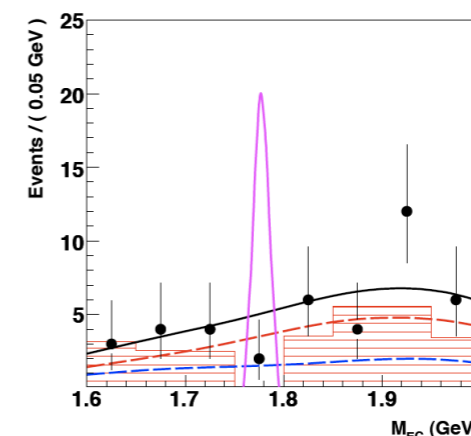
$$N_{2\sigma}^{data} = \frac{\int_{2\sigma} PDF_{tot}}{\int_{FitBox-3\sigma} PDF_{tot}} \times N_{FitBox-3\sigma}^{data}$$

PDF are extracted from MC samples and normalized to the Data using unblinded data sidebands

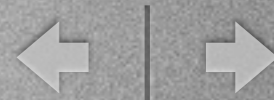
Bhabha PDF is extracted using data enriched data samples

$\tau^{\pm} \rightarrow e^{\pm}\gamma$ :

$\tau^{\pm} \rightarrow \mu^{\pm}\gamma$ :



Decay modes	# of events	$-9\sigma$	$-5\sigma$	0	$+5\sigma$	$+9\sigma$
$\tau^{\pm} \rightarrow e^{\pm}\gamma$	Observed	2	1	?	2	2
	Expected	$1.2 \pm 0.2$	$1.4 \pm 0.2$	$1.6 \pm 0.3$	$1.9 \pm 0.3$	$2.1 \pm 0.3$
$\tau^{\pm} \rightarrow \mu^{\pm}\gamma$	Observed	3	1	?	4	6
	Expected	$2.8 \pm 0.3$	$3.1 \pm 0.3$	$3.6 \pm 0.4$	$4.2 \pm 0.4$	$4.8 \pm 0.5$



# Results

**$N_\tau = 963 \pm 7 \text{ M (515 fb}^{-1}\text{)}$**

$\tau \rightarrow e\gamma$

- Efficiency ( $2\sigma$ ) =  $3.9 \pm 0.3 \%$
- Expected Bkg =  $1.6 \pm 0.5$  events
- Expected Upper Limit:  $9.8 \times 10^{-8}$
- Observed Number of events: 0

• Previous Limits:

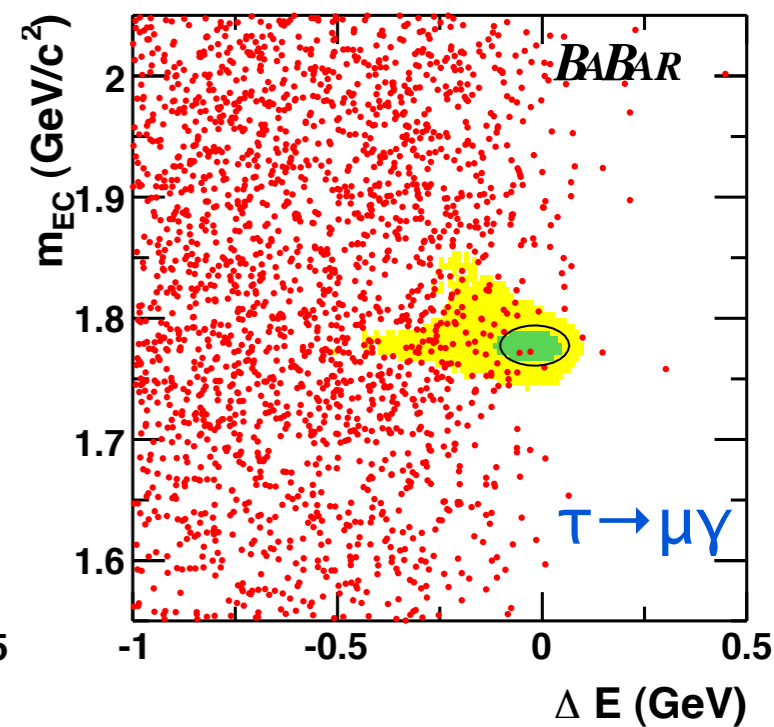
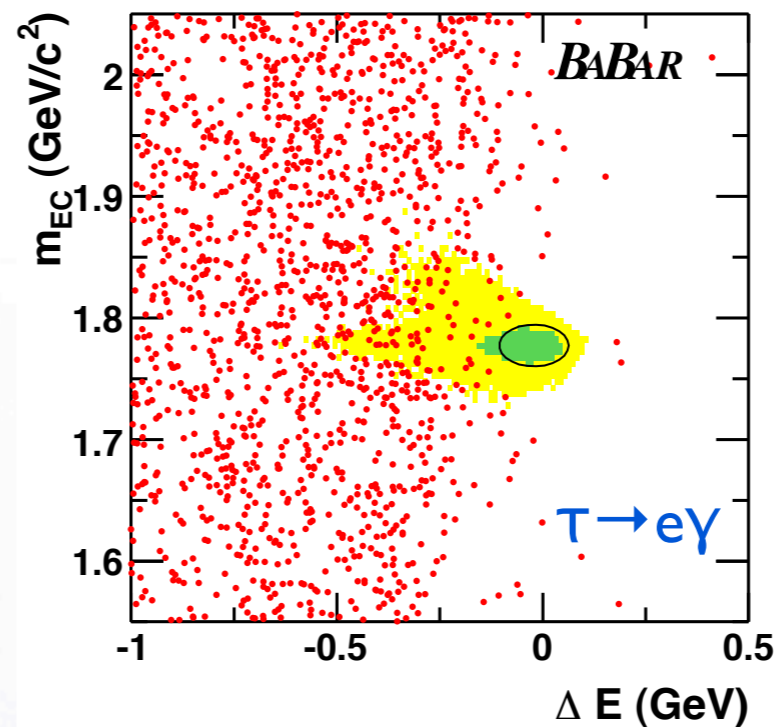
- BaBar ( $232 \text{ fb}^{-1}$ ):  $1.1 \times 10^{-7}$
- Belle ( $535 \text{ fb}^{-1}$ ):  $1.2 \times 10^{-7}$

$$\mathcal{B} (\tau^\pm \rightarrow e^\pm \gamma) < 3.3 \times 10^{-8}$$

$$\mathcal{B} (\tau^\pm \rightarrow \mu^\pm \gamma) < 4.4 \times 10^{-8}$$

PRL104,021802(2010)

Belle: [Phys.Lett.B666:16,2008](#)



$\tau \rightarrow \mu\gamma$

- Efficiency ( $2\sigma$ ) =  $6.1 \pm 0.5 \%$
- Expected Bkg =  $3.6 \pm 0.6$  events
- Expected Upper Limit:  $8.1 \times 10^{-8}$
- Observed Number of events: 2

• Previous Limits:

- BaBar ( $232 \text{ fb}^{-1}$ ):  $6.8 \times 10^{-8}$
- Belle ( $535 \text{ fb}^{-1}$ ):  $4.5 \times 10^{-8}$



# Search for $\tau \rightarrow III$





# Analysis strategy

Low multiplicity events selected and event space divided in two hemispheres using thrust.

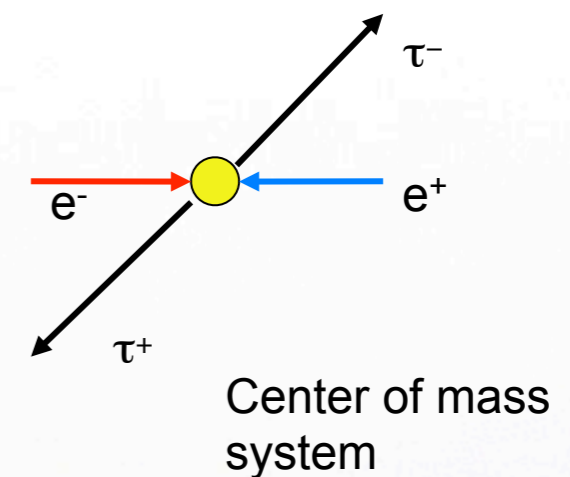
- **Signal side**: tracks and neutrals coming from LFV decay
- **Tag side**: standard 1-prong decay

Blind analysis performed

Background reduced using PID and kinematical informations, multivariate algorithms ( $\tau \rightarrow \mu \gamma$ )

Optimization performed for Best UL

(in Belle: optimization for best discovery significance)





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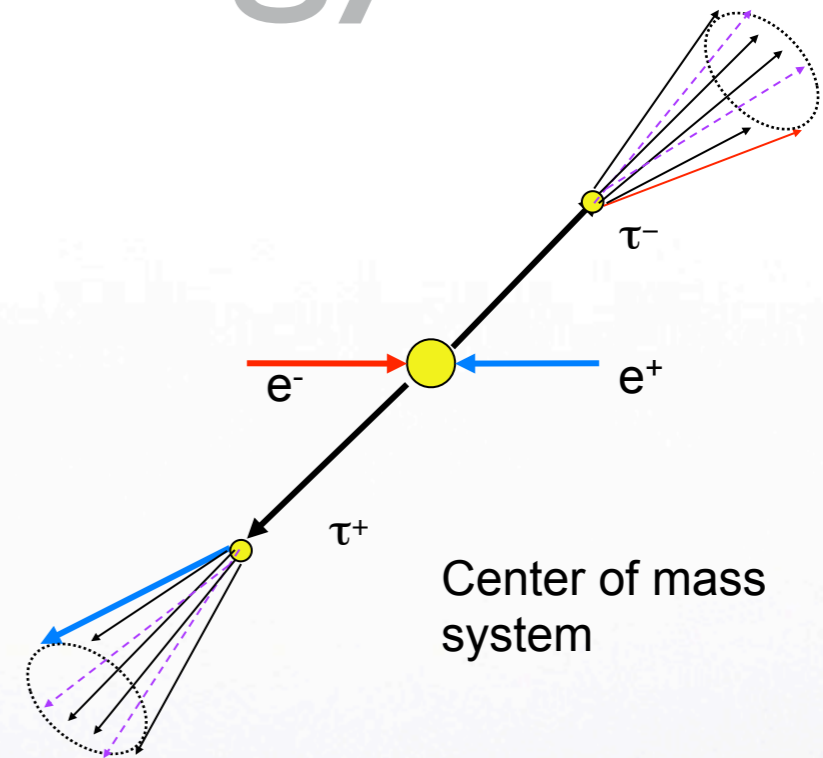
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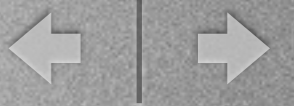
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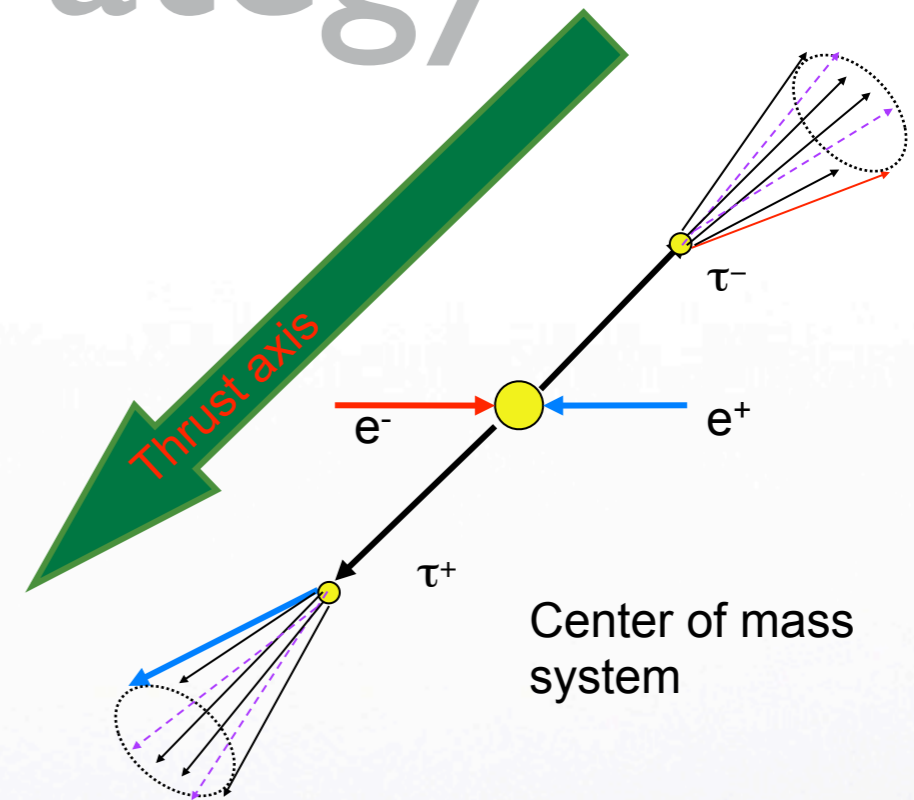
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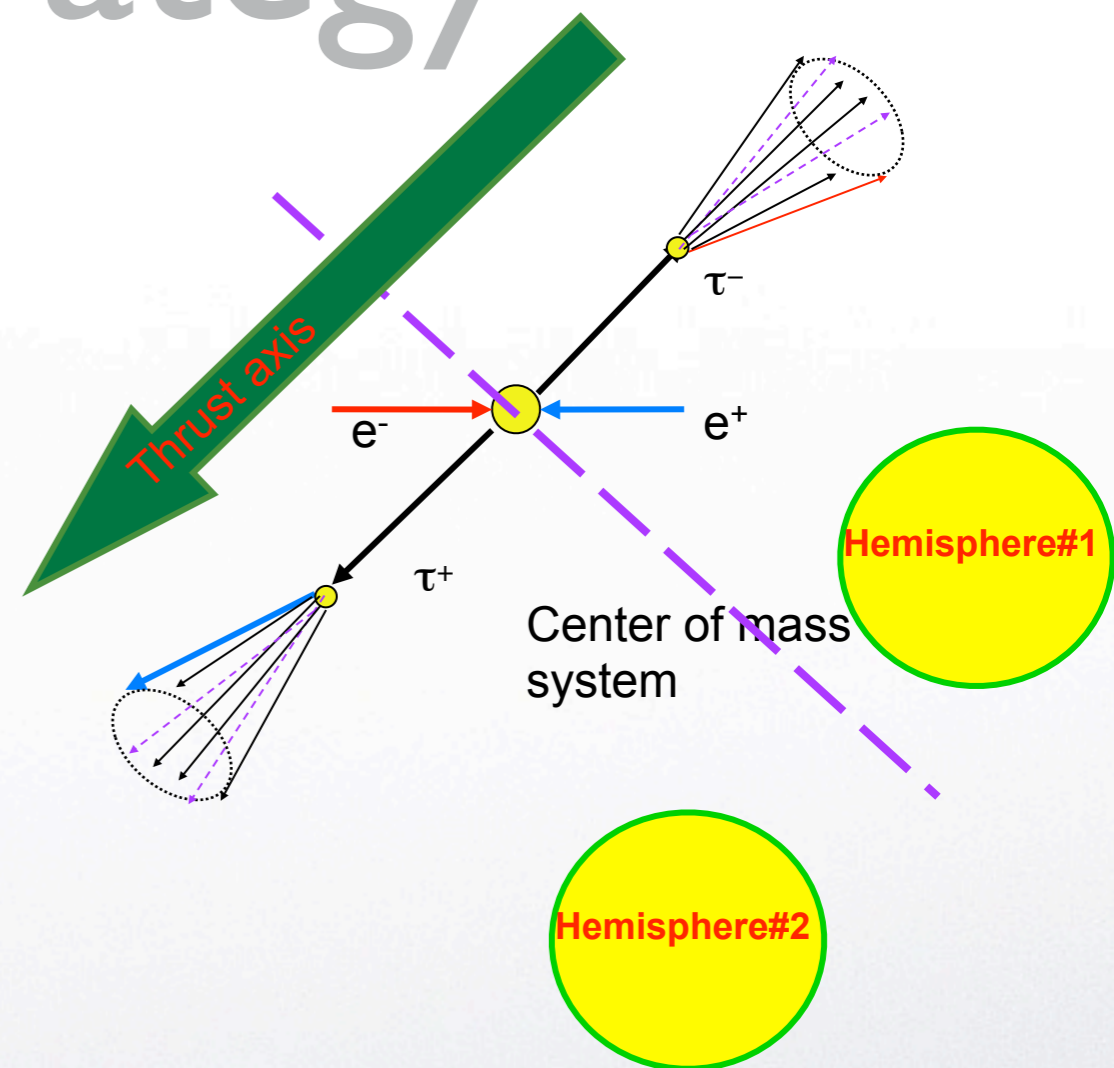
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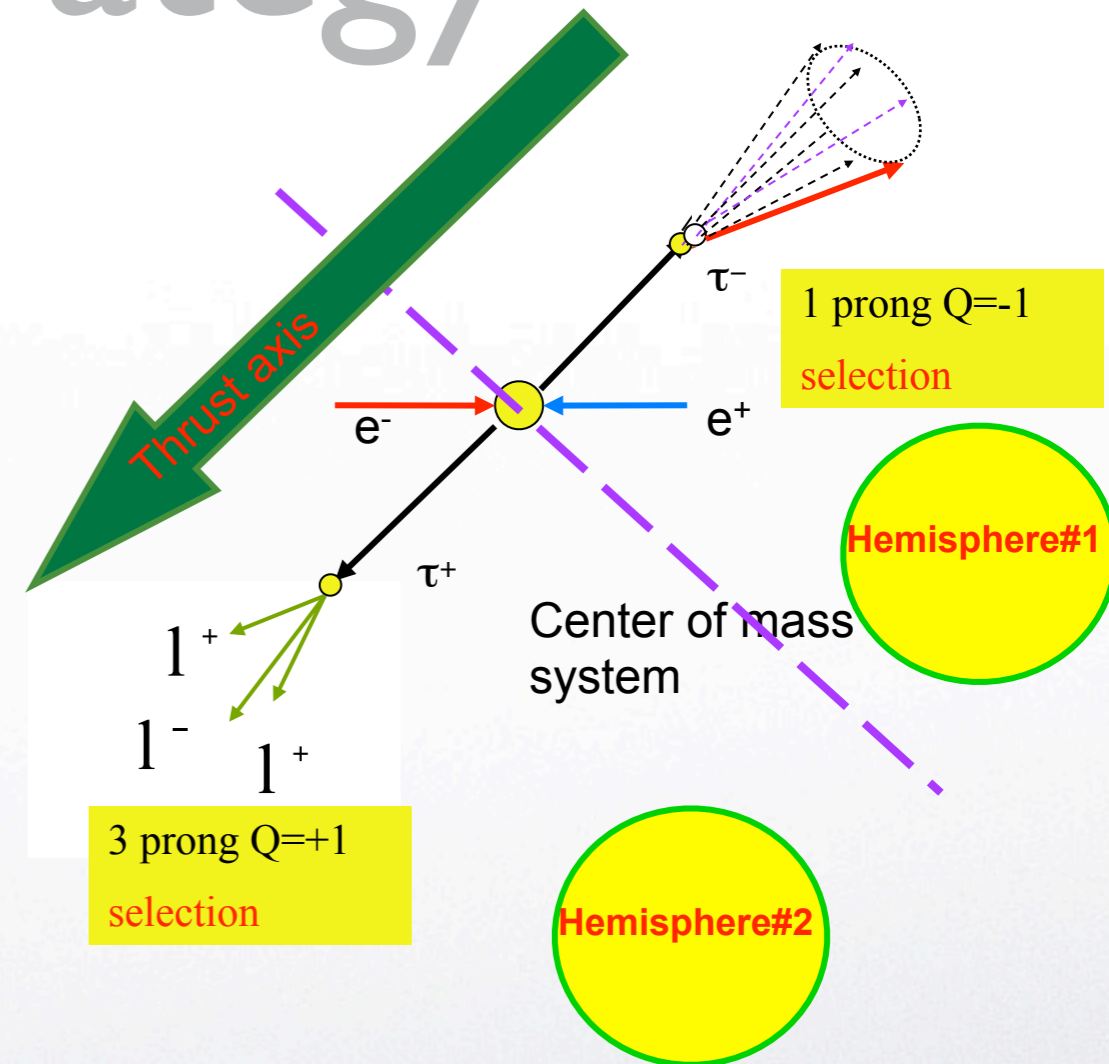
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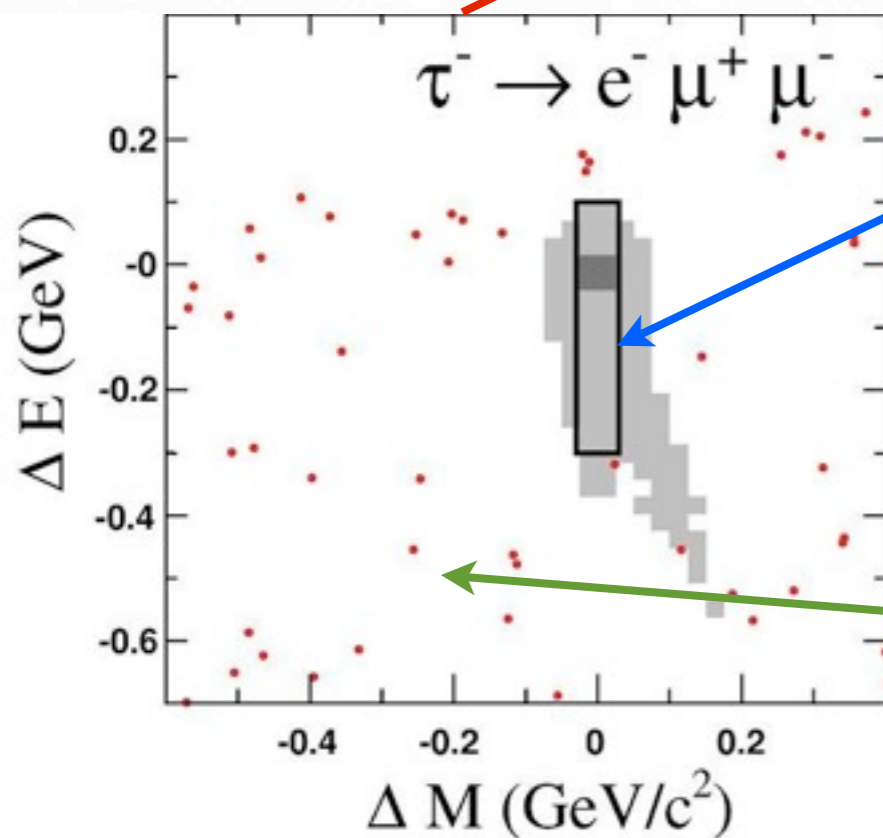


# Selection Strategy

Large Box (LB): identical for all channels. Almost all signal events lie in this region

Signal Box (SB): different for each channel, dimension optimized to give the best UL for each channel.

Data events in this region are BLIND



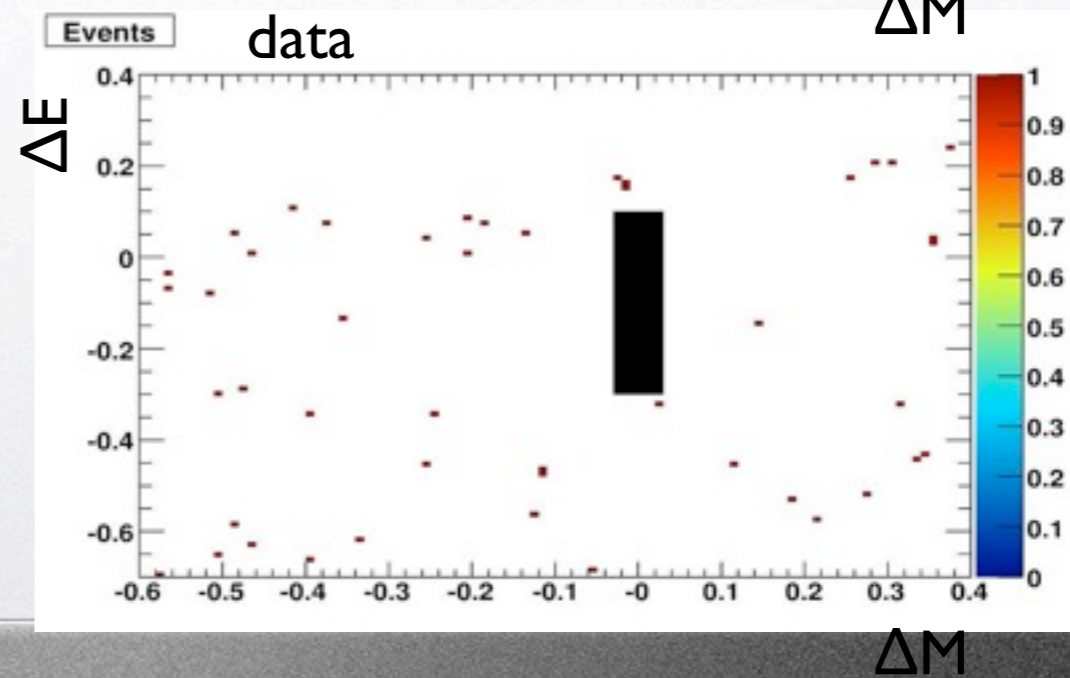
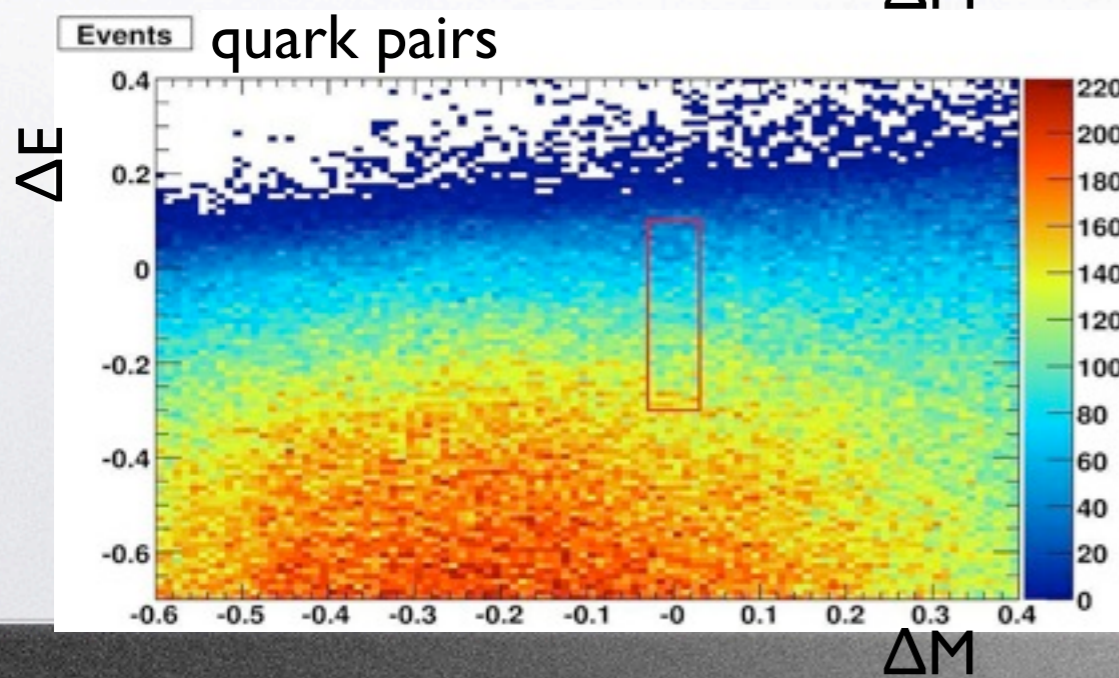
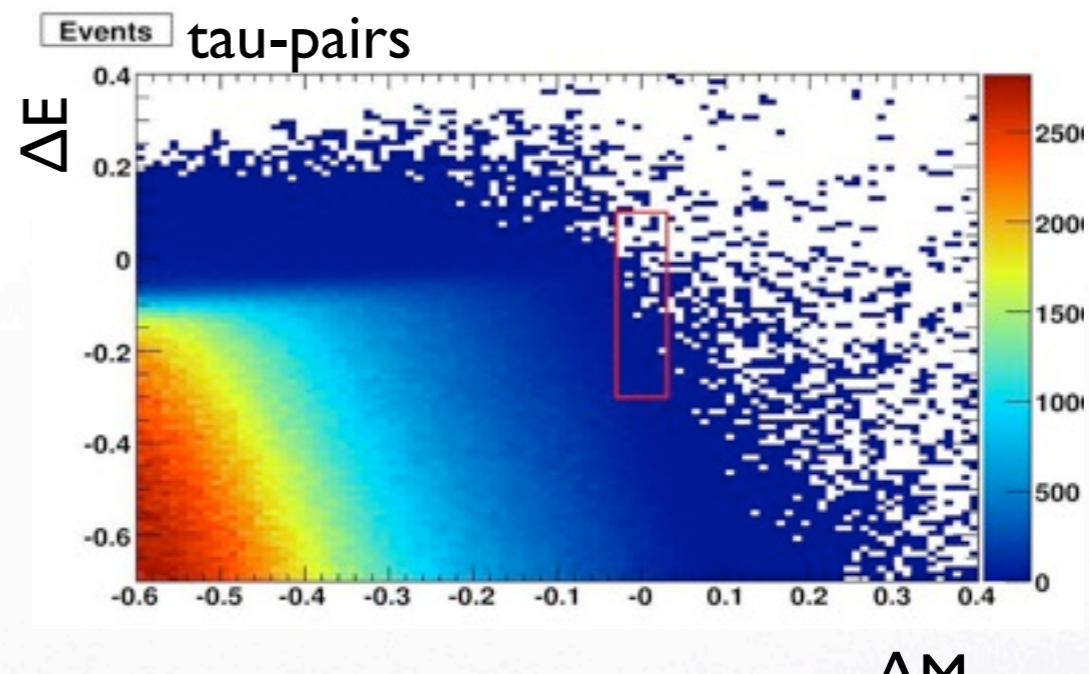
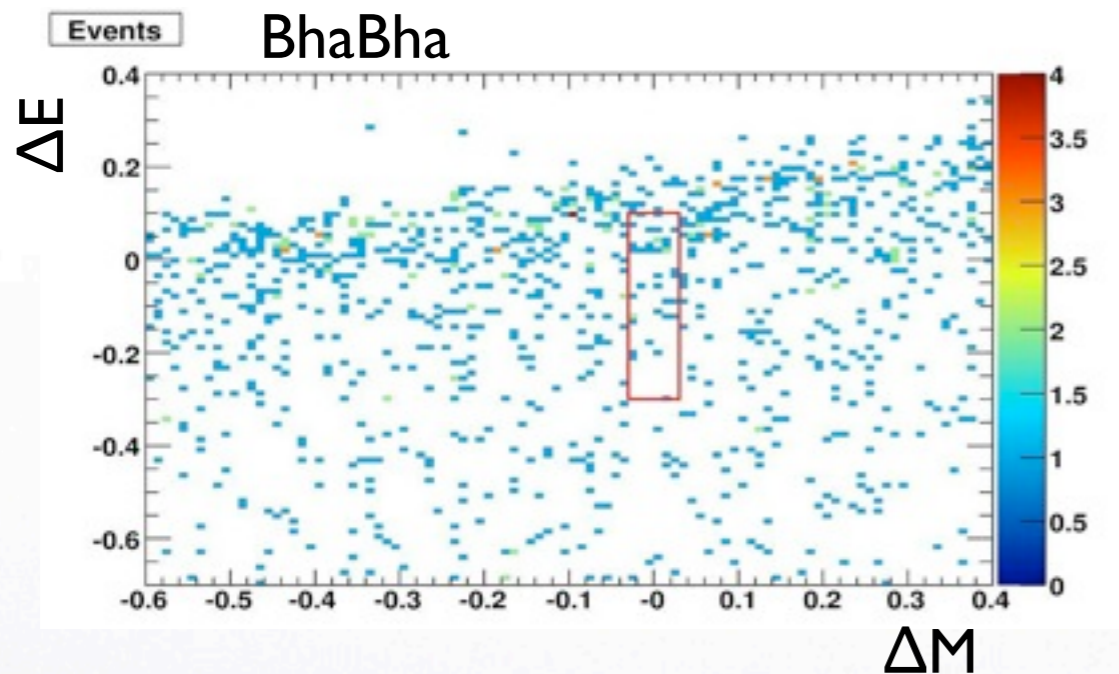
Grand Sideband (GS): is the unblinded region of the LB.

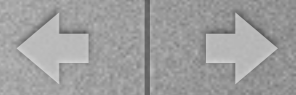
Background estimation made extrapolating data from GS to SB

$$\Delta M = M_{ec} - m_{\tau}$$



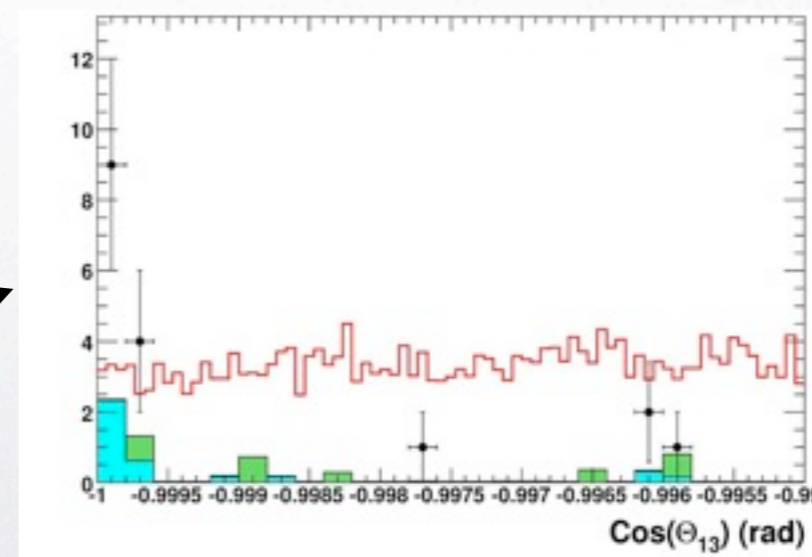
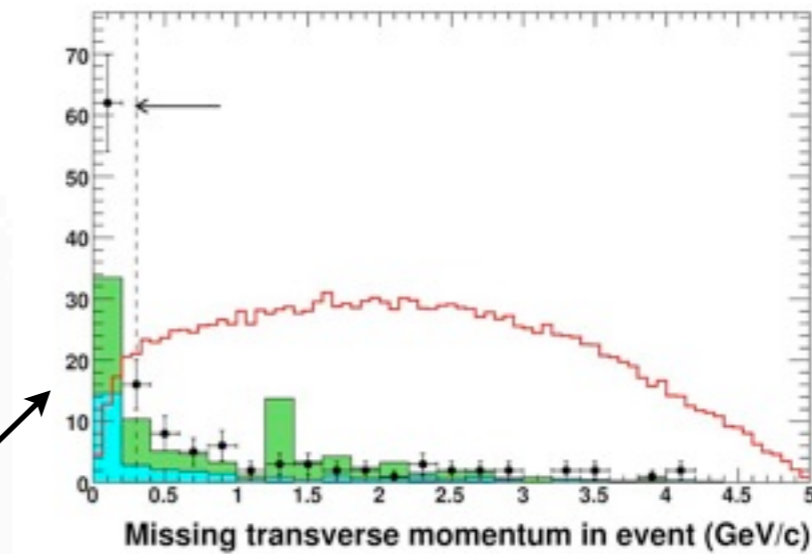
# Backgrounds





# Background estimation

- PID applied to all signal tracks: identified as either e or muon depending on channel
- Tag track  $p_t < 4.8$  GeV (suppress QED bkgs)
- One prong Pid veto:
  - No **electron** for  $e^-e^+e^-$ ,  $e^-\mu^+\mu^-$
  - No **muon** for  $\mu^-\mu^+\mu^-$ ,  $\mu^-e^+e^-$ ,  $e^-\mu^+e^-$
- One prong mass compatible with tau mass
- Missing transverse momentum
  - $>0.1$  GeV/c for  $e^-\mu^+e^-$
  - $>0.2$  GeV/c for  $\mu^-\mu^+\mu^-$ ,  $e^-\mu^+\mu^-$
  - $>0.3$  GeV/c for  $e^-e^+e^-$ ,  $\mu^-e^+e^-$
- Cosine of the angle between the reconstructed 3 prong tracks and 1 prong track momenta







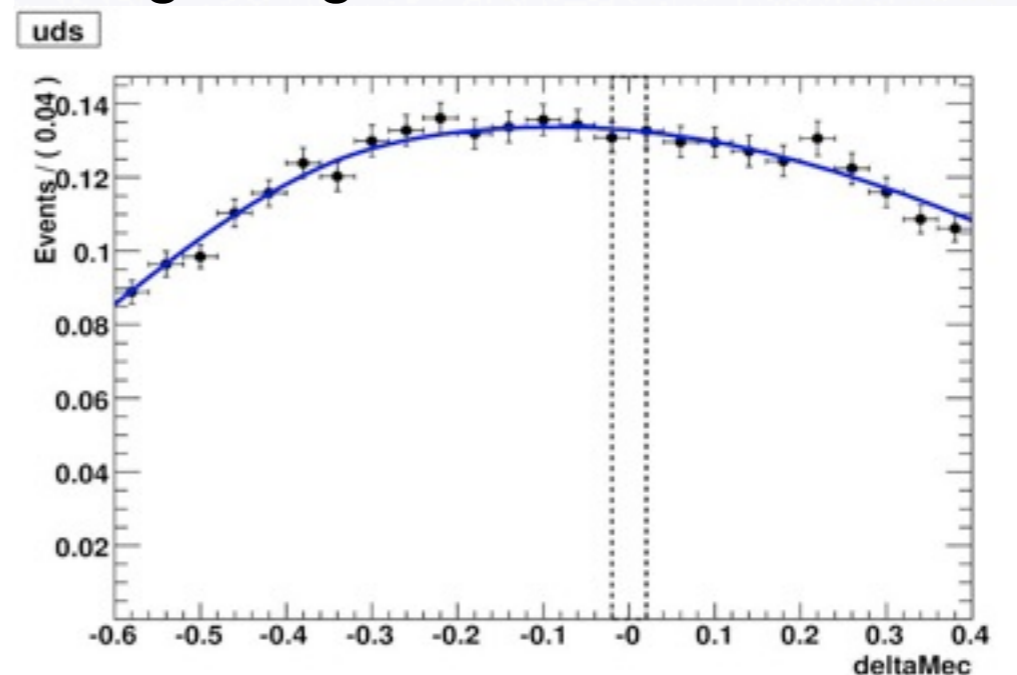
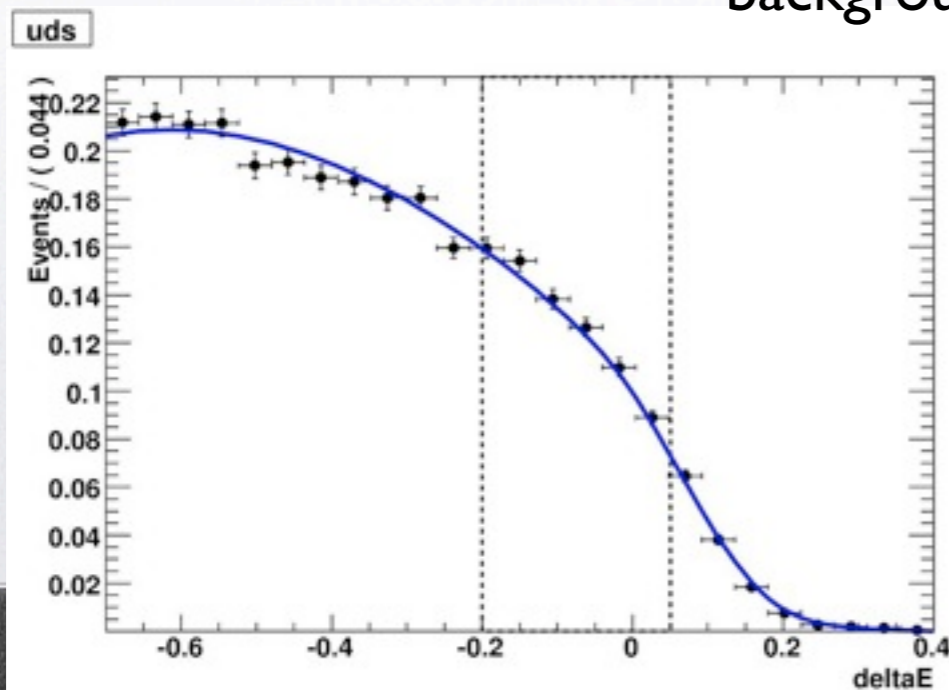
# Background Estimation

Backgrounds are extracted by a 2-dimensional fit in  $(\Delta M, \Delta E)$  plane

Bkg PDF are obtained from unblinded sidebands

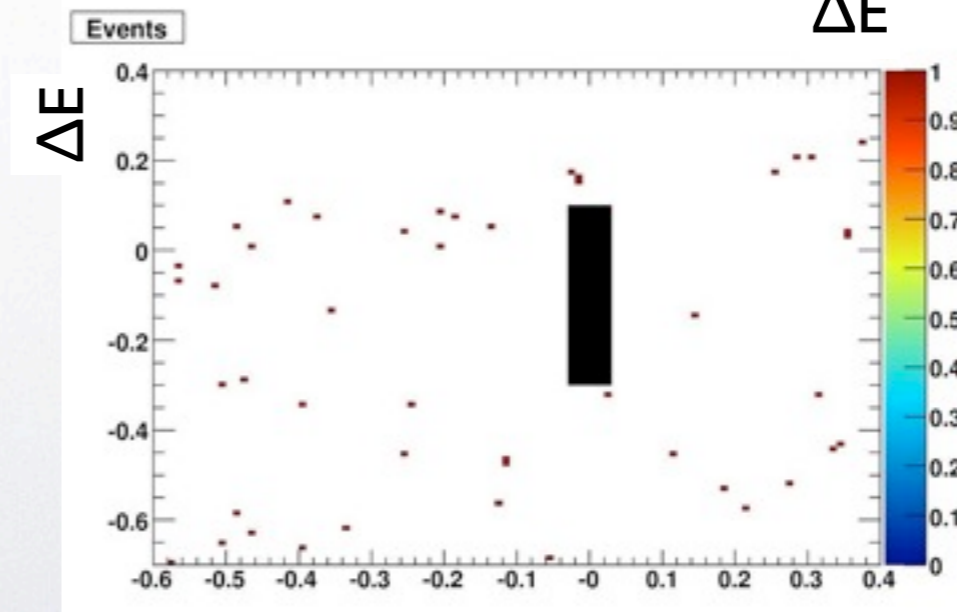
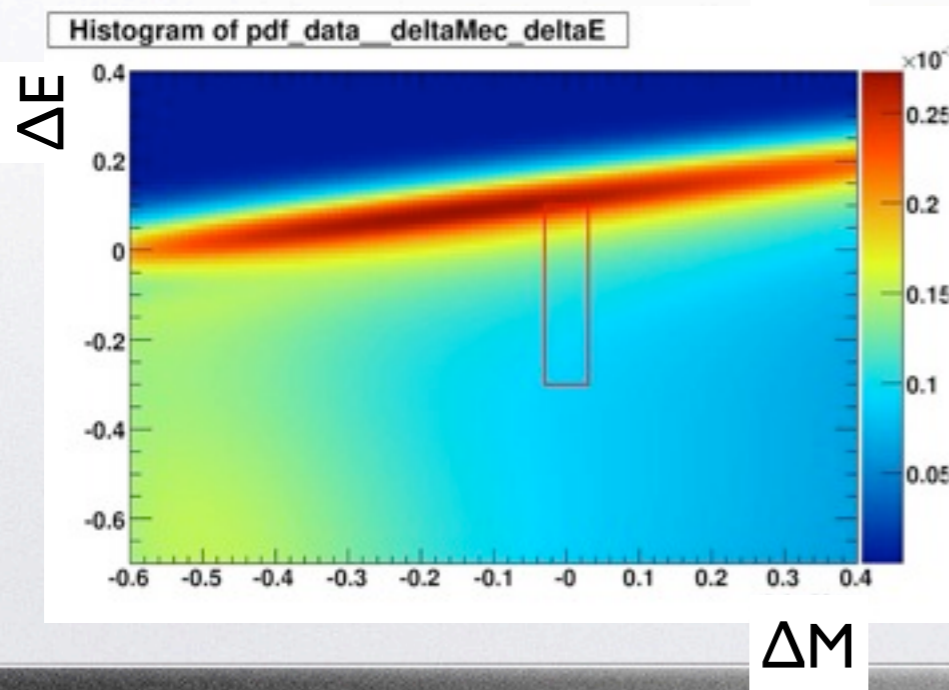
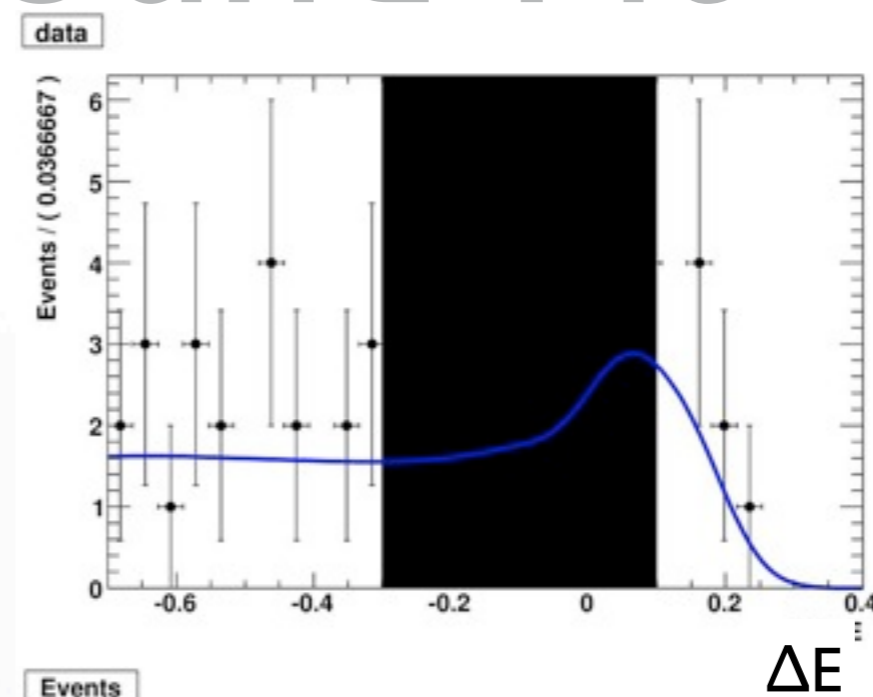
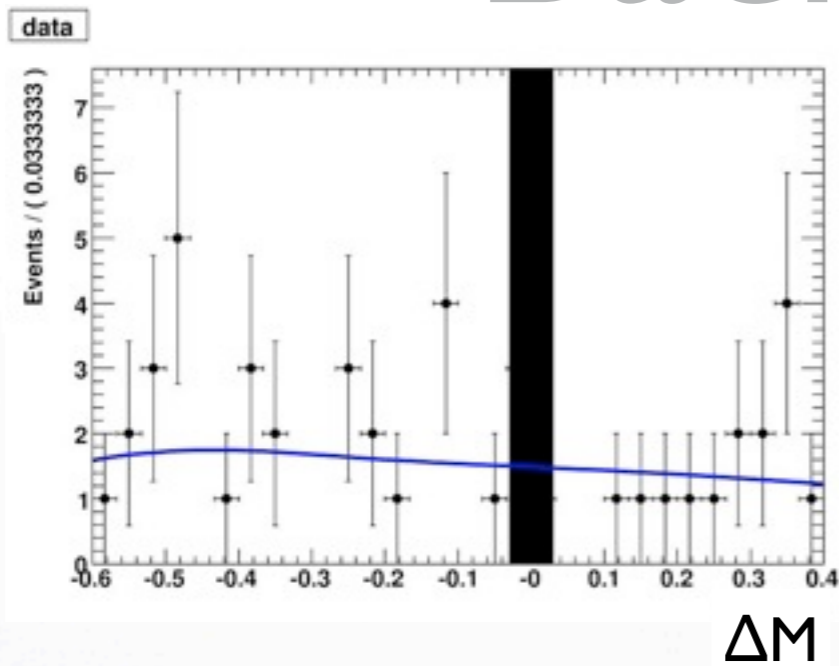
PDF for QED (Bhabha and di-muon) are obtained by fitting data enriched control samples

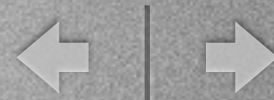
Data PDF is obtained as sum of the Bkg PDFs contributions fitted to the data, the Data PDF is then integrated over signal region in order to extract expected background in signal region





# Background Fit





# Results

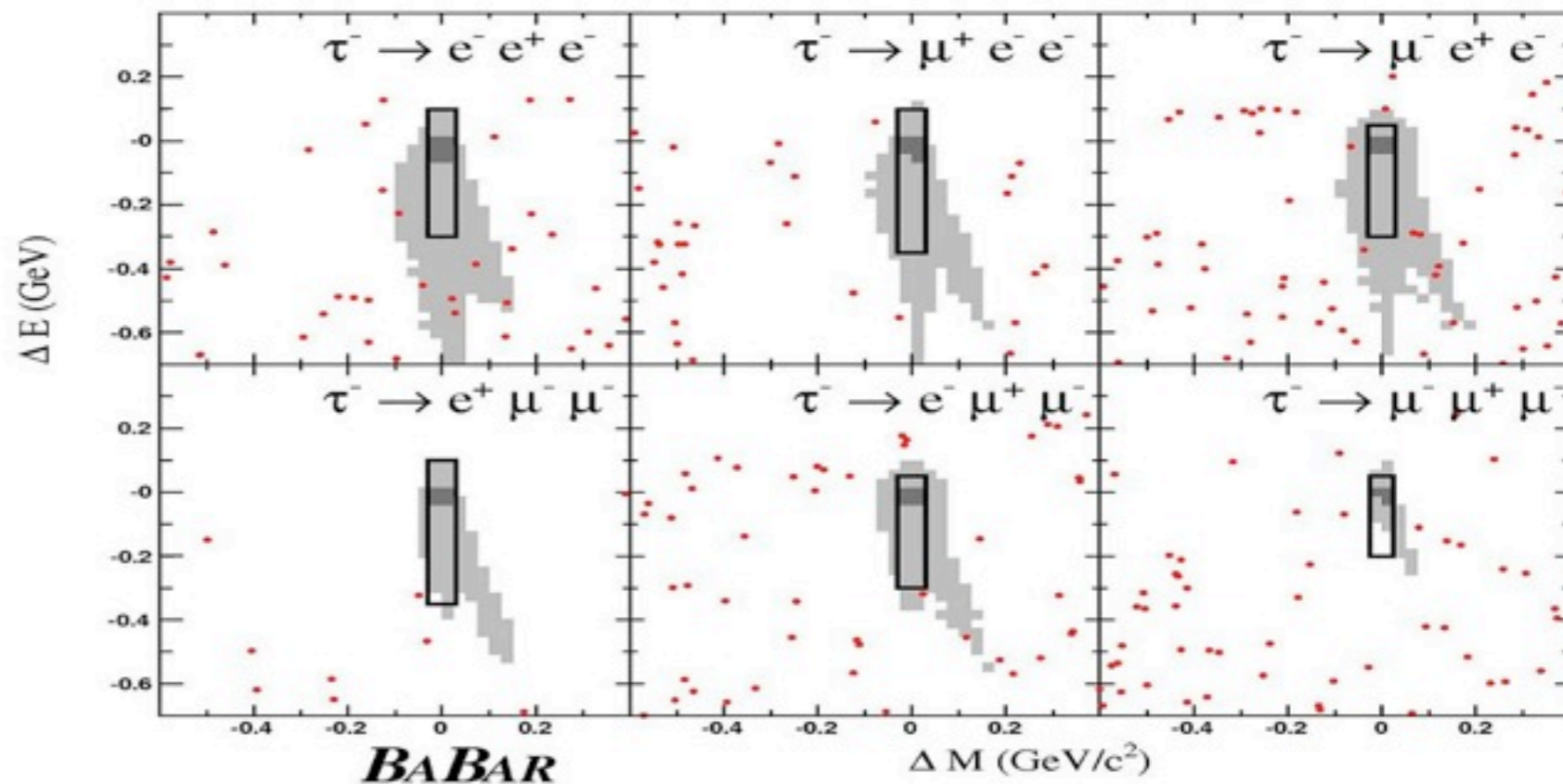
Big improvement w.r.t previous BaBar results, thanks to better PID and tracking.

**$N_\tau = 861\text{M}$  (468 fb<sup>-1</sup>)**

$\mu$  ID eff 66%  $\rightarrow$  77%  
e ID eff 89%  $\rightarrow$  91%  
with reduced systematics

Results scaled better than Lumi scaling only.

Errors dominated by:  
PID uncertainty (signal efficiency)  
Fit to the Data (bkg extraction)



Phys. Rev. D 81, 111101(R) (2010)

Channel	Efficiency (%)	$N_{bkd}$	Exp. UL	$N_{obs}$	UL
$e^+e^-e^+$	$8.6 \pm 0.2$	$0.12 \pm 0.02$	$3.4 \times 10^{-8}$	0	$2.9 \times 10^{-8}$
$e^+e^-\mu^+$	$8.8 \pm 0.5$	$0.64 \pm 0.19$	$3.7 \times 10^{-8}$	0	$2.2 \times 10^{-8}$
$e^+e^+\mu^-$	$12.6 \pm 0.7$	$0.34 \pm 0.12$	$2.2 \times 10^{-8}$	0	$1.8 \times 10^{-8}$
$e^+\mu^-\mu^+$	$6.4 \pm 0.4$	$0.54 \pm 0.14$	$4.6 \times 10^{-8}$	0	$3.2 \times 10^{-8}$
$e^-\mu^+\mu^+$	$10.2 \pm 0.6$	$0.03 \pm 0.02$	$2.8 \times 10^{-8}$	0	$2.6 \times 10^{-8}$
$\mu^+\mu^-\mu^+$	$6.6 \pm 0.6$	$0.44 \pm 0.17$	$4.0 \times 10^{-8}$	0	$3.3 \times 10^{-8}$

## Latest Belle results

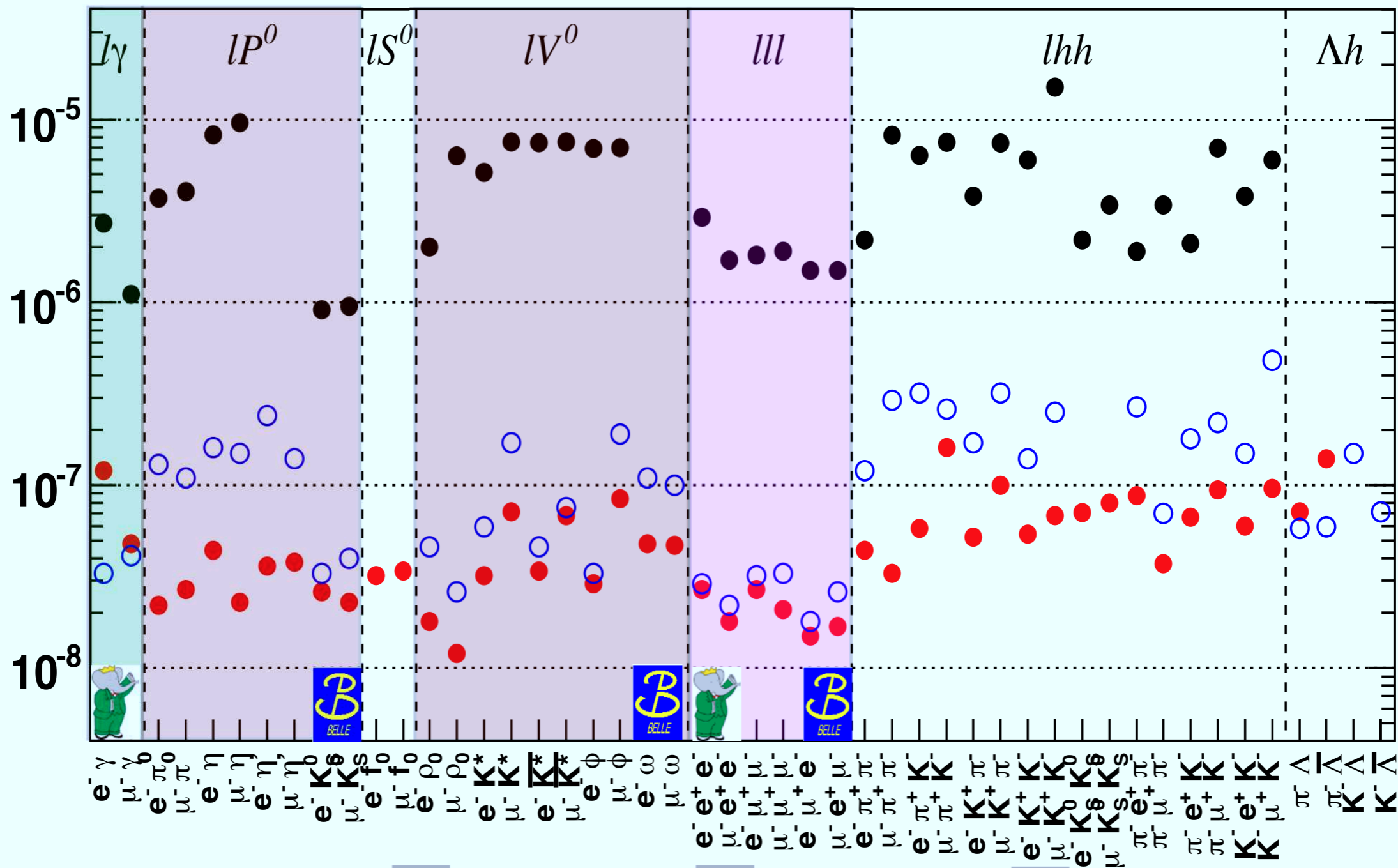
Mode	$\epsilon$ (%)	$N_{BG}^{EXP}$	$\sigma_{syst}$ (%)	UL ( $\times 10^{-8}$ )
$e^-e^+e^-$	6.0	0.21+0.15	9.8	<b>2.7</b>
$\mu^-\mu^+\mu^-$	7.6	0.13+0.06	7.4	<b>2.1</b>
$e^-\mu^+\mu^-$	6.1	0.10+0.04	9.5	<b>2.7</b>
$\mu^-e^+e^-$	9.3	0.04+0.04	7.8	<b>1.8</b>
$\mu^-e^+\mu^-$	10.1	0.02+0.02	7.6	<b>1.7</b>
$e^-\mu^+e^-$	11.5	0.01+0.01	7.7	<b>1.5</b>

Phys.Lett.B687:139-143,2010 Alberto Cervelli  
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# A broader look

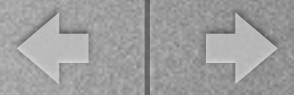
90% C.L. Upper limits for LFV  $\tau$  decays



HFAG-Tau  
Summer 2010

- CLEO
- BaBar
- Belle

2010 Updates: ■ BaBar only ■ Belle only ■ BaBar & Belle



# Conclusions

- B-Factories have proven to be versatile machines for the search for new physics in over a decade
- Thanks to the high luminosity achieved and the constant development of new analysis techniques results have greatly improved over the years for LFV searches in the tau sector
- Many bounds on NP models parameters were set thanks to B-Factories and Super Flavor factories are expected to reach unprecedented sensitivities making it possible to rule out most of the present theoretical expectations or discover NP for the first time

		$\tau \rightarrow \mu \gamma$	$\tau \rightarrow \mu \mu$
SM + $\nu$ mixing	Lee, Shrock, PRD 16 (1977) 1444 Cheng, Li, PRD 45 (1980) 1908	Undetectable	
SUSY Higgs	Dedes, Ellis, Raidal, PLB 549 (2002) 159 Brignole, Rossi, PLB 566 (2003) 517	$10^{-10}$	<del><math>10^{-7}</math></del>
SM + heavy Maj $\nu_R$	Cvetic, Dib, Kim, Kim, PRD66 (2002) 034008	$10^{-9}$	$10^{-10}$
Non-universal $Z'$	Yue, Zhang, Liu, PLB 547 (2002) 252	$10^{-9}$	$10^{-8}$ ?
SUSY SO(10)	Masiero, Vempati, Vives, NPB 649 (2003) 189 Fukuyama, Kikuchi, Okada, PRD 68 (2003) 033012	$10^{-8}$ ?	$10^{-10}$
mSUGRA + Seesaw	Ellis, Gomez, Leontaris, Lola, Nanopoulos, EPJ C14 (2002) 319 Ellis, Hisano, Raidal, Shimizu, PRD 66 (2002) 115013	<del><math>10^{-7}</math></del>	$10^{-9}$





*Thanks for your  
attention*