

# To Positivity and Beyond, where Higgs-Dilaton Inflation has never gone before

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[arXiv:1905.08816](https://arxiv.org/abs/1905.08816)

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# Higgs-Dilaton inflation

Higgs inflation [Bezrukov & Shaposhnikov '07]  
can be incorporated into a larger framework

$$S = \int d^4x \sqrt{|g|} \left( -\frac{1}{2}(2\xi_h \varphi^\dagger \varphi + \xi_\chi \chi^2)R + \frac{1}{2}\partial_\mu \chi \partial^\mu \chi - V(\varphi, \chi) \right) + S_{\text{SM}}(\lambda \rightarrow 0)$$

$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4$$

Dilaton  $\chi$  is a singlet under the SM group

All scales are generated by SSB of global scale invariance

$$M_P \equiv \xi_h \langle \varphi \rangle^2 + \xi_\chi \langle \chi \rangle^2 \propto \xi_\chi \langle \chi \rangle^2 \quad \langle \varphi^\dagger \varphi \rangle = \frac{\alpha}{2\lambda} \langle \chi \rangle^2$$

M. Shaposhnikov, D. Zenhausern, Phys.Lett. B671 (2009) 187-192

J. García-Bellido, JR, M. Shaposhnikov, D. Zenhausern, Phys.Rev. D84 (2011) 123504

Bezrukov, Karananas, Rubio and Shaposhnikov, Phys.Rev. D87 (2013) 096001

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# Higgs-Dilaton inflation

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$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4$$

Regularization scheme cannot be uniquely fixed and predictions of the model are sensitive to the details of the UV completion

Bezrukov, Karananas, Rubio and Shaposhnikov, Phys.Rev. D87 (2013) 096001

**How can we examine Higgs-Dilaton inflation as an EFT?**

# Amplitudes' Positivity

Adams, Arkani-Hamed, Dubovsky,  
Nicolis and Rattazzi [hep-th/0602178].

- UV completion of an EFT:
  - Unitary
  - Causal
  - Local
  - Lorentz invariant

# Amplitudes' Positivity

Consider an amplitude  $a, b \rightarrow a, b$

$$\mathcal{A}_{ab}(s) = \mathcal{M}_{ab}(s, t = 0) \quad (\text{forward limit})$$

Construct

$$\Sigma_{\text{IR}}^{ab} = \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{\mathcal{A}^{ab}(s)}{(s - \mu^2)^3},$$

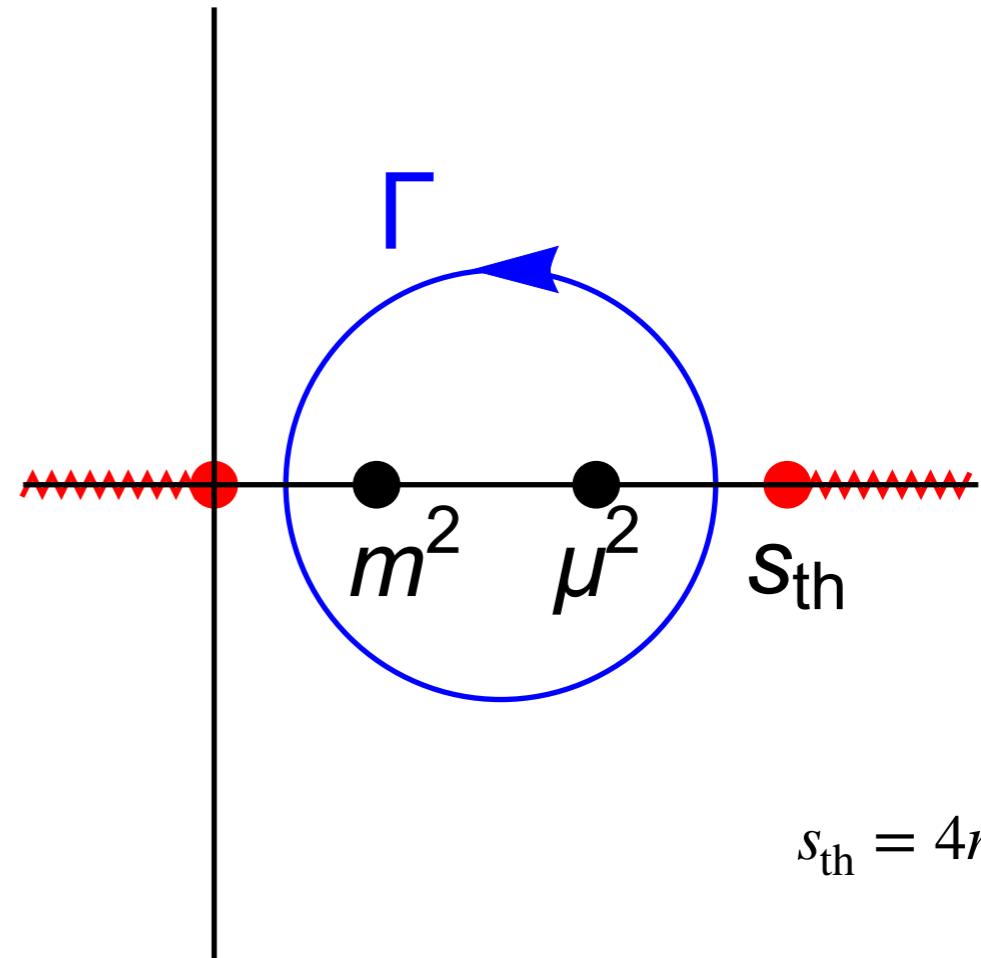
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# Amplitudes' Positivity

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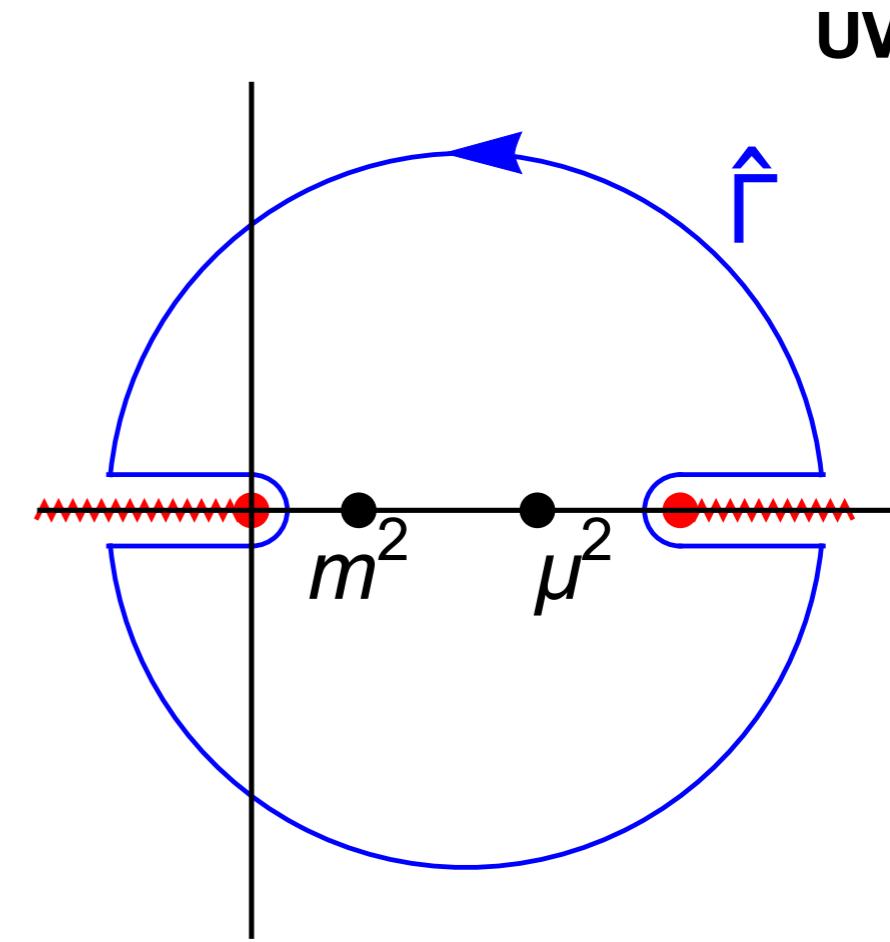
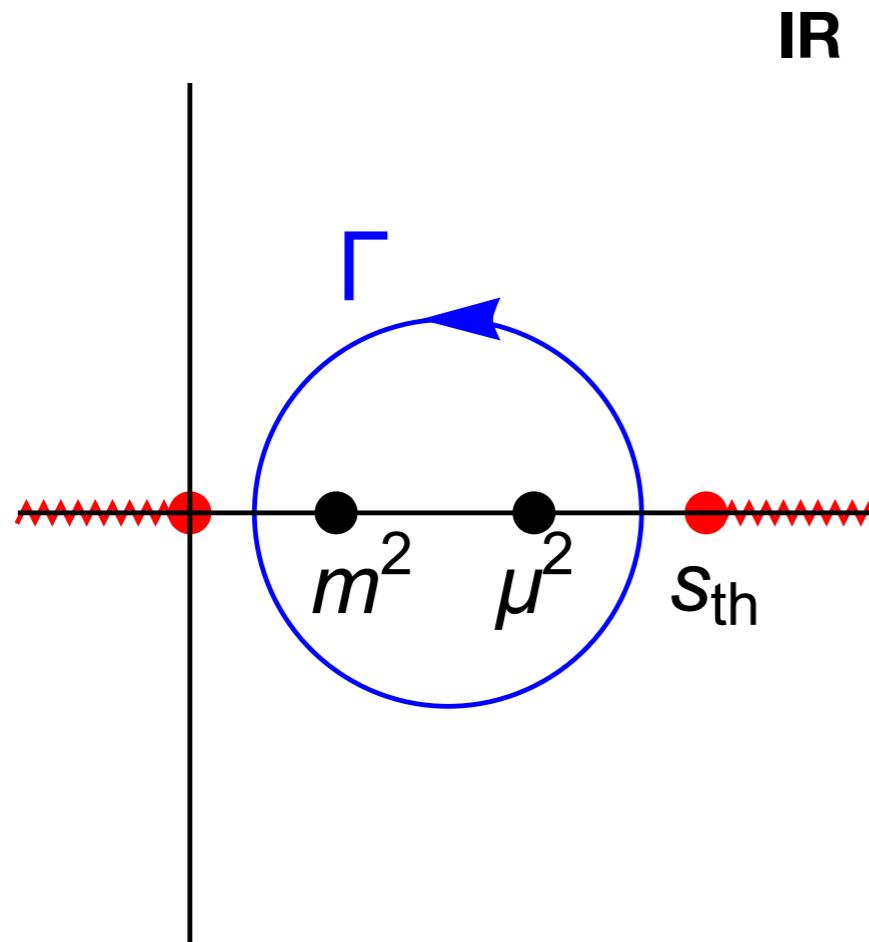
Froissart-Martin bound  
 $\lim_{s \rightarrow \infty} |\mathcal{A}(s)| < \text{constant} \cdot s(\log s)^2$

$$s_{\text{th}} = 4m_i^2$$



$$\Sigma_{\text{IR}}^{ab} = \sum_{\text{poles}} \text{Res} \left( \frac{\mathcal{A}^{ab}(s)}{(s - \mu^2)^3} \right).$$

# Amplitudes' Positivity



$$\Sigma_{\text{IR}}^{ab} = \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{\mathcal{A}^{ab}(s)}{(s - \mu^2)^3},$$

$$\Sigma_{\text{IR}}^{ab} = \int_{s_{\text{th}}^2}^{\infty} \frac{ds}{\pi} \left( \frac{\text{Im} \mathcal{A}^{ab}(s)}{(s - \mu^2)^3} + \frac{\text{Im} \mathcal{A}_x^{ab}(s)}{(\mu^2 - u(s))^3} \right)$$

$$\mathcal{A}_x^{ab}(s) = \mathcal{A}^{ab}(u(s))$$

Crossing symmetry

# Amplitudes' Positivity

assuming that UV complete theory is unitary, we can use the optical theorem:

$$\text{Im}\mathcal{A}^{ab}(s) = s\sqrt{-u(s)/s} \sigma^{ab}(s) > 0$$

For

$$\Sigma_{\text{IR}}^{ab} = \int_{s_{\text{th}}^2}^{\infty} \frac{ds}{\pi} \left( \frac{\text{Im}\mathcal{A}^{ab}(s)}{(s - \mu^2)^3} + \frac{\text{Im}\mathcal{A}_x^{ab}(s)}{(\mu^2 - u(s))^3} \right)$$

We get  
the **positivity bound**:

$$\Sigma_{\text{IR}}^{ab} > 0$$

Adams, Arkani-Hamed, Dubovsky,  
Nicolis and Rattazzi [hep-th/0602178].

# Beyond Positivity

Bellazzini, Riva, Serra and Sgarlata, 1710.02539

The total cross section:  $\sigma^{ab}(s) = \sum_X \sigma^{ab \rightarrow X}$

$$\Sigma_{\text{IR}}^{ab} = \sum_X \int_{s_{\text{th}}^2}^{\infty} \frac{ds}{\pi} \sqrt{-u(s)s} \left( \frac{\sigma^{ab \rightarrow X}(s)}{(s - \mu^2)^3} + \frac{\sigma_X^{ab \rightarrow X}(s)}{(\mu^2 - u(s))^3} \right)$$

Every term in the sum is **strictly positive**.

We can consider only the states present in the EFT:

$$\Sigma_{\text{IR}}^{ab} > \sum_{X_{\text{EFT}}} \int_{E_{\text{IR}}}^{E_{\text{UV}}} \frac{ds}{\pi} \sqrt{-u(s)s} \left( \frac{\sigma^{ab \rightarrow X}(s)}{(s - \mu^2)^3} + \frac{\sigma_X^{ab \rightarrow X}(s)}{(\mu^2 - u(s))^3} \right)$$

# Beyond Positivity

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$$\Sigma_{\text{IR}}^{ab} > \sum_{X_{\text{EFT}}} \int_{E_{\text{IR}}}^{E_{\text{UV}}} \frac{ds}{\pi} \sqrt{-u(s)s} \left( \frac{\sigma^{ab \rightarrow X}(s)}{(s - \mu^2)^3} + \frac{\sigma_X^{ab \rightarrow X}(s)}{(\mu^2 - u(s))^3} \right)$$

$$\Sigma_{\text{IR}}^{ab} = \frac{1}{2\pi i} \oint_{\Gamma} ds \frac{\mathcal{A}^{ab}(s)}{(s - \mu^2)^3}$$

is larger than a quantity that can be computed *within the EFT*, without knowledge of a UV completion.

# Higgs-Dilaton inflation

$$S = \int d^4x \sqrt{|g|} \left( -\frac{1}{2}(2\xi_h \varphi^\dagger \varphi + \xi_\chi \chi^2)R + \frac{1}{2}\partial_\mu \chi \partial^\mu \chi - V(\varphi, \chi) \right) + S_{\text{SM}}(\lambda \rightarrow 0)$$

$$V(\varphi, \chi) = \lambda \left( \varphi^\dagger \varphi - \frac{\alpha}{2\lambda} \chi^2 \right)^2 + \beta \chi^4$$

In the limit  $\beta = 0$  and  $\alpha \ll 1$   
both Higgs  $\varphi$  and dilaton  $\chi$  can be considered massless

The values of the non-minimal couplings are constrained by CMB observations  
 $\xi_h \sim 10^3 - 10^5$ ,       $\xi_\chi \lesssim 10^{-3}$ .

# Change of variables

in the Einstein frame:

$$S = \int d^4x \sqrt{g} \left( -\frac{M_P^2}{2} R + \frac{1}{2} K - U \right)$$

We change variables.

The kinetic term inherits non-renormalizability

$$K = \frac{1}{2} \left( 1 + \frac{\xi_\chi - \xi_h}{M_P^2(1 + 6\xi_\chi)} \phi^2 \right) \partial_\mu Q \partial^\mu Q + \frac{1}{2} \left( 1 + \frac{2\xi_h + 6\xi_h^2 - \xi_\chi}{M_P^2(1 + 6\xi_\chi)} \phi^2 + \mathcal{O}(\phi^4) \right) \partial_\mu \phi \partial^\mu \phi$$

$$U = \frac{\lambda}{4} \phi^4$$

For our purposes both frames are equivalent,  
see the talk by Mario Herrero-Valea for a general statement

# Cut-off scales

We can readily read the cut-off scale

$$K = \frac{1}{2} \left( 1 + \boxed{\frac{\xi_\chi - \xi_h}{M_P^2(1 + 6\xi_\chi)}} \phi^2 \right) \partial_\mu \varrho \partial^\mu \varrho + \frac{1}{2} \left( 1 + \boxed{\frac{2\xi_h + 6\xi_h^2 - \xi_\chi}{M_P^2(1 + 6\xi_\chi)}} \phi^2 + \mathcal{O}(\phi^4) \right) \partial_\mu \phi \partial^\mu \phi$$

$$\Lambda = \min \left\{ M_P \sqrt{\left| \frac{1 + 6\xi_\chi}{\xi_\chi - \xi_h} \right|}, M_P \sqrt{\left| \frac{1 + 6\xi_\chi}{2\xi_h + 6\xi_h^2 - \xi_\chi} \right|}, M_P \sqrt{\frac{\xi_\chi(1 + 6\xi_\chi)}{6\xi_h^2}} \right\}$$

$$W_L W_L \rightarrow W_L W_L$$

Bezrukov, Karananas, Rubio and  
Shaposhnikov, arXiv:1212.4148

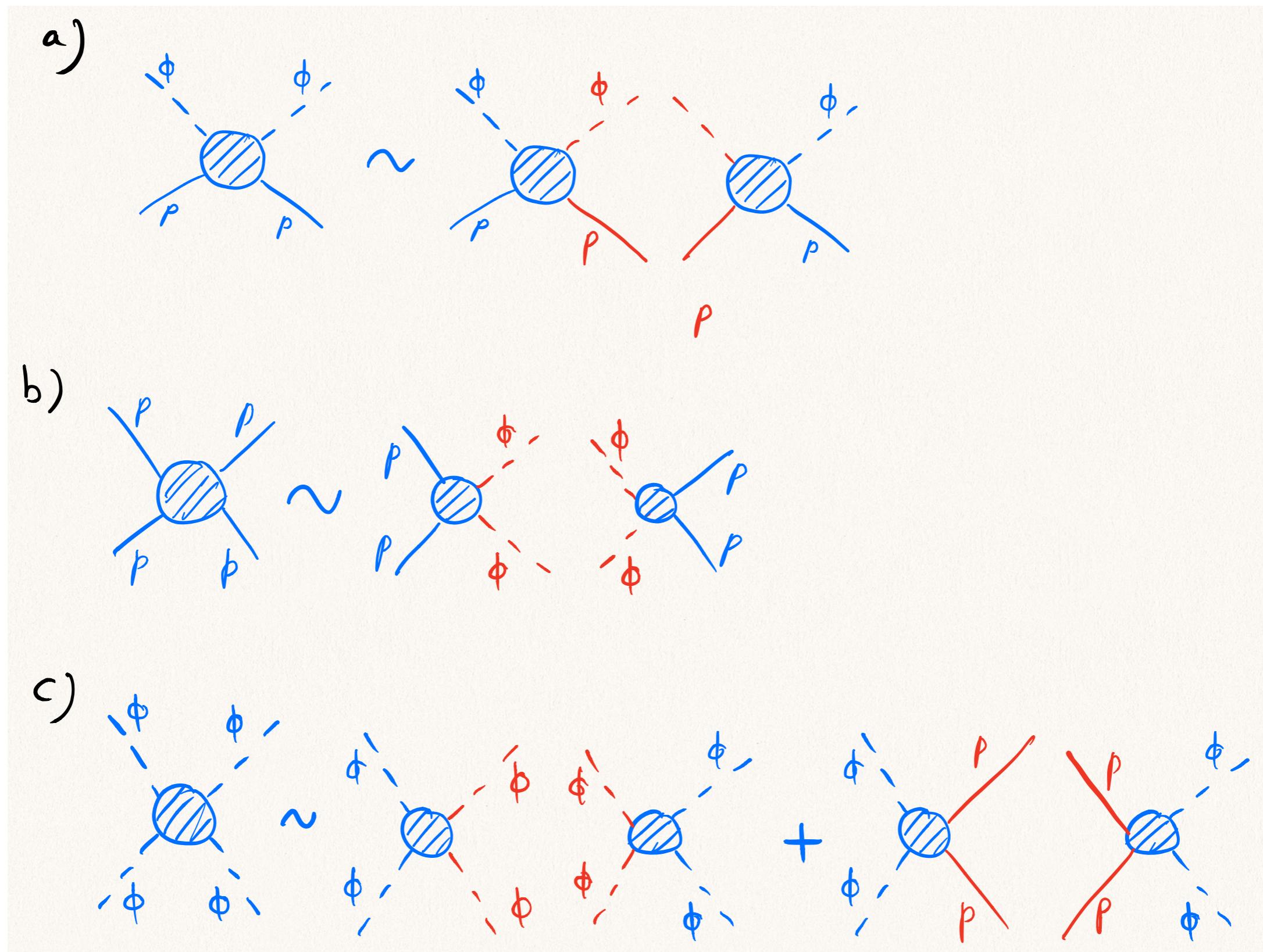
# Higher derivative operators in the EFT

The kinetic term  $K$  contains non-renormalizable operators in the scalar sector. They are inherited from the non-minimal couplings to gravity in the original action.

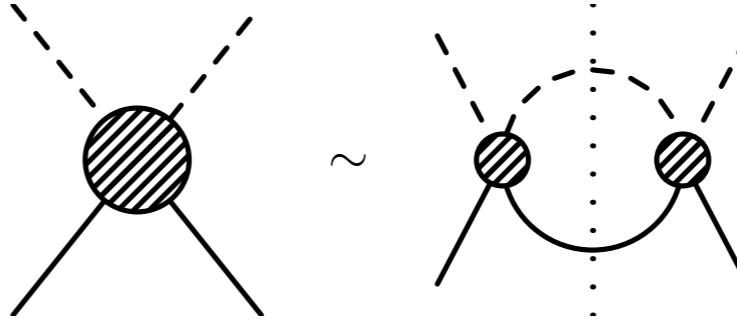
First higher order operators:

$$\begin{aligned} & \frac{A}{\Lambda^4} \partial_\mu \varphi \partial^\mu \varphi \partial_\nu \phi \partial^\nu \phi + \frac{B}{\Lambda^4} \partial_\mu \varphi \partial_\nu \varphi \partial^\mu \phi \partial^\nu \phi \\ & + \frac{C}{\Lambda^4} \partial_\mu \varphi \partial^\mu \varphi \partial_\nu \varphi \partial^\nu \varphi + \frac{D}{\Lambda^4} \partial_\mu \phi \partial^\mu \phi \partial_\nu \phi \partial^\nu \phi, \end{aligned}$$

# Possible channels



a)  $Q\phi \rightarrow Q\phi$



Computing the amplitude we get

$$\Sigma_{\text{IR}} = \frac{1}{2} \frac{\partial^2 \mathcal{A}(s)}{\partial s^2} = \frac{2A + 3B}{2\Lambda^4}.$$

$$\frac{2A + 3B}{\Lambda^4} \gtrsim \frac{(\xi_h - \xi_\chi)^2}{2\pi^2 M_P^4 (1 + 6\xi_\chi)^2} \log \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

We can insert tree-level unitarity cut-off  $\Lambda_{\text{tree}} = M_P/\xi_h$

$$2A + 3B \gtrsim \frac{1}{2\pi^2 \xi_h^2} \log \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

b)  $QQ \rightarrow QQ$

$$C \gtrsim \frac{1}{96\pi^2\xi_h^2} \log \left( \frac{E_{\text{UV}}}{E_{\text{IR}}} \right)$$

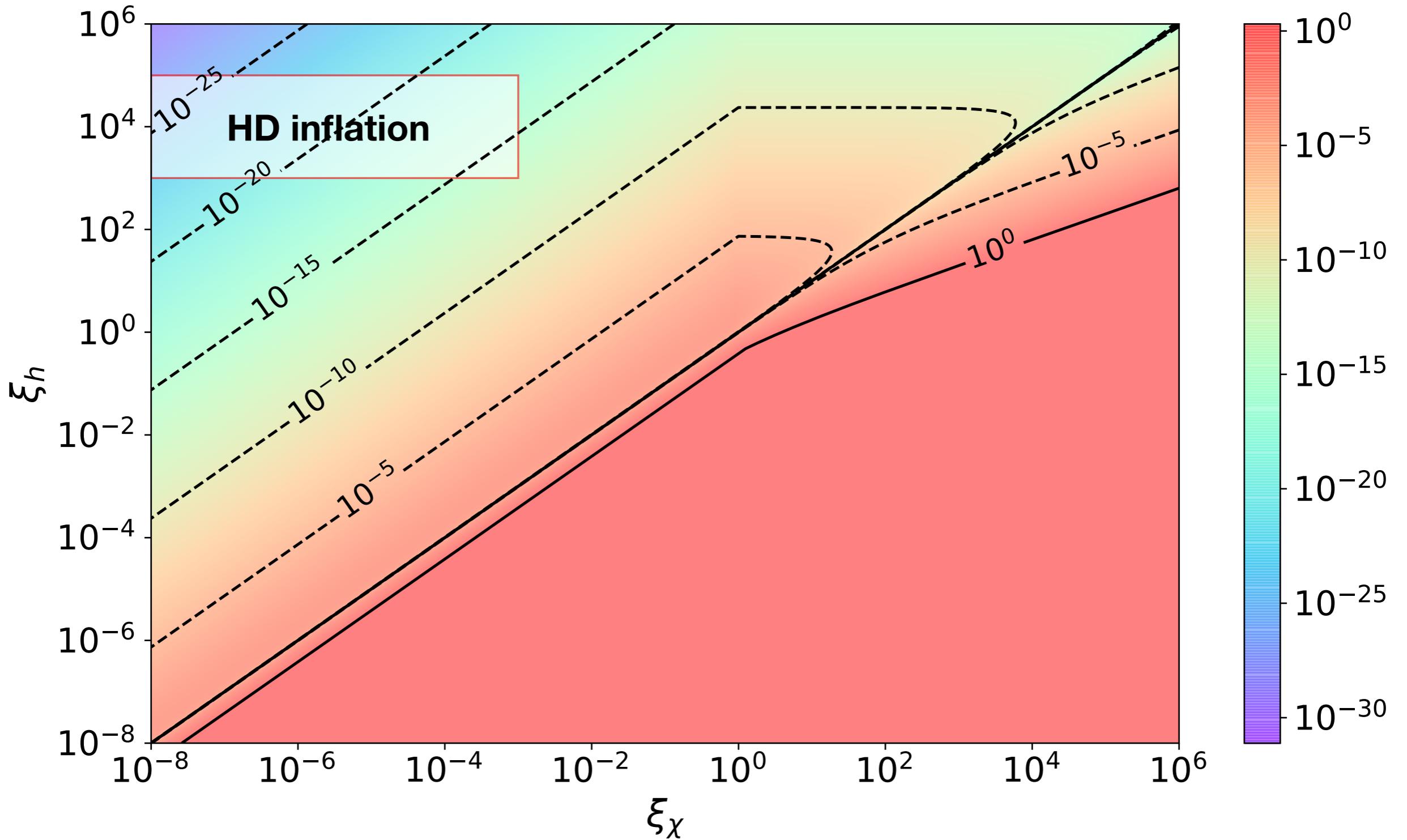
c)  $\phi\phi \rightarrow \phi\phi$  **is dominated by**  $\lambda\phi^4$

$$\frac{24D}{\Lambda^4} + \mathcal{O}(\lambda^2) \gtrsim \frac{81\lambda^2}{4\pi^2 E_{IR}^4}$$

loop corrections

$$\frac{24D}{\Lambda^4} > 0$$

# $2A + 3B$ as a function of non-minimal couplings



# Conclusions

- We found a non-trivial consistency check successfully satisfied by the Higgs-Dilaton model.
- Once the hierarchy between the non-minimal couplings is assumed, a large value of  $\xi_h$  is actually favoured by our results.
- Graviton exchange is not included (yet).



# Backup slides

# Change of variables

$$S = \int d^4x \sqrt{|g|} \left( -\frac{1}{2}(\xi_h h^2 + \xi_\chi \chi^2)R + \frac{1}{2}\partial_\mu \chi \partial^\mu \chi + \frac{1}{2}\partial_\mu h \partial^\mu h - V(\varphi, \chi) \right).$$

$$\tilde{g}_{\mu\nu} = f(h, \chi) g_{\mu\nu}, \quad f(h, \chi) = \frac{\xi_h h^2 + \xi_\chi \chi^2}{M_P^2}$$

$$S = \int d^4x \sqrt{|\tilde{g}|} \left( -\frac{M_P^2}{2}\tilde{R} + \frac{1}{2}K(h, \chi) - U(h, \chi) \right)$$

$$K(h, \chi) = \kappa_{AB} \tilde{g}^{\mu\nu} \partial_\mu S^A \partial_\nu S^B \qquad \qquad U(h, \chi) = \frac{V(h, \chi)}{(f(h, \chi))^2}$$

$$\kappa_{AB} = \frac{1}{f(h, \chi)} \left( \delta_{AB} + \frac{3M_P^2}{2} \frac{\partial_A(f(h, \chi))^{\frac{1}{2}} \partial_B(f(h, \chi))^{\frac{1}{2}}}{f(h, \chi)} \right)$$

# Change of variables

We go to polar variables:

$$\rho = \frac{M_P}{2} \log \left( \frac{(1 + 6\xi_h)h^2 + (1 + 6\xi_\chi)\chi^2}{M_P^2} \right), \quad \tan \theta = \sqrt{\frac{1 + 6\xi_h}{1 + 6\xi_\chi}} \frac{h}{\chi}$$

$$K = \left( \frac{1 + 6\xi_h}{\xi_h} \right) \frac{\partial_\mu \rho \partial^\mu \rho}{\sin^2 \theta + \frac{(1 + 6\xi_h)\xi_\chi}{(1 + 6\xi_\chi)\xi_h} \cos^2 \theta} + \frac{(1 + 6\xi_h)}{(1 + 6\xi_\chi)} \frac{M_P^2}{\xi_h} \frac{\left( \tan^2 \theta + \frac{\xi_\chi}{\xi_h} \right) \partial_\mu \theta \partial^\mu \theta}{\cos^2 \theta \left( \tan^2 \theta + \frac{(1 + 6\xi_h)\xi_\chi}{(1 + 6\xi_\chi)\xi_h} \right)^2}$$

$$U(\theta) = \frac{\lambda M_P^4}{4\xi_h^2} \left( \frac{\sin^2 \theta}{\sin^2 \theta + \frac{(1 + 6\xi_h)\xi_\chi}{(1 + 6\xi_\chi)\xi_h} \cos^2 \theta} \right)^2$$

# Change of variables

$$\theta = \arcsin \left( \sqrt{\frac{(1 + 6\xi_h)\xi_\chi \phi^2}{M_P^2(1 + 6\xi_\chi) + (\xi_\chi - \xi_h)\phi^2}} \right), \quad \rho = \varrho \sqrt{\frac{\xi_\chi}{1 + 6\xi_h}}$$

$$U(\phi) = \frac{\lambda}{4}\phi^4$$

$$K = \frac{1}{2} \left( 1 + \frac{\xi_\chi - \xi_h}{M_P^2(1 + 6\xi_\chi)} \phi^2 \right) \partial_\mu Q \partial^\mu Q + \frac{1}{2} \left( 1 + \frac{2\xi_h + 6\xi_h^2 - \xi_\chi}{M_P^2(1 + 6\xi_\chi)} \phi^2 + \mathcal{O}(\phi^4) \right) \partial_\mu \phi \partial^\mu \phi$$